Standard
ECMA-328

Determination of Chemical Emission Rates from Electronic Equipment
Introduction

Globally, governmental agencies, academic institutions, environmental organizations and manufacturers have developed methods to determine chemical emissions from electronic equipment. These attempts however, resulted in a range of tests from which the results are not necessarily comparable, either qualitatively or quantitatively.

Following the publications of the 1st edition of ECMA-328 and the “Test method for the determination of emissions from Hard Copy Devices” (RAL-UZ 122), experts from the BAM and Ecma have collaborated to harmonise methods to determine the chemical emission rates from ICT & CE equipment in this 2nd edition.

In addition to stricter test procedures, this second edition uses generalised emission formulae, and their derivations developed in Annex B, to calculate emission rates from concentrations of analytes that are measured in Emission Test Chambers.

This Ecma Standard has been adopted by the General Assembly of June 2006.
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1 Scope

This Standard specifies methods to determine chemical emission rates of Analyte from ICT & CE equipment during intended operation in an Emission Test Chamber (ETC). The methods comprise preparation, sampling (or monitoring) in a controlled ETC, storage and analysis, calculation and reporting of emission rates.

This Standard includes specific methods for equipment using consumables, such as printers, and equipment not using consumables, such as monitors and PC’s. Annex A specifies monochrome and colour print patterns for use in the operating phase of EUT using paper consumables. Emission rates from EUT using consumables may also be determined according to additional requirements identified by “RAL-UZ 122 Option”.

Calculations use the generalised model and approximations thereof as developed in Annex B. The emission rates determined with this method may be used to compare equipment in the same class. Predictions of “real indoor” concentrations from the determined emission rates are outside the scope of this Standard.

2 Conformance

Determinations of emission rates conform to this Standard when:

1. Executed using a Quality Assurance Project Plan, Quality Assurance and Quality Control as specified in ISO 16000-9;
2. Tested in a controlled ETC as specified in Clause 7;
3. Sampled/monitored and calculated as specified in Clause 8;
4. Reported as specified in Clause 9.

For EUT using consumables, determinations according to additional requirements identified by “RAL-UZ 122 Option” herein conform to the RAL-UZ 122 Option.

3 Normative references

<table>
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<th>Reference</th>
<th>Description</th>
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<td>ECMA-74</td>
<td>Measurement of Airborne Noise emitted by Information Technology and Telecommunications Equipment (2005)</td>
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<td>ISO 554:1976</td>
<td>Standard atmospheres for conditioning and/or testing - Specifications</td>
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<td>ISO 13655:1996</td>
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<td>ISO 16000-3:2001</td>
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<td>ISO 16000-6:2004</td>
<td>Indoor air – Part 6: Determination of volatile organic compounds in indoor and chamber air by active sampling on TENAX TA sorbent, thermal desorption and gas chromatography using MS/FID</td>
</tr>
</tbody>
</table>
4 Definitions

For the purpose of this Standard the following definitions apply.

4.1 **Air exchange rate (n)**
Ratio \((n)\) of the volume of clean air brought into the ETC per hour \(\left[\text{m}^3/\text{h}\right]\) to the unloaded ETC volume \(\left[\text{m}^3\right]\). This Standard uses \(“n”\) for the air exchange rate symbol whereas some others use ACH.

4.2 **Air velocity**
The air speed \(\left[\text{m/s}\right]\) measured in the unloaded ETC.

4.3 **Analyte**
VOC, aldehydes and ketones, ozone and particulate matter.

4.4 **Consumables**
Toner, ink, paper and ribbon.

4.5 **Emission Test Chamber (ETC)**
Enclosure with controlled operational parameters for testing analyte mass emitted from EUT.

4.6 **Equipment Under Test (EUT)**
Functional and complete, including consumables and accessories if applicable, ICT or CE equipment, from which chemical emission rates are determined as specified herein.

4.7 **Loading factor**
Ratio of the EUT volume to the volume of the unloaded ETC.

4.8 **Hard Copy Devices**
Class of EUT using Consumables that includes printers, (Photo)copiers and Multi Functional Devices (MFD).

4.9 **Operating phase**
Phase in which the EUT is performing its intended functions.

4.10 **Particulate matter**
Airborne particles.

4.11 **Pre-operating phase**
Phase in which the EUT is connected to an electrical supply, which may include warming-up and energy saving modes; before the EUT is able to enter the operating phase.

4.12 **Post-operating phase**
Phase following the operating Phase. The post-operating may include energy saving modes.

4.13 **Total Volatile Organic Compounds (TVOC)**
The sum of the concentrations of identified VOC and the concentrations of the converted areas of unidentified peaks using the toluene response factor.
4.14 Unit specific emission rate (SER)
Mass of a specific analyte emitted per hour [µg/h].

4.15 Volatile Organic Compounds (VOC)
Compounds that elute between n-hexane and n-hexadecane on an unpolar GC-column.

5 Acronyms, abbreviations and symbols

5.1 Acronyms and abbreviations
CE Consumer Electronics
DNPH 2,4-Dinitrophenylhydrazine
ETC Emission Test Chamber
EUT Equipment Under Test
FID Flame Ionisation Detector
GC/MS Gas chromatography/Mass spectrometry
ICT Information and Communication Technology
MFD Multi Functional Device
PTFE Polytetrafluoroethene
PVC Polyvinylchloride
rH Relative humidity
SER Unit Specific Emission Rate
TVOC Total Volatile Organic Compounds
VOC Volatile Organic Compounds

