ECMA EUROPEAN COMPUTER MANUFACTURERS ASSOCIATION

STANDARD ECMA-72

TRANSPORT PROTOCOL

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BRIEF HISTORY

Work on an ISO Reference Model for Interconnection started in 1977 with the formation of ISO/TC97/SC16 and the development of a Reference Model, now ISO 7498.

In ECMA work on a Transport Protocol to be designed in accordance with layer 4 of the ISO Reference Model started in 1978 with the formation of TG E in ECMA/TC9 (further TC24, Communication Protocols) under the guidance of TC23 (Open Systems Interconnection), which led to an ECMA Standard for a Transport Protocol. The first edition of Standard ECMA-72 was published in January 1981. Cooperation with ISO/TC97/SC16 and CCITT was maintained during the development of the standard, and Class 0 was introduced on request of the CCITT.

Changes required for compatibility with ISO and CCITT were introduced, including revision of the class structure to accommodation the CCITT/ISO Class 1, the definition of Class 1 and the elimination of the Purge function. This lead to the second edition of Standard ECMA-72, in June 1982.

Since publication of the first two editions of ECMA-72 substantial work has been performed by ISO and CCITT resulting in the publication of International Standard ISO 8073 and of CCITT Recommendation X.224. ECMA has participated to this work and these documents have incorporated much of the original substance of the original edition of ECMA-72. For consistency, this new edition of ECMA-72 has adopted the text of ISO 8073, almost unchanged. It differs from ISO 8073 in two respects. The section summarising the Transport Service has been expanded, in line with previous editions of ECMA-72, and Appendix C has been introduced providing ECMA recommendations to implementors.

This 3rd Edition of Standard ECMA-72 has been adopted by the General Assembly of ECMA on Dec. 14, 1984. It supersedes the 2nd Edition dated June 1982.

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INTRODUCTION

The Transport Protocol Standard is one of a set of ECMA Standards produced to facilitate the interconnection of computer systems. The set of standards covers the services and protocols required to achieve such interconnection.

The Transport Protocol Standard is positioned with respect to other related standards by the layers defined in the Reference Model for Open Systems Interconnection (ISO 7498). It is most closely related to, and lies within the field of application of the Transport Service Standard (ISO 8072). It also uses and makes reference to the Network Service Standard (ISO 8348), whose provisions it assumes in order to accomplish the transport protocol's aims. The interrelationship of these standards is shown below.

	TRANSPORT SERVICE DEFINITION
Transport Protocol	Reference to aims
Specification	

This ECMA Standard specifies a common encoding and a number of classes of transport protocol procedures to be used with different network qualities of service.

It is intended that the Transport Protocol should be simple but general enough to cater for the total range of Network Service qualities possible, without restricting future extensions.

The protocol is structured to give rise to classes of protocol which are designed to minimize possible incompatibilities and implementation costs.

The classes are selectable with respect to the Transport and Network Services in providing the required quality of service for the interconnection of two session entities (note that each class provides a different set of functions for enhancement of service qualities).

This protocol standard defines mechanisms that can be used to optimise network tariffs and enhance the following qualities of service:

- different throughput rates,
- different error rates,
- integrity of data requirements,
- reliability requirements.

It does not require an implementation to use all of these mechanisms, nor does it define methods for measuring achieved quality of service or criteria for deciding when to release transport connections following quality of service degradation.

The primary aim of this Standard is to provide a set of rules for communication expressed in terms of the procedures to be carried out by peer entities at the time of communication. These rules for communication are intended to provide a sound basis for development in order to serve a variety of purposes:

- as a guide for implementors and designers,
- for use in the testing and procurement of equipment,
- as part of an agreement for the admittance of systems into the open systems environment,
- as a refinement of the understanding of OSI.

It is expected that the initial users of this Standard will be designers and implementors of equipment and the Standard contains, in notes or in appendices guidance on the implementation of the procedures defined in this Standard.

It should be noted that, as the number of valid protocol sequences is very large, it is not possible with current technology to verify that an implementation will operate the protocol defined in this Standard correctly under all circumstances. It is possible by means of testing to establish confidence that an implementation correctly operates the protocol in a representative sample of circumstances. It is, however, intended that this Standard can be used in circumstances where two implementations fail to communicate in order to determine whether one or both have failed to operate the protocol correctly.

This Standard contains a section on conformance of equipment claiming to implement the procedure in this Standard. Attention is drawn to the fact that the Standard does not contain any tests to demonstrate this conformance.

The variations and options available within this Standard are essential to enable a Transport Service to be provided for a wide variety of applications over a variety of network qualities. Thus, a minimally conforming implementation will not be suitable for use in all possible circumstances. It is important, therefore, to qualify all references to this Standard with statements of the options provided or required or with statements of the intended purpose of provision or use.

1. SCOPE AND FIELD OF APPLICATION

- 1.1 This ECMA Standard specifies:
 - i) five classes of procedures :

- Class 0 : Simple class,

- Class 1 : Basic error recovery class,

- Class 2 : Multiplexing class,

- Class 3 : Error recovery and multiplexing class,
- Class 4 : Error detection and recovery class,

- Class 4 . Effor detection and recovery class,

for the connection-oriented transfer of data and control information from one transport entity to a peer transport entity;

- ii) the means of negotiating the class of procedures to be used by the transport entities;
- iii) the structure and encoding of the transport protocol data units used for the transfer of data and control information.
- 1.2 The procedures are defined in terms of :
 - the interactions between peer transport entities through the exchange of transport protocol data units,
 - the interactions between a transport entity and the transport service user in the same system through the exchange of transport service primitives,
 - the interactions between a transport entity and the network service provider through the exchange of network service primitives.

These procedures are defined in the Standard and supplemented by state tables in Appendix A.

- 1.3 The procedures are applicable to instances of communication between systems which support the Transport Layer of the OSI Reference Model and which wish to interconnect in an open systems environment.
- 1.4 This Standard also specifies conformance requirements for systems implementing these procedures. It does not contain tests which can be used to demonstrate this conformance.

2. REFERENCES

- ISO 7498 Information processing systems Open Systems Interconnection - Basic Reference Model
- ISO 8072 Information processing systems Open Systems Interconnection - Transport Service Definition. (1984 Status: DIS)

ISO 8348 Information Processing Systems - Open Systems Interconnection - Connection-Oriented Network Service Definition.
(1984 Status: DIS)

3. DEFINITIONS

- 3.1 This ECMA Standard is based on the concepts developed in the Reference Model for Open Systems Interconnection (ISO 7498) and makes use of the following terms defined in that standard:
 - concatenation and separation,
 - segmenting and reassembling,
 - multiplexing and demultiplexing,
 - splitting and recombining,
 - flow control.
- 3.2 For the purpose of this Standard, the following definitions apply:

3.2.1 Equipment

Hardware or software or a combination of both; it need not be physically distinct within a computer system.

3.2.2 Transport Service User

An abstract representation of the totality of those entities within a single system that make use of the transport service.

3.2.3 Network Service Provider

An abstract machine that models the totality of the entities providing the network service, as viewed by a transport entity.

3.2.4 Local Matter

A decision made by a system concerning its behaviour in the Transport Layer that is not subject to the requirements of this protocol.

3.2.5 Initiator

A transport entity that initiates a CR TPDU.

3.2.6 Responder

A transport entity with whom an initiator wishes to establish a transport connection.

NOTE 1

Initiator and responder are defined with respect to a single transport connection. A transport entity can be both an initiator and responder simultaneously.

3.2.7 Sending Transport Entity

A transport entity that sends a given TPDU.

3.2.8 Receiving Transport Entity

A transport entity that receives a given TPDU.