5.2 Symbols
C Average concentration [µg/m³]
Cbg Background concentration [µg/m³]
Cmax Maximum ozone concentration [µg/m³]
C₀ Initial concentration [µg/m³]
Cpre Average concentration during pre-operating phase [µg/m³]
Cope Average concentration during operating phase and optionally during post-operating phase [µg/m³]
H' Ozone half-life [min]: the period of time for the ozone concentration to drop from Cmax to Cmax/2
k Ozone decay constant, without ventilation [min⁻¹]
k' Ozone decay constant with ventilation (k' = k + n/60) [min⁻¹]
m_after Sample filter mass [µg] after sampling
m_before Sample filter mass [µg] before sampling
m_bg Sampled mass for chamber background [µg]
m_rev Mass of particulate matter [µg] deposited on the filter
m_ref-after Reference filter mass [µg] after sampling
m_ref-before Reference filter mass [µg] before sampling
\( m_s \) Sampled mass [µg]
\( m_{pre} \) Sampled mass [µg] during pre-operating phase
\( m_{ope} \) Sampled mass [µg] during operating and optionally post-operating phase
\( n \) Air exchange rate [h\(^{-1}\)]
\( P \) Atmospheric pressure [Pa]
\( SER_{bg} \) Background SER [µg/h]
\( SER_{ope} \) SER during operating and optionally post-operating phase [µg/h]
\( SER_{O3} \) SER for ozone [µg/min]
\( SER_{PM} \) SER for particulate matter [µg/h]
\( SER_{pre} \) SER during pre-operating [µg/h]
\( T \) Ambient temperature [K]
\( t_{ope} \) Operating phase duration [h]
\( t_G \) Sampling time during operating and optionally post-operating phase [h]
\( t_{pre} \) Pre-operating phase duration [h]
\( V \) ETC volume [m\(^3\)]
\( V_s \) Sampled air volume [m\(^3\)]
\( V_{bg} \) Sampled air volume [m\(^3\)] for determination of \( C_{bg} \)
\( V_{pre} \) Sampled air volume [m\(^3\)] in pre-operating phase
\( V_{ope} \) Sampled air volume [m\(^3\)] in operating and optionally post-operating phase
6 Method overview

The flowchart in Figure 1 illustrates the method; clause numbers are indicated in brackets.

Start (8.1)

Purge Emission Chamber (8.1.1)

Select and unpack EUT (8.1.3)

Determine Emission Test Chamber Background concentration (8.1.2)

Optionally install EUT (8.1.5) for preparation

Ready for preparation

Preparation type

a No preparation, sample or monitor within 24 H (8.1.4.a)

b preparation for at most 3 days (8.1.4.b)

EUT Prepared and ETC ok (7.1 and 7.2)

Install EUT (8.1.5) if not already done

Analyte type

VOC, aldehydes and ketones

Particulate Matter

Ozone

Determine Emission rate (8.2)

Determine Emission rate (8.3)

Determine Emission rate (8.4)

Finish report (9)

End

Figure 1 - Determination method overview
7  ETC requirements

7.1  Construction materials
ETC construction materials shall comply with ISO 16000-9.

7.2  Operational capabilities
To meet the operational requirements specified herein, ETC parameters such as temperature, relative humidity and supply airflow shall be controlled and measured at regular intervals and recorded in accordance with ISO 16000-9 and shall be reported as specified in Clause 9.

7.2.1 Operating temperature and relative humidity (rH)
Tests shall be executed at (23 ± 2) °C and (50 ± 5)% rH according to ISO 554. For EUT used in alternative climatic conditions, higher operating temperature and humidity conditions may be used as specified in ISO 554.

Consult 8.1.6.2 for special requirements on rH for EUT using consumables.

7.2.2 Air exchange rate (n)
For unloaded ETCs with a volume larger than 5 m³, n shall be in the range from 0,5 to 2,0. For unloaded ETC’s with a volume of 5 m³ or smaller, n shall be in the range from 0,5 to 5,0.

7.2.3 Air velocity
The air velocity shall be in the range from 0,1 to 0,3 m/s.

7.2.4 Air tightness
The ETC air tightness shall be as specified in ISO 16000-9.

7.2.5 Air mixing efficiency
The air mixing efficiency in the ETC shall be as specified in of ISO 16000-9.

8  Determination method
Tests shall be executed within 10 working days after delivery of the EUT.

The sum of sampled airflow shall be less than 80% of the inlet airflow into the ETC.

8.1  Handling of EUT and ETC
EUT shall be selected from normal manufactured batches or shall be a prototype that is representative for EUT from such batches. For determinations using the RAL-UZ 122 Option, EUT shall be stored in an air-conditioned room (23 °C, 50% rH) in its original packaging.

To ensure detection of a minimum emission within a practicable time, the ETC with capabilities as specified in 7.2 shall be selected such that the loading factor is in the range of 1:4 to 1:100

8.1.1 ETC purging
The selected ETC shall be unloaded and its interior walls shall be cleaned as described in ISO 16000-9.

Surfaces of the ETC shall be deactivated by exposure to an ozone concentration of 0,2 mg/m³ to 0,4 mg/m³ until a stable concentration is reached. k’ shall be < 0,0693 [min⁻¹] which corresponds to an ozone half-life of > 10 minutes, when n = 1.

Thereafter, the ETC shall be purged with 4 ETC volumes of clean air.

8.1.2 Background concentrations (Cbg)
Following purging, the Cbg of analyte in the unloaded ETC shall be determined and recorded.

NOTE
Cbg may stem from e.g. emissions from the ETC itself and sampling tubes or filters.

The Cbg values at n = 1, shall be below the limits in Table 1.
Table 1 - Background concentrations

<table>
<thead>
<tr>
<th>Analyte</th>
<th>Limit [µg/m³]</th>
</tr>
</thead>
<tbody>
<tr>
<td>VOC, aldehydes and ketones</td>
<td>2 for any single species</td>
</tr>
<tr>
<td>TVOC</td>
<td>20</td>
</tr>
<tr>
<td>Ozone</td>
<td>4</td>
</tr>
<tr>
<td>Particulate matter</td>
<td>10</td>
</tr>
</tbody>
</table>

8.1.3 EUT unpacking

Emissions from packaging may influence measurements considerably; in addition, packaging itself may emit VOCs that are not representative for EUTs in typical use. Therefore, the EUT to be tested shall be removed from the shipping containers and all protective shipping packaging such as spacers, film wrapping and any other shipping/packaging elements before preparation (as specified in 8.1.4).

NOTE
After unpacking, installation (step 8.1.5) may precede the preparation step (8.1.4).