3.2.9 Preferred Class

The protocol class that the initiator indicates in a CR TPDU as its first choice for use over the transport connection.

3.2.10 Alternative Class

A protocol class that the initiator indicates in a CR TPDU as an alternative choice for use over the transport connection.

3.2.11 Proposed Class

A preferred class or an alternative class.

3.2.12 Selected class

The protocol class that the responder indicates in a CC TPDU that it has chosen for use over the transport connection.

3.2.13 Proposed Parameter

The value for a parameter that the initiator indicates in a CR TPDU that it wishes to use over the transport connection.

3.2.14 Selected Parameter

The value for a parameter that the responder indicates in a CC TPDU that it has chosen for use over the transport connection.

3.2.15 Error Indication

An N-RESET indication, or an N-DISCONNECT indication with a reason code indicating an error, that a transport entity receives from the NS-provider.

3.2.16 Invalid TPDU

A TPDU that does not comply with the requirements of this ECMA Standard for structure and encoding.

3.2.17 Protocol Error

A TPDU whose use does not comply with the procedures for the class.

3.2.18 Sequence number

3.2.18.1 The number in the TPDU-NR field of a DT TPDU that indicates the order in which the DT TPDU was transmitted by a transport entity.

3.2.18.2 The number in the YR-TU-NR field of an AK or RJ TPDU that indicates the sequence number of the next DT TPDU expected to be received by a transport entity.

3.2.19 Transmit Window

The set of consecutive sequence numbers which a transport entity has been authorized by its peer entity to send at a given time on a given transport connection.

3.2.20 Lower Window Edge

The lowest sequence number in a transmit window.

3.2.21 Upper Window Edge

The sequence number which is one greater than the highest sequence number in the transmit window.

3.2.22 Upper Window Edge Allocated To The Peer Entity

The value that a transport entity communicates to its peer entity to be interpreted as its new upper window edge.

3.2.23 Closed Window

A transmit window that contains no sequence number.

3.2.24 Window Information

Information contained in a TPDU relating to the upper and the lower window edges.

3.2.25 Frozen Reference

A reference that is not available for assignment to a connection because of the requirements of 6.18.

3.2.26 Unassigned Reference

A reference that is neither currently in use for identifying a transport connection nor which is in a frozen state.

3.2.27 Transparent (Data)

TS-user data that is transferred intact between transport entities and which is unavailable for use by the transport entities.

3.2.28 Owner (Of a Network Connection)

The transport entity that issued the N-CONNECT request leading to the creation of that network connection.

3.2.29 Retained TPDU

A TPDU that is subject to the retransmission procedure or retention until acknowledgement procedure and is available for possible retransmission.

4. ACRONYMS

4.1 Data Units

TPDU Transport Protocol Data Unit
TSDU Transport Service Data Unit
NSDU Network Service Data Unit

4.2 Types of Transport Protocol Data Units

Connection request TPDU CR TPDU Connection confirm TPDU CC TPDU DR TPDU Disconnect request TPDU DC TPDU Disconnect confirm TPDU DT TPDU Data TPDU ED TPDU Expedited Data TPDU AK TPDU Data acknowledge TPDU EA TPDU Expedited acknowledge TPDU Reject TPDU RJ TPDU ER TPDU Error TPDU

4.3 TPDU Fields

Length indicator (field) LICredit (field) CDT Transport service access point TSAP-ID identifier (field) Destination reference (field) DST-REF Source reference (field) SRC-REF End of TSDU mark EOT DT TPDU number (field) TPDU-NR ED TPDU number (field) ED-TPDU-NR Sequence number response (field) YR-TU-NR ED TPDU number response (field) YR-EDTU-NR

4.4 Times and associated variables

T1 Elapsed time between retransmissions The maximum number of transmissions N Bound on reference and sequence number L Inactivity time Ι W Window time Time to try reassignment/resynchronization TTR Time to wait for TWR reassignment/resynchronization Supervisory timer 1 TS1 TS2 Supervisory timer 2 NSDU lifetime Local-to-remote MLR NSDU lifetime remote-to-local MRL Expected maximum transit delay Local to remote ELR Expected maximum transit delay remote to local ERL Persistence time R Local acknowledgment time ALRemote acknowledgment time. AR

4.5 Miscellaneous

TS-user Transport service user

TSAP Transport service access point

NS-provider Network service provider

NSAP Network service access point

QOS Quality of service

5. OVERVIEW OF THE TRANSPORT PROTOCOL

5.1 Service provided by the Transport Layer

5.1.1 Objectives of the Transport Service

This Standard is designed in accordance with the ISO Basic Reference Model for Open Systems Interconnection: the overall architecture, the structuring principles and terminology defined therein are to be considered as part of this ECMA Transport Protocol. It is a fundamental principle of this architecture that the data processing oriented functions, i.e. the users of the Transport Service (TS-users) are clearly decoupled from the communication oriented functions, i.e. providers of Transport Service (TS-providers) (Fig. 2). More precisely, it is the ultimate purpose of the Transport Service to provide two communicating TS-users with the means for transparent and reliable end-to-end transfer irrespective of the underlying communications media (layer 1 to 3) used. The main requirements for the Transport Service, to be provided by a transport entity to the local TS-user are :

- Transparency. The Transport Service shall be transparent, i.e. not restrict the content, format or coding of the user information (data or control), nor ever need to understand its structure or meaning. However each TSDU is structured so that it contains an integral number of octets.
- Quality of Service Selection. The Transport Layer is required to optimize the use of available communications resources to provide the QOS required by communicating TS-users at minimum cost. QOS is specified through the selection of values for QOS parameters representing characteristics such as throughput, transit delay, residual error rate and failure probability.
- Network Independence. The Transport Service shall be homogeneous, while allowing a suitable wide variety of underlying communications media, protocols and mechanisms.
- End-to-End Significance. The Transport Service shall have end-to-end significance, connecting the TS-users irrespective of the number of individual communications links used.
- Address Decoupling. The Transport Service shall use a system of addressing particular to it, which is mapped onto the addressing scheme of the supporting Network Service.

A TS-user is known to the TS-provider only by its transport address. Transport addresses can be used by TS-users to uniquely identify other TS-users.

This section describes the Transport Services provided by the TS-provider to the local TS-user through the TSAP. This service is connection-oriented. A connectionless mode Transport Service is under consideration.

The Transport Layer makes these services available by making use of the underlying services provided by the supporting layers. The definition of the Transport Service presented here is in terms of service primitives. In many implementations there will exist an equivalent set of interface primitives, but such interface primitives are much affected by the properties of the local operating system and language support and so are not suited to a general description and are not the subject of this Standard. Such a set of interface primitives might include strictly local functions, such as listening for or awaiting an incoming call; these have no exact parallel in the messages passing across the network, nor do they require end-to-end agreement. For this reason the definition of interface primitives as well as the mapping of these primitives onto the Transport Protocol Data Units is considered a matter of local implementation in particular systems and it is not the subject of this Standard.

There is not necessarily a one-to-one correspondence between service primitives and TPDUs. The essential Transport Service provided to the communicating TS-users is the delivery of TSDUs via TCs established between pairs of transport addresses identifying the corresponding TS-users. TS-users are provided with the means to establish, use and release TCs which represent a two way simultaneous data path between them.

This Transport Service is considered to be a basic Transport Service, the quality of which may be selected on a per-connection basis and which contains an option.

The option means that the expedited Transport Service is only made available when specifically requested and agreed to by both TS users when the TC is established.

The Transport Services provided to the TS-users can be considered in three groups, each associated with the three phases of a TC: the TC-establishment phase, the data transfer phase and the TC-release phase.