8.1.4 Preparation of the EUT before testing

For EUT using paper consumables, using 60 g/m² to 80 g/m² A4 paper with water content from 3.8% to 5.6%, while printing the patterns specified in Annex A.1 and A.2 as appropriate, the maximum operating time shall be determined. Thereafter, lightness (L*) and colour values (L*, a*, b*), as appropriate, shall be determined from the printouts according to CIE 15:2004 and ISO 13655.

For the RAL-UZ 122 Option no further preparation shall be executed.

Newly manufactured equipment is known to emit higher levels in the first days of use, which is not representative for the normal emissions over the intended lifetime. Therefore, one of the following preparatory operations shall be executed:

a) The EUT shall not be operated before testing; testing shall start within 24 hours after unpacking.

b) The EUT shall have been in operation for a maximum of three days or equivalent before the start of testing.

NOTE
The resulting emissions rates are more realistic estimates for emission rates during the lifetime of the EUT.

Treatment conditions shall be recorded in the test report.

NOTE
Typical treatment conditions for types of EUT are:

PCs and ICT & CE equipment: 8 hours operation in idle mode for three days at 8 hours per day which is equivalent to 24 hours of continuous operation.

Hardcopy Devices: 1 to 2 hours of printing, 6 to 7 hours of pre-operation per day for three days, which is equivalent to 3 to 6 hours of printing and 18 to 21 hours in pre-operation.

8.1.5 EUT installation

Before installation, the EUT shall have sufficient consumables to complete the operations. In case of paper consumables, 60 g/m² to 80 g/m² A4 paper with water content from 3.8% to 5.6% shall be used.

To avoid contamination, the powered-off EUT shall be placed in the middle of the ETC as fast as possible and all operators shall leave the ETC immediately thereafter.

The EUT shall remain powered-off for at least 3 air exchanges.

For the RAL-UZ 122 Option the EUT shall be installed on the day before the emission test.
The ETC shall remain closed until all sampling has finished.
The installation date and time shall be recorded.

8.1.6 EUT operation during test
The EUT shall be controlled from outside the ETC.
The start of the operation of the EUT shall be recorded as the time \( t_0 \).
The start and duration of the operating phases shall be recorded by monitoring the power consumption of the EUT.

8.1.6.1 EUT not using consumables
Examples of EUT that do not use consumables are listed below:
1. Monitors and TV sets (CRT, Plasma, LCD, Rear projector, Beamer).
2. Video (VCR, DVD Player/Recorder, Camcorder).
3. SAT Receiver (Set-Top Box).
5. Portable Audio (CD Player, MP 3 Player, Radio recorder, Clock radio etc).
The EUT shall be tested under its intended function(s) as specified in ECMA-74 or, for items 2-4, using suitable test signals as specified in chapter 5.2 of EN 55013:2001.
For this class of EUT usually determination of VOC, aldehydes and ketones, as specified in 8.2 applies.

8.1.6.2 EUT using consumables
To avoid condensation due to vaporisation of water from paper during the operating phase, incoming air with rH of at most 10% may be inserted in the ETC before the operating phase. In addition, the air exchange rate \( n \) may have to be increased to avoid such condensation. Increase of humidity during the operating phase also depends on the ETC volume. Condensation of water vapour (i.e. rH > 85%) on the ETC walls invalidates the test.

8.1.6.2.1 Pre-operating phase
To enter the pre-operating phase, the EUT shall be powered-on and remain in this phase between 1 and 4 air exchanges.

8.1.6.2.2 Operating phase
The **Hard Copy Device** class of EUT shall operate at nominal speed. Operating may include colour-, and/or dual sides printing. The monochrome and colour print patterns specified in Annex A.1 and A.2 respectively shall be used for EUT using paper consumables.
Enter the operating phase by starting copying or printing. The output of the first printed page marks the start of the operating phase. It ends with the output of the last printed page.
The duration of this phase shall be at least 10 minutes and, in conjunction with other parameters such as \( n \), ETC volume and the use of a post-operating phase, the duration shall be such that quantitative analysis is ensured.

8.1.6.2.3 Post-operating phase
The post-operating phase starts when the operating phase ends, and may last up to four air exchanges.
8.2 VOC, aldehydes and ketones

The flow chart in Figure 2 illustrates the determination method for VOC, aldehydes and ketones.

**Figure 2 - Determination method for VOC, aldehydes and ketones**

8.2.1 Sorbents

VOC sampling and analysis shall be performed using the sorbents as specified in ISO 16017-1, with the exception of Chromosorbs and Porapacks due to their high blank values: Tenax TA™ shall be conditioned and analysed according to ISO 16000-6 to minimise the production of artefacts, especially benzene.

For the RAL-UZ 122 Option, Tenax tubes shall be spiked with an internal standard such as cyclodecane or deuterated toluene.

For aldehydes and ketones, DNPH cartridges shall be used as sorbent material.

8.2.2 Sample collection

For VOC, duplicate samples shall be taken, and for aldehydes and ketones one sample shall be taken.

Individual VOCs, aldehydes and ketones with a concentration ≥ 1,0 µg/m³ and, under the RAL-UZ 122 Option, benzene with a concentration ≥ 0,25 µg/m³, shall be detected.

8.2.2.1 Sample collection from EUT not using consumables

Sampling shall start at 3 and end no later than 4 air exchanges after t₀.

In addition, Aldehydes and ketones sampling shall be conducted as specified in ISO 16000-3.
8.2.2.2 Sample collection from EUT using consumables

Sampling shall be performed during:

i. The pre-operating phase, starting at the beginning of this phase, or, under the RAL-UZ-122 Option, with a sample flow of 100 to 200 ml/min, from 20 minutes before the end until the end of the one-hour pre-operating phase; and

ii. The operating phase, starting at beginning of this phase, and may continue into the post-operating phase. Under the RAL-UZ 122 Option, sampling shall continue for one air exchange in the post-operating phase, with a sample flow of 100 to 200 ml/min.

Loaded samples shall be stored and analysed as specified in ISO 16000-3 and ISO 16000-6.

Identified VOCs shall be quantified using absolute response factors, determined by calibration. Unidentified VOCs shall be quantified using the toluene equivalents as a relative response factor.

When benzene is detected, this shall be verified and quantified by analysing a sample on alternate carbonaceous sorbent such as Carbotrap/Carbopack™ type materials.