5.1.2 Transport Service Primitives

The following Transport Service primitives are defined:

- TC-establishment phase

T-CONNECT request

T-CONNECT indication

T-CONNECT response

T-CONNECT confirm

- Data Transfer phase

T-DATA request

T-DATA indication

T-EXPEDITED DATA request

T-EXPEDITED DATA indication

- TC release phase

T-DISCONNECT request

T-DISCONNECT indication

5.1.3 Transport Connection Establishment Services

TCs can be dynamically established across the TSAP. More than one TC can be established between the same pair of transport addresses.

In the following section TC-establishment is described by way of an example of the establishment of a TC from a calling TS-user A to a called TS-user B.

5.1.3.1 T-CONNECT Request

TS-user A requests the establishment of a TC to TS-user B by passing a T-CONNECT request across the TSAP. The T-CONNECT request primitive conveys the following items:

- Calling and called transport addresses.
- Indication of the quality of Transport Service required.
- An indication whether the expedited Transport Service is required or not.
- An amount of no more than 32 octets optional TS-user data (e.g. TS-user control information) which is considered to be a complete TSDU.

5.1.3.2 T-CONNECT Indication

TS-user B receives a T-CONNECT indication as a result of the request by the corresponding TS-user A. This assumes that the TC-establishment attempt was not aborted by either TS-user A or any supporting entity.

The T-CONNECT indication contains the calling and called transport address, an indication regarding the expedited Transport Service option and TS-user data, if any, generated by TS-user A. The quality of service parameter, however, is not necessarily the same as that generated by the originating TS-user A.

5.1.3.3 T-CONNECT Response

The called TS-user may either accept the connection request by passing a T-CONNECT response across the TSAP or refuse the connection request by passing a T-DISCONNECT request (see 5.1.5). The T-CONNECT response may also contain a quality of service indication, equal to or less than the received quality of service indication, optional TS-user data and an indication regarding the expedited Transport Service option. At this point TS-user B is in the data transfer phase.

5.1.3.4 T-CONNECT Confirm

TS-user A will receive a T-CONNECT confirm after TS-user B issues the T-CONNECT response.

TS-user A is now in the data transfer phase and TC-establishment is complete.

The T-CONNECT confirm contains the TS-user data, if any, an indication of the quality of service negotiated and an indication regarding the expedited Transport Service option.

5.1.4 Data Transfer Services

As a basic facility a TC consists of a two way simultaneous data path providing for the exchange of TSDUs. Thus a TC can be modelled as a pair of independent one way pipes between two TS-users. If requested, an additional pair of data pipes is provided on the same TC for the exchange of Expedited Transport Service Data Units (EDTSDUs). EDTSDUs are subject to different Transport Service characteristics and separate flow control.

5.1.4.1 Data Transfer

The TS-provider receives TSDUs at a TSAP at one end of a TC, and delivers them to the associated TSAP at the other end of that same TC.

A TSDU has a distinct beginning and end. The TS-provider maintains the integrity of individual TSDUs. This does not imply that TSDUs exist as such within the TS-provider. The TS-provider does not impose any constraints on the maximum size of TSDUs.

TSDUs will be delivered in the same order in which they were submitted.

A TSDU can be transferred through the TSAP in one or more transport interface data units. The size of a transport interface data unit is not necessarily the same at both ends of a TC, i.e. it is dependent on the local implementation.

Data may be held within the TS-provider until a TSDU delimiter is passed through the TSAP by the sending TS-user. It is expected that a TSDU will be received in its entirety by the TS-user before it is acted upon.

This does not preclude data from being handed over to the receiving TS-user in smaller units before a complete TSDU has been received from the sending TS-user.

5.1.4.1 Flow control

The behaviour of the TS-user influences and is influenced by transport flow control behaviour by back pressure in the following manner.

A receiving TS-user can dynamically control the rate at

which it receives TSDUs on a TC. This flow control condition may eventually be propagated to the remote TSAP to control the rate at which the sending transport entity will accept TSDUs from the corresponding TS-user.

A TS-user that is authorized to place a TSDU into the TC cannot necessarily assume that the remote TSuser has authorized the acceptance of data.

Similarly, lack of authorization to place a TSDU into the TC does not necessarily imply that the remote TS-user has not authorized the acceptance of data.

The TS-user may use this mechanism to suspend the transfer of data for an extended period of time.

5.1.4.1.2 Error Notification

Only data that the receiving transport entity believes to be error free will be passed to the receiving TS-user. When errors are detected and all possible recovery attempts in accordance with the agreed quality of service have failed, the TC is terminated and both TS-users are notified by a T-DISCONNECT indication (see 5.5.2).

5.1.4.2 Expedited Data Transfer

This Transport Service is available only when Expedited Transport Service has been requested. Expedited Transport Service Data Units (EDTSDUs) provide an additional means for the exchange of up to 16 octets of TS-user data between TS-users. Since the flow of EDTSDUs is decoupled from the flow of TSDUs it may be used to bypass the flow control mechanism applying to the transfer of TSDUs. The expedited data flow may be convenient for the exchange of TS-user status information or for back track mechanisms exercised by TS-users requiring "selective" cancelling of TSDUs.

The transfer of EDTSDUs is subject to a different kind of flow control. An EDTSDU will never be delivered after a normal TSDU that was subsequently submitted by the originating TS-user. EDTSDUs are guaranteed to be delivered without loss and in sequence.

5.1.5 <u>Transport Connection Release Services</u>

Release of a TC may be initiated at a TSAP by either of the TS-users by issuing a T-DISCONNECT request or by the TS-provider by transferring a T-DISCONNECT indication. A TC may be released in any phase, i.e. TC-establishment phase or data transfer phase. Release of a TC may result in aborting any current data transfer and expedited data transfer.

The TC-release service does not guarantee the delivery of TSDUs and EDTSDUs that precede the TC-release and are still in transit. It is the responsibility of the TS-user to provide the means for achieving orderly release of a session before initiating release of the corresponding TC.

5.1.5.1 T-DISCONNECT Request

Either TS-user may initiate TC-release by passing a T-DISCONNECT request across the TSAP. An amount of not more than 64 octets of TS-user data may be submitted with the T-DISCONNECT request. The quality of service associated with these TS-user data may be lower than that associated with TS-user data in the data transfer phase. The TS-provider will release the TC immediately without making sure that TSDUs or EDTSDUs submitted prior to the T-DISCONNECT request have been delivered to the corresponding TS-user.

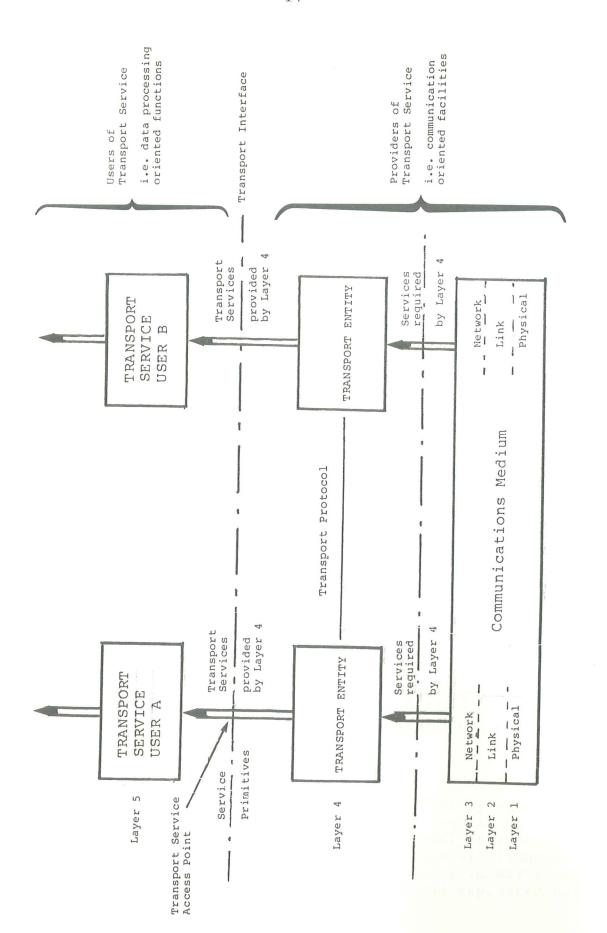
The TC will be released regardless of the action taken by the remote TS-user.