8.2.3 Emission rate calculation

This Clause specifies formulae that apply to practical situations that are special cases of the general case (as developed in Annex B).

Concentrations shall be determined, using the following equations:

\[
C = \frac{m_s}{V_s} \quad C_{\text{ope}} = \frac{m_{\text{ope}}}{V_{\text{ope}}} \quad C_{\text{pre}} = \frac{m_{\text{pre}}}{V_{\text{pre}}} \quad C_{\text{bg}} = \frac{m_{\text{bg}}}{V_{\text{bg}}} \quad (1)
\]

8.2.3.1 EUT not using consumables

The SER for EUT not using consumables is:

[Equation]

8.2.3.2 EUT using consumables

The Background emission rates are:

[Equation]

a) Emissions in the pre-operating phase

Assuming constant emission rates during the pre-operating phase, emission rates shall be calculated as follows.

1. If a sample is taken from the beginning of this phase:

   \[
   SER_{\text{pre}} = \frac{C_{\text{pre}} \cdot n^2 \cdot V \cdot t_{\text{pre}} - SER_{\text{bg}} \cdot n \cdot t_{\text{pre}}}{\exp(-n \cdot t_{\text{pre}}) - 1 + n \cdot t_{\text{pre}}} \quad (4)
   \]

2. RAL-UZ 122 Option

   \[
   SER_{\text{pre}} = C_{\text{pre}} \cdot n \cdot V - SER_{\text{bg}} \quad (5)
   \]
b) Emissions in the operating and post-operating phase

1. General case

Emission rates in these phases shall be determined using the following general formula:

\[
SER_{\text{ope}} = \frac{C_{\text{ope}} \cdot n^2 \cdot V \cdot t_G - SER_{\text{pre}}[\exp(-n(t_G - t_{\text{ope}})) + n(t_G - t_{\text{ope}}) - 1 + (1 - \exp(-n \cdot t_{\text{pre}})) \cdot (1 - \exp(-n \cdot t_G))] - SER_{\text{bg}} \cdot n \cdot t_G}{\exp(-nt_G) - \exp[-n(t_G - t_{\text{ope}})] + nt_{\text{ope}}}
\] (6)

2. Special cases

Consult Annex B for more detail on special cases.

If there is no post-operating phase \((t_G = t_{\text{ope}})\), then:

\[
SER_{\text{ope}} = \frac{C_{\text{ope}} \cdot n^2 \cdot V \cdot t_G - SER_{\text{pre}}[(1 - \exp(-n \cdot t_{\text{pre}})) \cdot (1 - \exp(-n \cdot t_G))] - SER_{\text{bg}} \cdot n \cdot t_G}{\exp(-nt_G) - 1 + nt_G}
\] (7)

If the post-operating phase is relatively long \((n \cdot t_G \geq 3)\):

\[
SER_{\text{ope}} = \frac{C_{\text{ope}} \cdot n^2 \cdot V \cdot t_G - SER_{\text{pre}}[n \cdot t_G - \exp(-n \cdot t_{\text{pre}}))] - SER_{\text{bg}} \cdot n \cdot t_G}{n \cdot t_{\text{ope}}}
\] (8)

3. RAL-UZ 122 Option

For the RAL-UZ 122 Option the post-operating phase shall last one air exchange and the following approximate formula shall be used for the calculation of \(SER_{\text{ope}}\) (i.e. (B.28) as derived in Annex B.9):

\[
SER_{\text{ope}} = \frac{C_{\text{ope}} \cdot n^2 \cdot V \cdot t_G - SER_{\text{pre}} \cdot nt_G - SER_{\text{bg}} \cdot n \cdot t_G}{\exp(-nt_G) - \exp[-n(t_G - t_{\text{ope}})] + nt_{\text{ope}}}
\] (9)

8.2.3.3 TVOC (RAL-UZ 122 Option)

The TVOC value shall be calculated as the sum of the concentrations of all identified and unidentified substances, eluting between n-hexane and n-hexadecane and resulting in emission rates above the following values:

- For measurements in ETCs \(\leq 5 \text{ m}^3\): \(SER_{\text{pre}} \geq 0,005 \text{ mg/h}\) and \(SER_{\text{opr}} \geq 0,05 \text{ mg/h}\);
- For measurements in ETCs \(> 5 \text{ m}^3\): \(SER_{\text{pre}} \geq 0,02 \text{ mg/h}\) and \(SER_{\text{opr}} \geq 0,2 \text{ mg/h}\).
8.3 Ozone

The flowchart in Figure 3 illustrates the determination method for ozone.

8.3.1 Analyser and sampling line requirements
Ozone analysers shall at least have:

- Ranges of 0.02, 0.04, 0.1, 0.2, 0.4, 1 and 2 mg/m³ on the full scale (or have auto-ranging capability);
- The capability to detect at least 4 µg/m³;
- A precision of ± 2% from the mean value in the 0 mg/m³ to 0.2 mg/m³ range (i.e. 2 µg/m³ or 1% on the full scale);
- A sampling rate (may be important for small ETCs) of \( \leq 2 \) l/min.

To prevent loss of ozone in the sampling line, it shall be of minimum length, not exceeding 4 m, and made of a flexible inert material such as PTFE.

8.3.2 Monitoring
Since ozone is an unstable molecule, it shall be monitored and analysed instantaneously at least each 30 seconds during continuous operation either for at least 10 minutes, or for as long as it takes to determine the build-up and stabilisation of ozone in the ETC.

Following the end of the operating phase, the ozone half-life (\( H' \)) shall be determined from the ozone decay curve.

8.3.3 Emission rate calculation
For analysers indicating values that are converted for the Standard Ambient Temperature and Pressure (SATP) of \((273 + 25 = 298)\) K and 101 325 Pa (i.e. 1 atmosphere), \( \text{SER}_{\text{O3}} \) shall be calculated using the actual ETC pressure (P in Pa), the actual ETC temperature (T in K) and the gas conversion constant (R = 339.8 Pa/K), otherwise \( P/TR = 1 \) shall be used:

\[
\text{SER}_{\text{O3}} = \frac{C_{\text{max}} k' V P}{T R} \quad \text{with} \quad k' = k + (n / 60) = \frac{\ln 2}{H'}
\]
NOTE
if the temperature and pressure in the ETC equal the SATP, P/TR is 1.