Upon receipt of a T-DISCONNECT request the local transport entity will release the resources associated with the TC.

5.1.5.2 T-DISCONNECT Indication

A T-DISCONNECT indication will be issued by the local transport entity as a result of a T-DISCONNECT request at the remote TSAP. The TS-user data will be delivered within the constraints as indicated in 5.1.5.1. The reason parameter will indicate that this T-DISCONNECT Indication resulted from a T-DISCONNECT Request. Due to an unrecoverable error detected by the TS-provider implying that the requested quality of service can no longer be guaranteed, the TS-provider issues to both TS-users a T-DISCONNECT Indication with an appropriate reason code.

In response to a T-CONNECT Request the TS-provider may issue a T-DISCONNECT Indication with an appropriate reason code (e.g. lack of resources).



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5.2 Service assumed from the Network Layer

The protocol specified in this Standard assumes the use of the Network Service defined in ISO 8348.

Information is transferred to and from the NS-provider in the Network Service primitives listed in Table 2.

Table 2 - Network Service Primitives

Primitives		X/Y Parameters		X/Y/Z
N-CONNECT	request indication	X X	Called Address, Calling Address, Receipt confirmation selection, Expedited data selection, QOS parameter set NS user data	X X Y Y X Z
	response confirmation	X X	Responding address, Receipt confirmation selection, Expedited data selection, QOS parameter set, NS user data	X Y Y X Z
N-DATA	request indication	X X	NS user data Confirmation request	X Y
N-DATA ACKNOWLEDGE	request indication	Y Y		
N-EXPEDITED DATA	request indication	Y Y	NS user data	Y
N-RESET	request indication	X X	Originator, Reason	Z Z
	response confirmation	X X		
N-DISCONNECT	request indication	XX	Originator, Reason, NS user data, Responding address	Z Z Z Z

Legend :

- X The Transport Protocol assumes that this facility is provided in all networks.
- Y The Transport Protocol assumes that this facility is provided in some networks and a mechanism is provided to use the facility optionally.
- Z The Transport Protocol does not use this parameter and will ignore it when received in the Network Service Primitive.

NOTE 2

The parameters listed in this table are those in the current network service (ISO 8348).

NOTE 3

The way the parameters are exchanged between the transport entity and the NS-provider is a local matter.

5.3 Functions of the Transport Layer

5.3.1 Overview of functions

The functions in the Transport Layer are those necessary to bridge the gap between the services available from the Network Layer and those to be offered to the TS-users.

The functions in the Transport Layer are concerned with the enhancement of quality of service, including aspects of cost optimization.

These functions are grouped below into those used at all times during a transport connection and those concerned with connection establishment, data transfer and release.

NOTE 4

This Standard does not include the following functions which are under consideration for inclusion in future editions of this standard: encryption, accounting mechanisms, status exchanges and monitoring of QOS, blocking, temporary release of network connections, alternative checksum algorithm.

5.3.1.1 Functions used at all times

The following functions, depending upon the selected class and options, are used at all times during a transport connection:

- a) transmission of TPDUs (see 6.2 and 6.9);
- b) multiplexing and demultiplexing (see 6.15), a function used to share a single network connection between two or more transport connections;
- c) <u>error detection</u> (see 6.10, 6.13 and 6.17), a function used to detect the loss, corruption, duplication, misordering or misdelivery of TPDUs;

d) error recovery (see 6.12, 6.14, 6.18, 6.19, 6.20, 6.21 and 6.22), a function used to recover from detected and signalled errors.

5.3.1.2 Connection Establishment

The purpose of connection establishment is to establish a transport connection between two TS-users. The following functions of the Transport Layer during this phase match the TS-users' requested quality of service with the services offered by the Network Layer:

- a) to select network service which best matches the requirement of the TS-user taking into account charges for various services (see 6.5),
- b) to decide whether to multiplex multiple transport connections onto a single network connection (see 6.5),
- c) to establish the optimum TPDU size (see 6.5),
- d) to select the functions that will be operational upon entering the data transfer phase (see 6.5),
- e) to map transport addresses onto network addresses.
- f) to provide a means to distinguish between two different transport connections (see 6.5),
- g) to transport TS-user data (see 6.5).

5.3.1.3 Data Transfer

The purpose of data transfer is to permit duplex transmission of TSDUs between the two TS-users connected by the transport connection. This purpose is achieved by means of two-way simultaneous communication and by the following functions, some of which are used or not used in accordance with the result of the selection performed in connection establishment:

- a) Concatenation and Separation (see 6.4): A function used to collect several TPDUs into a single NSDU at the sending transport entity and to separate the TPDUs at the receiving transport entity.
- b) Segmenting and Reassembling (see 6.3): A function used to segment a single data TSDU into multiple TPDUs at the sending transport entity and to reassemble them into their original format at the receiving transport entity.
- c) Splitting and Recombining (see 6.23): A function allowing the simultaneous use of two or more network connections to support the same transport connection.
- d) Flow Control (see 6.16): A function used to regulate the flow of TPDUs between two transport entities on one transport connection.

- e) Transport Connection Identification: A means to uniquely identify a transport connection between the pair of transport entities supporting the connection during the lifetime of the transport connection.
- f) Expedited Data (see 6.11): A function used to bypass the flow control of normal data TPDU. Expedited data TPDU flow is controlled by separate flow control.
- g) TSDU Delimiting (see 6.3): A function used to determine the beginning and ending of a TSDU.

5.3.1.4 Release

The purpose of release (see 6.7 and 6.8) is to provide disconnection of the transport connection, regardless of the current activity.

5.4 Classes and options

5.4.1 General

The functions of the Transport Layer have been organized into classes and options.

A class defines a set of functions. Options define those functions within a class which may or may not be used.

This Standard defines five classes of protocol:

Class 0 : Simple Class ;

Class 1 : Basic Error Recovery Class;

Class 2 : Multiplexing Class ;

Class 3 : Error Recovery and Multiplexing Class;

Class 4 : Error Detection and Recovery Class.

NOTE 5

Transport connections of classes 2, 3 and 4 may be multiplexed together onto the same network connection.

NOTE 6

Classes 0 to 3 do not specify mechanisms to detect unsignalled network transmission failures.

5.4.2 Negotiation

The use of classes and options is negotiated during connection establishment. The choice made by the transport entities will depend upon:

- The TS-users' requirements expressed via T-CONNECT service primitives,
- the quality of the available Network Service,
- the user required service versus cost ratio acceptable to the TS-user.

5.4.3 Choice of Network Connection

The following list classifies Network Services in terms of quality with respect to error behaviour in relation to user requirements; its main purpose is to provide a basis for the decision regarding which class of transport protocol should be used in conjunction with given Network Connection:

Type A. Network Connection with acceptable residual error rate (for example not signalled by disconnect or reset) and acceptable rate of signalled errors.

Type B. Network Connection with acceptable residual error rate (for example not signalled by disconnect or reset) but unacceptable rate of signalled errors.

Type C. Network Connection with unacceptable residual error rate.

It is assumed that each transport entity is aware of the quality of service provided by particular Network Connections.

5.4.4 Characteristics of Class 0

Class 0 provides the simplest type of transport connection and is fully compatible with the CCITT Recommendation S.70 for teletex terminals.

Class O has been designed to be used with type A Network Connections.

5.4.5 Characteristics of Class 1

Class 1 provides a basic transport connection with minimal overheads.

The main purpose of the class is to recover from Network disconnect or reset.

Selection of this class is usually based on reliability criteria. Class 1 has been designed to be used with type B Network Connections.

5.4.6 Characteristics of Class 2

5.4.6.1 General

Class 2 provides a way to multiplex several transport connections onto a single Network Connection. This class has been designed to be used with type A Network Connections.

5.4.6.2 Use of Explicit Flow Control

The objective is to provide flow control to help avoid congestion at transport-connection-end-points and on the Network Connection. Typical use is when traffic is heavy and continuous, or when there is intensive multiplexing. Use of flow control can optimize response times and resource utilization.

5.4.6.3 Non-Use of Explicit Flow Control

The objective is to provide a basic transport connection with minimal overheads suitable when explicit disconnection of the transport connection is desirable. The option would typically be used for unsophisticated terminals, and when no multiplexing onto Network Connections is required. Expedited data is never available.

5.4.7 Characteristics of Class 3

Class 3 provides the characteristics of Class 2 plus the ability to recover from Network disconnect or reset. Selection of this class is usually based upon reliability criteria. Class 3 has been designed to be used with type B Network Connections.

5.4.8 Characteristics of Class 4

Class 4 provides the characteristics of Class 3, plus the capability to detect and recover from errors which occur as a result of the low grade of service available from the NS-provider. The kinds of errors to be detected include: TPDU loss, TPDU delivery out of sequence, TPDU duplication and TPDU corruption. These errors may affect control TPDUs as well as data TPDUs.

This class also provides for increased throughput capability and additional resilience against network failure.

Class 4 has been designed to be used with type C $\operatorname{Network}$ Connections.

5.5 Model of the Transport Layer

A transport entity communicates with its TS-users through one or more TSAPs by means of the service primitives as defined by the transport service definition ISO 8072. Service primitives will cause or be the result of transport protocol data unit exchanges between the peer transport entities supporting a transport connection. These protocol exchanges are effected using the services of the Network Layer as defined by the Network Service Definition ISO 8348 through one or more NSAPs.

Transport connection endpoints are identified in end systems by an internal, implementation dependent, mechanism so that the TS-user and the transport entity can refer to each transport connection.

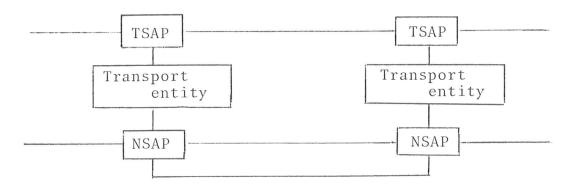


Figure 1 - Model of the Transport Layer.

NOTE 7

For purpose of illustration, this figure shows only one TSAP and one NSAP for each transport entity. In certain instances, more than one TSAP and/or more than one NSAP may be associated with a particular transport entity.

6. ELEMENTS OF PROCEDURE

This clause contains elements of procedure which are used in the specification of protocol classes in clauses 7 to 12. These elements are not meaningful on their own.

The procedures define the transfer of TPDUs the structure and coding of which is specified in clause 13. Transport entities shall accept and respond to any TPDU received in a valid NSDU and may issue TPDUs initiating specific elements of procedure specified in this clause.

NOTE 8

Where Network Service Primitives and TPDUs and parameters used are not significant for a particular element of procedure, they have not been included in the specification

6.1 Assignment to Network Connection

6.1.1 Purpose

The procedure is used in all classes to assign transport connections to network connections.

6.1.2 Network Service Primitives

The procedure makes use of the following Network Service Primitives:

- a) N-CONNECT,
- b) N-DISCONNECT.

6.1.3 Procedure

Each transport connection shall be assigned to a Network Connection. The initiator may assign the transport connection to an existing Network Connection of which it is the owner or to a new network connection (see Note 9) which it creates for this purpose.

The initiator shall not assign or reassign the transport connection to an existing Network Connection if the protocol class(es) proposed or the class in use for the transport connection are incompatible with the current usage of the Network Connection with respect to multiplexing (see Note 10). During the resynchronization (see 6.14) and reassignment after failure (see 6.12) procedures, a transport entity may reassign a transport connection to another Network Connection joining the same NSAPs, provided that it is the owner of the Network Connection and that the transport connection is assigned to only one Network Connection at any given time.

During the splitting procedure (see 6.23), a transport entity may assign a transport connection to any additional Network Connection joining the same NSAPs, provided that it is the owner of the Network Connection and that multiple-xing is possible on the Network Connection.

The responder becomes aware of the assignment when it receives :

- a CR TPDU during the connection establishment procedure (see 6.5), or $\,$
- an RJ TPDU or a retransmitted CR or DR TPDU during the resynchronization (6.14) and reassignment after failure (see 6.12) procedures, or
- any TPDU when splitting (see 6.23) is used.

NOTE 9

When a new Network Connection is created, the quality of service requested is a local matter, although it will normally be related to the requirements of transport connection(s) expected to be assigned to it.

NOTE 10

An existing Network Connection may also not be suitable if, for example, the quality of service requested for the transport connection cannot be attained by using or enhancing the Network Connection.

NOTE 11

A Network Connection with no transport connection(s) assigned to it, may be available after initial establishment, or because all of the transport connections previously assigned to it have been released.

It is recommended that only the owner of such a Network Connection should release it. Furthermore, it is recommended that it not be released immediately after the transmission of the final TPDU of a transport connection — either a DR TPDU in response to CR TPDU or DC TPDU in response to DR TPDU. An appropriate delay will allow the TPDU concerned to reach the other transport entity allowing the freeing of any resources associated with the transport connection concerned.

NOTE 12

After the failure of a Network Connection, transport connections which were previously multiplexed together may be assigned to different Network Connections, and vice versa.

6.2 Transport Protocol Data Unit (TPDU) Transfer

6.2.1 Purpose

The TPDU transfer procedure is used in all classes to convey Transport Protocol Data Units in user data fields of Network Service Primitives.

6.2.2 Network Service Primitives

The procedure uses the following Network Service Primitives:

- N-DATA,
- N-EXPEDITED DATA.

6.2.3 Procedure

The transport protocol data units (TPDUs) defined for the protocol are listed in 4.2.

When the Network expedited variant has been selected for class 1, the transport entities shall transmit and receive ED and EA TPDUs as NS-user data parameters of N-EXPEDITED DATA primitives.

In all other cases, transport entities shall transmit and receive TPDUs as NS-user data parameters of N-DATA primitives.

When a TPDU is put into an NS-user data parameter, the significance of the bits within an octet and the order of octets within a TPDU shall be as defined in 13.2.

NOTE 13

TPDUs may be concatenated (see 6.4.)

6.3 Segmenting and reassembling

6.3.1 Purpose

The segmenting and reassembling procedure is used in all classes to map TSDUs onto TPDUs.

6.3.2 TPDUs and parameter used

The procedure makes use of the following TPDU and parameter :

DT TPDUs ;

- End of TSDU.

6.3.3 Procedure

A transport entity shall map a TSDU on to an ordered sequence of one or more DT TPDUs. This sequence shall not be interrupted by other DT TPDUs on the same transport connection.

All DT TPDUs except the last DT TPDU in a sequence greater than one shall have a length of data greater than zero.

NOTE 14

The EOT parameter of a DT TPDU indicates whether or not there are subsequent DT TPDUs in the sequence.