8.4 Particulate matter
The flowchart in figure 4 illustrates the determination method for particulate matter emitted from EUT using consumables.

![Flowchart Diagram]

Figure 4 - Determination method for particulate matter

8.4.1 Weighing and Filter conditioning
The analytical balance, with a weighing precision of 1µg or better, shall be located in a temperature and humidity controlled room as specified in 7.2.1.

Glass fibre filters with 0,7 µm pore size, or equivalent e.g. 0,8 µm pore size PVC filters, shall be used.

The filters shall remain in the controlled room for 48 hours. The unused reference filter and the sampling filters shall be weighed before sampling giving \( m_{\text{ref-before}} \) and \( m_{\text{before}} \).

8.4.2 Sampling
Particulate matter shall be sampled during the operating and post-operating phases of the EUT. Sampling duration shall ensure the detection of at least 5 µg/m³ of particulate matter.

For the RAL-UZ 122 Option sampling shall start at the beginning of the operating phase and continue during the full post-operating phase that lasts 4 air exchanges.
8.4.3 Emission rate calculation

The unused reference filter and the sampling filters shall be weighted after sampling giving \( m_{\text{ref-after}} \) and \( m_{\text{after}} \).

The particulate matter mass shall be corrected with the reference filter mass difference:

\[
m_{\text{pm}} = (m_{\text{after}} - m_{\text{before}}) - (m_{\text{ref-after}} - m_{\text{ref-before}}).
\]

With \( C_{\text{ope}} = m_{\text{pm}} / V_{\text{ope}} \), the \( SER_{\text{pm}} \) shall be calculated using the following formula:

\[
SER_{\text{pm}} = \frac{C_{\text{ope}} \cdot n^2 \cdot V \cdot t_G}{\exp(-n t_G) - \exp[-n(t_G - t_{\text{ope}})] + nt_o} \tag{11}
\]

For the RAL-UZ 122 Option the following approximate formula shall be used:

\[
SER_{\text{pm}} = \frac{C_{\text{ope}} \cdot n \cdot V \cdot t_G}{t_{\text{ope}}} \tag{12}
\]

9 Test report

The test report shall include the following:

Test laboratory

- Reference to ECMA-328 2nd edition.
- Name and address of test laboratory.
- Name of the responsible person.
- Unique identification number of the test report.
- Description of the test apparatus and methods used (ETC, clean air system, environmental control system, sample collection, analytical instrumentation, standard generation and calibration).

EUT description

- Manufacturer.
- Type, brand name and serial number of EUT, and for EUT using consumables: if tabletop or floor-mounted.
- Print or copying time according to manufacturer’s information.
- Identification number (model number) and lot number of consumables used.
- History of the EUT and of the consumables (date of production, date of arrival to the test laboratory, date and time of unpacking, storage time, environmental storing conditions before test).
- Types of packaging.
- External dimensions.
- Number of hours the equipment has been used while energised during manufacture and testing.

Test conditions and methods

- Date of test.
- ETC test conditions \((T, rH, n)\).
- Background concentrations \((C_{BG})\).
• Equipment loading factor.
• Type, start time and duration of preparation.
• Sampling methods used (sorbent(s) used, volume sampled, sampling start time and duration).
• Start and duration of pre-operating, operating and post-operating phases.
• Printing speed during test and number of printed pages.
• Lightness and/or colour values (L*, a*, b*) from printout.
• Ozone half-life of the unloaded ETC.
• Detection limits of VOC, aldehydes, ketones, ozone- and particulate matter.
• Disturbances of - and deviations from test methods.

Emission rate determination
• Identification of the formulae used to determine specific emission rates from measured ETC concentrations.

Results
• Name, CAS-number and concentration of identified VOCs, formaldehyde and other aldehydes and ketones in pre-operating phase and operating phase and calculated emission rates.
• Concentration of unidentified VOCs in pre-operating phase and operating phase and calculated emission rates.
• TVOC value calculated based on the response factor of toluene as specified in ISO 16000-6, for the RAL-UZ 122 Option.
• Maximum ozone concentration and calculated ozone emission rate.
• Ozone half-life following the operating phase.
• Mass of sampled particulate matter and calculated emission rate.
Annex A
(normative)

Print Patterns

A.1 Monochrome print pattern 5% coverage

Annex A.1 illustrates the monochrome pattern, that it is not to scale, with 5% black coverage; tests of EUT using paper consumables as specified in this Standard shall be executed using the pattern at http://www.ecma-international.org/publications/standards/Ecma-328.htm.
A.2 Colour print pattern, 20% coverage

Annex A.2 illustrates the colour pattern, that it is not to scale, with 20% colour coverage (5% for black, magenta, cyan and yellow); tests of EUT using paper consumables as specified in this Standard shall be executed using the pattern at http://www.ecma-international.org/publications/standards/Ecma-328.htm.
Annex B
(informative)

Emission rate model

B.1 Objective

This Annex develops a generalised formula to calculate emission rates from VOC, aldehydes, ketones and particulate matter concentrations sampled in an ETC.

NOTE
This Annex has been developed with Hard Copy Devices in mind therefore "printing phase" denotes the operating phase.

B.2 Approach

By sampling over a period of time averaged concentrations are acquired.

Emission rates must be calculated from these average concentrations. The average concentrations during printing can be described by 4 different regions as shown in Figure B.1. During printing, there are two regions: m1 for printing, and m2 for the decay of the initial concentration \( C_0 \) and background concentration built up during the pre-operating phase. m3 represents the concentration decay from \( C_{\text{max}} \) during the post-operating phase, and m4 is the concentration build-up during the post-operating phase from pre-operating and background emission.

The following is assumed:

- The emission rates are constant;
- The emission rates during the post-operating and operating phases are equal;
- No energy saving modes apply during the pre- and post-operating phase.

Cases, where the above assumptions do not apply, are outside the scope of this Standard.