NOTE 15

There is no requirement that the DT TPDUs shall be of the maximum length selected during connection establishment.

6.4 Concatenation and separation

6.4.1 Purpose

The procedure for concatenation and separation is used in classes 1,2,3 and 4 to convey multiple TPDUs in one NSDU.

6.4.2 Procedure

A transport entity may concatenate TPDUs from the same or different transport connections, while maintaining the order of TPDUs for a given transport connection compatible with the protocol operation.

A valid set of concatenated TPDUs may contain :

- any number of TPDUs from the following list: AK, EA, RJ, ER, DC TPDUs, provided that these TPDUs come from different transport connections,
- no more than one TPDU from the following list: CR, DR, CC, DT, ED TPDUs; if this TPDU is present, it shall be placed last in the set of concatenated TPDUs.

A transport entity shall accept a valid set of concatenated TPDUs.

NOTE 16

The TPDUs within a concatenated set may be distinguished by means of the length indicator parameter.

NOTE 17

The end of a TPDU containing data is indicated by the termination of the NSDU.

NOTE 18

The number of concatenated TPDUs referred to in 6.4.2 is bounded by the maximum number of transport connections which are multiplexed together except during assignment or reassignment.

Connection Establishment

6.5.1 Purpose

The procedure for connection establishment is used in all classes to create a new transport connection.

6.5.2 Network service primitives

The procedure uses the following Network Service primitive : N-DATA.

TPDUs and parameters used 6.5.3

The procedure uses the following TPDUs and parameters:

- CR TPDU, a)
 - CDT,
 - DST-REF (set to zero),
 - SRC-REF,
 - CLASS and OPTIONS (i.e. preferred class, use of extended format, non-use of explicit flow control in class 2),
 - Calling TSAP-ID,
 Called TSA-ID,

 - TPDU size (proposed),
 - version number,
 - security parameter,
 - checksum,
 - additional option selection (i.e. use of network expedited in class 1, use of receipt confirmation in class 1, non-use of checksum in class 4, use of transport expedited data transfer service),
 - alternative protocol class(es),
 - acknowledge time,
 - throughput (proposed),
 - residual error rate (proposed),
 - priority (proposed),
 - transit delay (proposed),
 - reassignment time,
 - user data.

b) CC TPDU,

- CDT.
- DST-REF,
- SRC-REF,
- CLASS and OPTIONS (selected),
- calling TSAP-ID,
- called TSAP-ID,
- TPDU size (selected),
- security parameter,
- checksum,
- additional option selection (selected),
- acknowledge time,
- throughput (selected),
- residual error rate (selected),
- priority (selected),
- transit delay (selected),
- user data.

6.5.4 Procedure

A transport connection is established by means of one transport entity (the initiator) transmitting a CR TPDU to the other transport entity (the responder), which replies with a CC TPDU.

Before sending the CR TPDU, the initiator assigns the transport connection being created to one (or more if the splitting procedure is being used) Network Connection(s). It is this set of Network Connections over which the TPDUs are sent.

NOTE 19

Even if the initiator assigns the transport connection to more than one Network Connection, all the CR TPDUs (if repeated) or DR TPDU(s) with DST-REF set to zero which are sent prior to the receipt of the CC TPDU shall be sent on the same Network Connection, unless an N-DISCON-NECT indication is received. (This is necessary because the remote entity may not support class 4 and therefore may not recognize splitting). If the initiator has made other assignments, it will use them only after receipt of a class 4 CC TPDU (see also the splitting procedure 6.23).

During this exchange, all information and parameters needed for the transport entities to operate shall be exchanged or negotiated.

NOTE 20

Except in class 4, it is recommended that the initiator starts an optional timer TS1 at the time the CR TPDU is sent. This timer should be stopped when the connection is considered as accepted or refused or unsuccessful. If the timer expires, the initiator should reset or disconnect the Network Connection and, in classes 1 and 3 freeze the reference (see 6.18). For all other transport connection(s) multiplexed on the same Network Connection the procedures for reset or disconnect as appropriate should be followed.

When an unexpected duplicated CR TPDU is received (with class 4 as preferred class) it shall be ignored in classes 0, 1, 2 and 3 and a CC TPDU shall be returned in class 4.

After receiving the CC TPDU for a class which includes the procedure for retention until acknowledgement of TPDUs the initiator shall acknowledge the CC TPDU as defined in table 5 (see 6.13).

When the Network expedited variant of the expedited data transfer (see 6.11) has been agreed (possible in class 1 only), the responder shall not send an ED TPDU before the CC TPDU is acknowledged.

The following information is exchanged:

- a) References. Each transport entity chooses a reference to be used by the peer entity which is 16 bits long and which is arbitrary except for the following restrictions:
 - 1) it shall not already be in use or frozen (see 6.18),
 - 2) it shall not be zero.

This mechanism is symmetrical and provides identification of the transport connection independent of the Network Connection. The range of references used for transport connections, in a given transport entity, is a local matter.

- b) Addresses (optional). Indicate the calling and called transport service access points. When either Network Address unambiguously defines the transport address this information may be omitted.
- c) <u>Initial Credit</u>. Only relevant for classes which include the explicit flow control function.
- d) User Data. Not available if class 0 is the preferred $\overline{\text{class}}$ (see note). Up to 32 octets in other classes.

NOTE 21

If class 0 is a valid response according to table 3, inclusion of user data in the CR TPDU may cause the responding entity to refuse the connection (e.g. if it only supports class 0).

- e) Acknowledgement time. Only in class 4.
- f) Checksum parameter. Only in class 4.
- g) Security parameter. This parameter and its semantics are user defined.

The following negotiations take place:

h) Protocol Class. The initiator shall propose a preferred class and may propose any number of alternative classes which permit a valid response as defined in table 3. The initiator should assume when it sends the CR TPDU that its preferred class will be agreed to, and commence the procedure associated with that class, except that if class 0 or class 1 is an alternative class, multiple-xing shall not commence until a CC TPDU selecting the use of classes 2,3 or 4 has been received.

NOTE 22

This means, for example, that when the preferred class includes resynchronization (see 6.14) the resynchronization will occur if a reset is signalled during connection establishment.

The responder shall select one class defined in table 3 as a valid response corresponding to the preferred class and to the class(es), if any, contained in the alternative class parameter of the CR TPDU. It shall indicate the selected class in the CC TPDU and shall follow the procedures for the selected class.

If the preferred class is not selected, then on receipt of the CC TPDU the initiator shall adjust its operation according to the procedure of the selected class.

TABLE 3 - Valid responses corresponding to the preferred class and any alternative class proposed in the CR TPDU.

Preferred	Alternative class					
class	0	1	2	3	4	none
0	not	not	not	not	not	class
	valid	valid	valid	valid	valid	0
1	class	class	not	not	not	class
	1 or 0	1 or 0	valid	valid	valid	1 or 0
2	class	not	class	not	not	class
	2 or 0	valid	2	valid	valid	2
3	class 3	class 3	class	class	not	class
	2 or 0	2,1 or 0	3 or 2	3 or 2	valid	3 or 2
4	class 4	class 4	class	class 4	class	class
	2 or 0	2,1 or 0	4 or 2	3 or 2	4 or 2	4 or 2

NOTE 23

The valid responses indicated in table 3 result from both <u>explicit</u> negotiation, whereby each of the classes proposed is a valid response, and implicit negotiation whereby:

- if class 3 or 4 is proposed then class 2 is a valid response,
- if class 1 is proposed then class 0 is a valid response.

NOTE 24

Negotiation from class 2 to class 1 and from any class to a highernumbered class is not valid.