B.3 General mass balance and concentration equations

The following mass balance is valid for an ETC containing an emission source with a SER:

\[
\frac{dC}{dt} = \frac{\text{SER}}{V} - nC \quad (B.1)
\]

Integrate (B.1) to obtain \( C \):

\[
C = \frac{\text{SER}}{n \cdot V}[1 - \exp(-n \cdot t)] + C_0 \exp(-n \cdot t) \quad (B.2)
\]

B.4 Background SER

With \( \text{SER} = \text{SER}_{\text{bg}} \) and \( C_0 = 0 \) in (B.2), the background concentration is:

\[
C_{\text{bg}} = \frac{\text{SER}_{\text{bg}}}{n \cdot V}[1 - \exp(-n \cdot t)] \quad (B.3)
\]

For \( n \cdot t \geq 3 \), (B.3) reduces to (equilibrium):

\[
\text{SER}_{\text{bg}} = C_{\text{bg}} \cdot n \cdot V \quad (B.4)
\]
B.5 Emission during pre-operating phase

Assume the emission model as shown in Figure B.1. During the pre-operating phase, there are two constant emission sources; one originates from the background of the ETC, and the other originates from the EUT in the pre-operating phase.

$$\text{SER} = \text{SER}_{bg} + \text{SER}_{pre}$$ (B.1) yields:

$$\frac{dC}{dt} = \frac{\text{SER}_{bg} + \text{SER}_{pre}}{V} - nC$$ (B.5)

$$C_{pre} = \frac{\text{SER}_{bg} + \text{SER}_{pre}}{n \cdot V} \left[1 - \exp(-n \cdot t)\right] + C_0 \exp(-n \cdot t)$$ (B.6)

Because the concentration at the beginning of the pre-operating phase ($C_0$) equals the background concentration $C_{bg}$, (B.6) becomes:

$$C_{pre} = \frac{\text{SER}_{pre}}{n \cdot V} \left[1 - \exp(-n \cdot t)\right] + \frac{\text{SER}_{bg}}{n \cdot V}$$ (B.7)

The total measure for mass of sampled VOCs is equal to the product of the averaged concentration and sampling time ($C_{pre} \cdot t_{pre}$), and can be obtained by integrating (B.7) from $t=0$ to $t=t_{pre}$:

$$C_{pre} \cdot t_{pre} = \int_0^{t_{pre}} \left[ \frac{\text{SER}_{pre}}{n \cdot V} \left[1 - \exp(-n \cdot t_{pre})\right] + \frac{\text{SER}_{bg}}{n \cdot V} \right] dt = \frac{\text{SER}_{pre} [n \cdot t_{pre} + \exp(-n \cdot t_{pre}) - 1] + \text{SER}_{bg} \cdot t_{pre}}{n \cdot V}$$ (B.8)

Rearrange (B.8) for $\text{SER}_{pre}$:

$$\text{SER}_{pre} = \frac{C_{pre} \cdot n^2 \cdot V \cdot t_{pre} - \text{SER}_{bg} \cdot n \cdot t_{pre}}{n \cdot t_{pre} + \exp(-n \cdot t_{pre}) - 1} = \frac{(C_{pre} - C_0) \cdot n^2 \cdot V \cdot t_{pre}}{n \cdot t_{pre} + \exp(-n \cdot t_{pre}) - 1}$$ (B.9)

B.6 Emission during operating phase

During the operating phase the concentration build-up originates from EUT operation and the background concentration only. $C_{ope}$. Integration of (B.1) with $\text{SER} = \text{SER}_{bg} + \text{SER}_{ope}$ yields:

$$C_{ope} = \frac{\text{SER}_{ope} + \text{SER}_{bg}}{n \cdot V} \left[1 - \exp(-n \cdot t)\right] + C_0 \exp(-n \cdot t)$$ (B.10)

NOTE

In (B.10) $C_0$ is equal to the concentration at the end of the pre-operating phase ($C_{pre}$) determined in (B.7).

In Figure B.1, the terms from $m1$ to $m4$ denote the product of average concentration, stemming from a source, and the time period of the phase under consideration. Integrating equation (B.10) over time from zero to the end of printing ($t_{ope}$), yields $m1$ and $m2$.

$$m1 = \int_0^{t_{ope}} \frac{\text{SER}_{ope} + \text{SER}_{bg}}{n \cdot V} \left[1 - \exp(-n \cdot t)\right] dt = \frac{(\text{SER}_{ope} + \text{SER}_{bg}) \cdot [n \cdot t_{ope} + \exp(-n \cdot t_{ope}) - 1]}{n^2 \cdot V}$$ (B.11)

$$m2 = \int_0^{t_{ope}} [C_0 \exp(-n \cdot t)] dt = \frac{C_0 \cdot n \cdot V [1 - \exp(-n \cdot t_{ope})]}{n^2 \cdot V}$$ (B.12)

$m1$: Concentration area over time during printing

$m2$: Concentration area over time which decays from $C_0$ due to air exchange
m3: Concentration area over time which decays from $C_{\text{max}}$ to the equilibrium concentration of pre-operating and background concentration.

m4: Concentration area over time during pre-operating phase.

Figure B.1 - Representation of concentration change during printing and post operating phase.

*: Sampling can be stopped at any time during the each phase

**: Error can be minimized if pre operating and post-operating sampling time is equal.
B.7 Emission during post-operating phase

The concentration built-up from the operating phase \(C_{\text{max}}\) decreases with the air exchange in the post-operating phase. But emissions from background level to the level at the end of the pre-operating phase increase. Obtain \(C_{\text{max}}\) in Figure B.1 from (B.10) for \(t = t_{\text{ope}}\):

\[
C_{\text{max}} = \frac{(\text{SER}_{\text{ope}} + \text{SER}_{\text{bg}})}{n \cdot V} \left[1 - \exp(-n \cdot t_{\text{ope}})\right] + C_0 \exp(-n \cdot t_{\text{ope}}) \tag{B.13}
\]

Similar to (B.2), the concentration during the post-operating can be written as:

\[
C_{\text{post}} = \frac{(\text{SER}_{\text{pre}} + \text{SER}_{\text{bg}})}{n \cdot V} \left[1 - \exp(-n \cdot (t - t_{\text{ope}}))\right] + C_{\text{max}} \exp(-n \cdot (t - t_{\text{ope}})) \tag{B.14}
\]