NOTE 25

Redundant combination are not a protocol error.

j) TPDU Size. The initiator may propose a maximum size for TPDUs, and the responder may accept this value or respond with any value between 128 and the proposed value in the set of values available (see 13.3.4.b).

NOTE 26

The length of the CR TPDU does not exceed 128 octets (see 13.3).

- k) Normal or Extended Format. Either normal or extended is available. When extended is used this applies to CDT, TPDU-NR, ED-TPDU-NR, YR-TU-NR and YR-EDTU-NR parameters.
- m) <u>Checksum Selection</u>. This defines whether or not TPDUs of the connection are to include a checksum.
- n) Quality of service parameters. This defines the throughput, transit delay, priority and residual error rate.

NOTE 27

The Transport Service defines transit delay as requiring a previously stated average TSDU size as a basis for any specification. This protocol as specified in 13.3.4.n, uses a value at 128 octets. Conversion to and from specifications based upon some other value is a local matter.

- p) The Non-Use of Explicit Flow Control in class 2.
- q) The Use of Network Receipt Confirmation and Network Expedited when class 1 is to be used.
- r) Use of expedited Data Transfer Service. This allows both TS-users to negotiate the use or non-use of the expedited data transport service as defined in the transport service (ISO 8072).

The following information is sent only in the CR TPDU:

- s) <u>Version Number</u>. This defines the version of the transport protocol standard used for this connection.
- t) Reassignment Time Parameter. This indicates the time for which the initiator will persist in following the reassignment after failure procedure.

The negotiation rules for the options are such that the initiator may propose either to use or not to use the option. The responder may either accept the proposed choice or select an alternative choice as defined in Table 4.

In class 2, whenever a transport entity requests or agrees to the transport expedited Data Transfert Service or to the use of extended formats, it shall also request or agree (respectively) to the use of explicit flow control.

TABLE 4 - Negotiation of options during connection establishment.

Option	Proposal Made by the Initiator	Valid Selection by the Responder
Transport expedited Data Transfer Service (Classes 1,2,3,4 only)	Yes No	Yes or No No
Use of Receipt Confirmation (Class 1 only)	Yes No	Yes or No No
Use of the Network Expedited variant (Classe 1 only)	Yes No	Yes or No No
Non-use of Checksum (Class 4 only)	Yes No	Yes or No No
Non-use of Explicit Flow Control (Class 2 only)	Yes No	Yes or No No
Use of extended Format (Classes 2,3,4 only)	Yes No	Yes or No No

NOTE 28

Table 4 defines the procedures for negotiation of options. This negotiation has been designed such that if the initiator proposes the mandatory implementation option specified in clause 14, the responder has to accept use of this option over the transport connection except for the use of the transport expedited data transfer service which may be rejected by the TS-user. If the initiator proposes a non-mandatory implementation option, the responder is entitled to select use of the mandatory implementation option for use over the transport connection.

6.6 Connection Refusal

6.6.1 Purpose

The connection refusal procedure is used in all classes when a transport entity refuses a transport connection in response to a CR TPDU.

6.6.2 TPDUs and Parameters used

The procedure makes use of the following TPDUs and parameters:

- a) DR TPDU,
 - SRC-REF,
 - reason.
 - user data.
- b) ER TPDU,
 - reject cause,
 - invalid TPDU.

6.6.3 Procedure

If a transport connection cannot be accepted, the responder shall respond to the CR TPDU with a DR TPDU. The reason shall indicate why the connection was not accepted. The source reference field in the DR TPDU shall be set to zero to indicate an unassigned reference.

If a DR TPDU is received the initiator shall regard the connection as released.

The responder shall respond to an invalid CR TPDU by sending an ER or DR TPDU. If an ER TPDU is received in response to a CR TPDU, the initiator shall regard the connection as released.

NOTE 29

When the invalid CR TPDU can be identified as having class 0 as the preferred class, it is recommanded to respond with an ER TPDU. For all other invalid CR TPDUs either an ER TPDU or DR TPDU may be sent.

NOTE 30

If the optional supervisory timer TS1 has been set for this connection then the initiator should stop the timer on receipt of the DR or ER TPDU.

6.7 Normal Release

6.7.1 Purpose

The release procedure is used by a transport entity in order to terminate a transport connection. The implicit variant is used only in class 0. The explicit variant is used in classes 1,2,3 and 4.

NOTE 31

When the implicit variant is used (i.e. in class 0), the lifetime of the transport connection is directly correlated with the lifetime of the Network Connection.

NOTE 32

The use of the explicit variant of the release procedure enables the transport connection to be released independently of the underlying Network Connection.

6.7.2 Network Service Primitives

The procedure makes use of the following Network Service Primitives:

- N-DISCONNECT (implicit variant only),
- N-DATA.

6.7.3 TPDUs and Parameters used

The procedure makes use of the following TPDUs and parameters:

- a) DR TPDU,
 - reason,
 - user-data,
 - SRC-REF,
 - DST-REF.
- b) DC TPDU.

6.7.4 Procedure for Implicit Variant

In the implicit variant either transport entity disconnects a transport connection by disconnecting the Network Connection to which it is assigned. When a transport entity receives an N-DISCONNECT this should be considered as the release of the transport connection.

6.7.5 Procedure for Explicit Variant

When the release of a transport connection is to be initiated a transport entity:

- a) If it has previously sent or received a CC TPDU (see note 33), shall send a DR TPDU. It shall ignore all subsequently received TPDUs other than a DR or DC TPDU. On receipt of a DR or DC TPDU it shall consider the transport connection released.
- b) In other cases it shall:
 - 1) For classes other than class 4 wait for the acknow-ledgment of the outstanding CR TPDU; if it receives a CC TPDU, it shall follow the procedures in 6.7.5.
 - 2) For class 4 either send a DR TPDU with a zero value in the DST-REF field or follow the procedure in 6.7.5.b.1. In the former case further receipt of a CC TPDU specifying class 4 will be ignored. Receipt of CC TPDU with another class will be processed as follows: if the class is 0 the Network Connection shall be disconnected, otherwise a DR TPDU with the DST-REF field set to the value of the SRC-REF field of the received CC TPDU shall be sent and the release procedure of the class is continued.

A transport entity that receives a DR TPDU shall

- c) If it has previously sent a DR TPDU for the same transport connection, consider the transport connection released.
- d) If it has previously sent a CR TPDU that has not been acknowledged by a CC TPDU, consider the connection refused (see 6.6).
- e) In other cases, send a DC TPDU and consider the transport connection released. If the received DR has the DST-REF field set to zero, then a DC with SRC-REF set to zero shall be sent, regardless of the local reference.

NOTE 33

If the entity receiving such a DR TPDU has previously decided to negotiate down the class, this entity is always entitled to consider such a DR TPDU as spurious. Since no association has been made the transport connection is not released at responder side but the CC TPDU, when sent, will be answered by a DR TPDU (spurious CC TPDU).

NOTE 34

This requirement ensures that the transport entity is aware of the remote reference for the transport connection.

NOTE 35

When the transport connection is considered as released the local reference is either available for re-use or is frozen (see 6.18).

NOTE 36

After the release of a transport connection the Network Connection can be released or retained to enable its re-use for the assignment of other transport connections (see 6.1).

NOTE 37

Except in class 4, it is recommended that, if a transport entity does not receive acknowledgement of a DR TPDU within time TS2, it should either reset or disconnect the network connection, and freeze the reference when appropriate (see 6.18). For all other transport connection(s) multiplexed on this Network Connection the procedures for reset or disconnect as appropriate should be followed.

NOTE 38

When a transport entity is waiting for a CC TPDU before sending a DR TPDU and the Network Connection is reset or released, it should consider the transport connection re-leased and, in classes other than classes 0 and 2, freeze the reference (see 6.18).