Concentration reached at \(C_{\text{max}}\) decreased by air exchange

Term due to emissions in post operating phase

\[
m_3 \text{ in Figure B.1 is obtained by integrating the second term of (B.14) over time from } t_{\text{ope}} \text{ to } t_G.
\]

\[
m_3 = \int_{t_{\text{ope}}}^{t_G} \left\{ \frac{(\text{SER}_{\text{ope}} + \text{SER}_{\text{bg}})}{n \cdot V} \left[1 - \exp(-n \cdot t_{\text{ope}})\right] + C_0 \exp(-n \cdot t_{\text{ope}}) \right\} \cdot \left[\exp(-n \cdot (t - t_{\text{ope}}))\right] dt
\]

\[
= \left[\exp(-n(t_G - t_{\text{ope}})) - 1\right] \left\{ \frac{(\text{SER}_{\text{ope}} + \text{SER}_{\text{bg}})}{n \cdot V} \left[\exp(-n \cdot t_{\text{ope}}) - 1\right] - \frac{C_0}{n} \exp(-n \cdot t_{\text{ope}}) \right\} \tag{B.15}
\]

Similarly, \(m_4\) can be found by integrating the first term of (B.14):

\[
m_4 = \int_{t_{\text{ope}}}^{t_G} \frac{(\text{SER}_{\text{pre}} + \text{SER}_{\text{bg}})}{n \cdot V} \left[1 - \exp(-n \cdot (t - t_{\text{ope}}))\right] dt
\]

\[
= \frac{(\text{SER}_{\text{pre}} + \text{SER}_{\text{bg}})}{n^2 \cdot V} [n(t_G - t_{\text{ope}}) + \exp(-n(t_G - t_{\text{ope}})) - 1] \tag{B.16}
\]

The average concentration \(C_{\text{ope}}\) during sampling in the operating and post-operating phase is:

\[
C_{\text{ope}} = \frac{m_1 + m_2 + m_3 + m_4}{t_G} = \frac{m_{\text{ope}}}{V_{\text{ope}}} \tag{B.17}
\]

The initial concentration \(C_0\) can be determined from the emission during the pre-operating phase:

\[
C_0 = \frac{\text{SER}_{\text{pre}}}{n \cdot V} \left[1 - \exp(-n \cdot t_{\text{pre}})\right] + \frac{\text{SER}_{\text{bg}}}{n \cdot V} \tag{B.19}
\]
If the air exchange rates in the pre-operating and operating phase differ, \( n \) can be replaced by \( n_{\text{pre}} \):

\[
C_G = \frac{\text{SER}_{\text{pre}}}{n_{\text{pre}} \cdot V} \left[1 - \exp(-n_{\text{pre}} \cdot t_{\text{pre}})\right] + \frac{\text{SER}_{\text{bg}}}{n_{\text{pre}} \cdot V} \tag{B.20}
\]

(B.18) can be simplified using (B.19), where \( n \) is equal in the pre-, post- and operating phases:

\[
\text{SER}_{\text{ope}} = \frac{C_{\text{ope}} \cdot n^2 \cdot V \cdot t_G - \text{SER}_{\text{pre}} \left[\exp(-n(t_G - t_{\text{ope}})) + n(t_G - t_{\text{ope}}) - 1 + (1 - \exp(-n \cdot t_{\text{pre}})) \cdot (1 - \exp(-n \cdot t_G))\right] - \text{SER}_{\text{bg}} \cdot n \cdot t_G}{\exp(-nt_G) - \exp[-n(t_G - t_{\text{ope}})] + nt_{\text{ope}}} \tag{B.21}
\]

(B.21) is the general case formula used in the main text.

**NOTE**

It is advised to use the same sampling time during pre-operating (\( t_{\text{pre}} \)) and post-operating (\( t_G-t_{\text{ope}} \)) if the emission behaviour of the EUT is unknown (one or more energy modes in addition to save energy mode). In that case, (B.21) can be reduced to:

\[
\text{SER}_{\text{ope}} = \frac{C_{\text{ope}} \cdot n^2 \cdot V \cdot t_G - \text{SER}_{\text{pre}} \left[n(t_G - t_{\text{ope}}) - \exp(-nt_G) + \exp(-n \cdot (2t_G - t_{\text{ope}}))\right] - \text{SER}_{\text{bg}} \cdot n \cdot t_G}{\exp(-nt_G) - \exp[-n(t_G - t_{\text{ope}})] + nt_{\text{ope}}} \tag{B.22}
\]

**B.8 Special cases**

**Case 1**

Pre-operating phase is relatively long (\( nt_{\text{pre}} \geq 3 \)), and post-operating phase relatively long (\( nt_G \geq 3 \)), (B.21) can be reduced to:

\[
\text{SER}_{\text{ope}} = \frac{C_{\text{ope}} \cdot n^2 \cdot V \cdot t_G - \text{SER}_{\text{pre}} \cdot \left[n(t_G - t_{\text{ope}}) - \exp(-n \cdot t_{\text{pre}})\right] - \text{SER}_{\text{bg}} \cdot n \cdot t_G}{nt_{\text{ope}}} \tag{B.23}
\]

and if \( nt_{\text{pre}} \geq 3 \), (B.23) can be reduced to:

\[
\text{SER}_{\text{ope}} = \frac{C_{\text{ope}} \cdot n^2 \cdot V \cdot t_G - \text{SER}_{\text{pre}} \cdot \left[n(t_G - t_{\text{ope}})\right] - \text{SER}_{\text{bg}} \cdot n \cdot t_G}{nt_{\text{ope}}} \tag{B.24}
\]

and if background concentration is neglected, (B.24) can be reduced to:

\[
\text{SER}_{\text{ope}} = \frac{C_{\text{ope}} \cdot n^2 \cdot V \cdot t_G - \text{SER}_{\text{pre}} \cdot \left[n \cdot (t_G - t_{\text{ope}})\right]}{nt_{\text{ope}}} \tag{B.25}
\]

**Case 2**

Pre-operating phase is relatively long (\( nt_{\text{pre}} \geq 3 \)), and the air exchange rate during the pre-operating and operating phases are equal (\( n_{\text{pre}}=n \)), (B.21) can be reduced to:

\[
\text{SER}_{\text{ope}} = \frac{C_{\text{ope}} \cdot n^2 \cdot V \cdot t_G - \text{SER}_{\text{pre}} \cdot \left[\exp(-n(t_G - t_{\text{ope}})) + n(t_G - t_{\text{ope}}) - \exp(-n \cdot t_G)\right] - \text{SER}_{\text{bg}} \cdot n \cdot t_G}{\exp(-nt_G) - \exp[-n(t_G - t_{\text{ope}})] + nt_{\text{ope}}} \tag{B.26}
\]
The model developed in earlier chapters of this annex, and the model for the RAL-UZ 122 Option only differ by the definition of the emission rate during the operating phase.

The emission rate during the operating phase can be thought to be composed of \(SER_{\text{ope}}\) and \(SER_{\text{pre}}\), as described in the following model.

Figure B.2a presents the situation where equilibrium has been reached in the pre-operating phase. In Figure B.2b no equilibrium has been reached in the pre-operating phase, but it is assumed that the EUT enters an energy save mode during the pre-operating phase, so that a quasi-equilibrium is attained at the end of the pre-operating phase.

Emission is defined as: the area under the concentration curve, expressed by \(m1' + m3'\) in Figure B.2, which can be seen as the increase in concentration due to printing.
Figure B.2 – Model for equilibrium and constant $C_0$ (a) and (b) RAL-UZ 122 Option model
The SER\textsubscript{ope} is:

\[
\text{SER}_\text{ope} = \frac{C_{\text{ope}} \cdot n^2 \cdot V \cdot t_G - (\text{SER}_\text{pre} + \text{SER}_\text{tg}) \cdot [\exp(-n t_G) + n t_G - 1] - C_0 \cdot n \cdot V [1 - \exp(-n \cdot t_G)]}{\exp(-n t_G) - \exp[-n(t_G - t_{\text{ope}})] + nt_{\text{ope}}}
\]

(B.27)

The initial concentration (C\textsubscript{0}) is the same as in equation (B.19) or (B.20).

If the initial concentration is equal to the pre-operating equilibrium concentration (C\textsubscript{0}=SER\textsubscript{pre}(n*V)) and n is equal in the pre-, post and operating phases (i.e. (B.19) holds), then (B.27) can be reduced to:

\[
\text{SER}_\text{ope} = \frac{C_{\text{ope}} \cdot n^2 \cdot V \cdot t_G - \text{SER}_\text{pre} \cdot nt_G - \text{SER}_\text{tg} \cdot n \cdot t_G}{\exp(-n t_G) - \exp[-n(t_G - t_{\text{ope}})] + nt_{\text{ope}}}
\]

(B.28)
Annex C
(informative)

Influence of EUT filtering on $\text{SER}_{O3}$

This Annex describes the determination of the ozone emission rate for a EUT, when the effect of the ozone filtering capacity of the EUT in the operating phase is taken into account.

In §8.3.3 the ozone emission rate, $\text{SER}_{O3}$, is calculated from $C_{\text{max}}$, $k$, and $V$ as follows:

$$\text{SER}_{O3} = \frac{C_{\text{max}} k' V P}{TR} \quad \text{with} \quad k' = k + \frac{n}{60} = \frac{\ln 2}{H}$$  \hfill (C.1)

When the equilibrium ozone concentration $C_{\text{max}}$ in the ETC is attained, additional ozone may be injected in the ETC until the concentration, $C_{\text{peak}}$, is the greater of $C_{\text{max}} + 0.2 \text{mg/m}^3$ or $3C_{\text{max}}$. The subsequent ozone decay is measured during operation of the EUT until the ozone concentration in the ETC returns to $C_{\text{max}}$.

Then, the ozone emission rate, $\text{SER}_{O3}$, is:

$$\text{SER}_{O3} = \frac{C_{\text{max}} k'' V P}{TR} \quad \text{with} \quad k'' = k + \frac{n}{60} + B \quad \text{and} \quad B = F \frac{Q}{V}$$  \hfill (C.2)

Where:

- $B$ Ozone filtering capacity of the EUT;
- $F$ Ozone filtering factor of the EUT (no filtration: $F = 0$; complete filtration: $F = 1$);
- $Q$ Volumetric flow of air, expelled from the EUT [m$^3$/min].

If an ozone filter is present in the EUT, then the ozone filtering factor ($F$) must be determined by measuring ozone concentrations and volumetric flows at the exhaust of the EUT with and without ozone filter, using the following formula:

$$F = \frac{(Q_a C_a - Q_p C_p)}{Q_a C_a}$$

Where:

- $Q_a$ Flow without ozone filter [m$^3$/min]
- $C_a$ Ozone concentration without ozone filter [$\mu$g/m$^3$]
- $Q_p$ Flow with ozone filter [m$^3$/min]
- $C_p$ Ozone concentration with ozone filter [$\mu$g/m$^3$]

NOTE
The ozone filtering factor thus denotes how much ozone is removed from the filtered ozone flow in comparison to the total amount of ozone present in the flow before entering the filter. No filtration: $F = 0$; complete filtration = 1.

If more exhaust ports are present in the EUT, where ozone filtered air exits, then ozone-filtering capacities ($B_i$) should be summed, before substituting these in (C.2).
Furthermore:

\[ k'' = \frac{\ln 2}{H''} \]

where:

- \( H'' \) Ozone half life [min], time for the ozone concentration to fall to \((C_{\text{peak}} - C_{\text{max}})/2\);
- \( C_{\text{peak}} \) Highest ozone concentration value, reached at the end of the time when extra ozone is injected.

The ozone emission / generation rate can be calculated from (C.1) or (C.2) by using \( k' \) or \( k'' \) respectively, \( c_{\text{max}}, V, p \) and \( T \).

The applicability of (C.1) and (C.2) is illustrated in Figure C.1.

“Start” and “stop” refer to the beginning, respectively the end of the operating phase.