6.8 Error Release

6.8.1 Purpose

This procedure is used only in classes 0 and 2 to release a transport connection on the receipt of an N-DISCONNECT or N-RESET Indication.

6.8.2 Network Service Primitives

The procedure makes use of the following service primitives :

N-DISCONNECT Indication,

N-RESET Indication.

6.8.3 Procedure

When, on the Network Connection to which a transport connection is assigned, an N-DISCONNECT or N-RESET Indication is received, both transport entities shall consider that the transport connection is released and so inform the TS-users.

NOTE 39

In other classes, since error recovery is used, the receipt of an N-RESET Indication or N-DISCONNECT Indication will result in the invocation of the error recovery procedure.

6.9 Association of TPDUs with Transport Connections

6.9.1 Purpose

This procedure is used in all classes to interpret a received NSDU as TPDU(s) and, if possible, to associate each such TPDU with a transport connection.

6.9.2 Network Service Primitives

This procedure makes use of the following Network Service primitives:

- N-DATA Indication,
- N-EXPEDITED DATA Indication,
- N-RESET Request,
- N-DISCONNECT Request.

6.9.3 TPDUs and Parameters used

This procedure makes use of the following TPDUs and parameters:

- a) any TPDU except CR TPDU, DT TPDU in classes 0 or 1 and AK TPDU in class 1,
 - DST-REF.
- b) CR, CC, DR and DC TPDUs,
 - SRC-REF.
- c) DT TPDU in classes 0 or 1 and AK TPDU in class 1.

6.9.4 Procedures

6.9.4.1 Identification of TPDUs

If the received NSDU or Expedited NSDU cannot be decoded (i.e. does not contain one or more correct TPDUs) or is corrupted (i.e. contains a TPDU with a wrong checksum) then the transport entity shall:

- a) If the Network Connection on which the error is detected has a class 0 or class 1 transport connection assigned to it, then treat as a protocol error (see 6.22) for that transport connection,
- b) otherwise:
 - 1) If the NSDU can be decoded but contains corrupted TPDUs ignore the TPDUs (class 4 only) and optionally apply 6.9.4.1.b.2.

2) If the NSDU cannot be decoded issue an N-RESET or N-DISCONNECT request for the Network Connection and for all the transport connections assigned to this Network Connection (if any), apply the procedures defined for handling of network signalled reset or disconnect.

If the NSDU can be decoded and is not corrupted, the transport entity shall:

- c) If the Network Connection on which the NSDU was received has a class 0 transport connection assigned to it, then consider the NSDU as forming one TPDU and associate the TPDU with the transport connection (see 6.9.4.2).
- d) Otherwise, invoke the separation procedures and for each of the individual TPDUs in the order in which they appear in the NSDU apply the procedure defined in 6.9.4.2.

6.9.4.2 Association of Individual TPDUs

If the received TPDU is a CR TPDU then, if it is a duplicate, as recognized by using the NSAPs of the Network Connection, and the SRC-REF parameter, then it is associated with the transport connection created by the original copy of the CR TPDU; otherwise it is processed as requesting the creation of a new transport connection.

If the received TPDU is a DT TPDU and the Network Connection has a class 0 or 1 transport connection assigned to it, or an AK TPDU where a class 1 transport connection is assigned, then the TPDU is associated with the transport connection.

Otherwise, the DST-REF parameter of the TPDU is used to identify the transport connection. The following cases are distinguished:

a) If the DST-REF is not allocated to a transport connection, the transport entity shall respond on the same Network Connection with a DR TPDU if the TPDU is a CC TPDU, with a DC TPDU if the TPDU is a DR TPDU and shall ignore the TPDU if neither a DR TPDU nor CC TPDU. No association with a transport connection is made.

NOTE 40

If the ${\it DR}$ is carrying a ${\it SRC-REF}$ field set to zero, then no ${\it DC}$ shall be sent.

- b) If the DST-REF is allocated to a connection, but the TPDU is received on a Network Connection to which the connection has not been assigned then there are three cases:
 - 1) If the transport connection is of Class 4 and if the TPDU is received on a Network Connection with the same pair of NSAPs as that of the CR TPDU then the TPDU is considered as performing assignment,

- 2) If the transport connection is not assigned to any Network Connection (waiting for reassignment after failure) and if the TPDU is received on a Network Connection with the same pair of NSAPs as that of the CR TPDU then the association with that transport connection is made.
- 3) Otherwise, the TPDU is considered as having a DST-REF not allocated to a transport connection (case a).
- c) If the TPDU is a DC TPDU then it is associated with the transport connection to which the DST-REF is allocated, unless the SRC-REF is not the expected one, in which case the DC TPDU is ignored.
- d) If the TPDU is a DR TPDU then there are four cases:
 - 1) If the SRC-REF is not as expected then a DC TPDU with DST-REF equal to the SRC-REF of the received DR TPDU is sent back and no association is made.
 - 2) If a CR TPDU is unacknowledged then the DR TPDU is associated with the transport connection, regardless of the value of its SRC-REF parameter,
 - 3) If the transport entity implements class 4 and if the DST-REF is zero and there is an unacknow-ledged CC TPDU or T-CONNECT RESPONSE is awaited, then the DR TPDU shall be associated with the transport connection holding the SRC-REF as the remote reference.
 - 4) Otherwise, the DR TPDU is associated with the transport connection identified by the DST-REF parameter.
- e) If the TPDU is a CC TPDU whose DST-REF parameter identifies an open connection (one for which a CC TPDU has been previously received), and the SRC-REF in the CC TPDU does not match the remote reference, then a DR TPDU is sent back with DST-REF equal to the SRC-REF of the received CC TPDU and no association is made.
- f) If none of the above cases apply then the TPDU is associated with the transport connection identified by the DST-REF parameter.

6.10 Data TPDU Numbering

6.10.1 Purpose

Data TPDU numbering is used in classes 1, 2 (except when the non-use of explicit flow control option is selected), 3 and 4. Its purpose is to enable the use of recovery, flow control and re-sequency functions.

6.10.2 TPDUs and Parameters used

The procedure makes use of the following TPDU parameter :

DT TPDU ;

- TPDU-NR.

6.10.3 Procedure

A transport entity shall allocate the sequency number zero to the TPDU-NR of the first DT TPDU which it transmits for a transport connection. For subsequent DT TPDUs sent on the same transport connection, the transport entity shall allocate a sequence number one greater than the previous one.

When a DT TPDU is retransmitted, the TPDU-NR parameter shall have the same value as in the first transmisstion of that DT TPDU.

Modulo 27 arithmetic shall be used when normal formats have been selected and modulo 231 arithmetic shall be used when extended formats have been selected. In this Standard the relationships 'greater than' and 'less than' apply to a set of contiguous TPDU numbers the range of which is less than the modulus and the starting and finishing numbers of which are known. The term 'less than' means 'occurring sooner in the window sequence' and the term 'greater than' means 'occurring later in the window sequence'.

6.11 Expedited Data Transfer

6.11.1 Purpose

Expedited data transfer procedures are selected during connection establishment. The Network normal data variant may be used in classes 1,2,3 and 4. The Network expedited variant is only used in class 1.

6.11.2 <u>Network Service Primitives</u>

The procedure makes use of the following Network Service Primitives:

N-DATA,

N-EXPEDITED DATA.

6.11.3 TPDUs and Parameters Used

The procedure makes use of the following TPDUs and parameters:

ED TPDU,

- ED TPDU-NR.

EA TPDU,

- YR-EDTU-NR.

6.11.4 Procedures

The TS-user data parameter of each T-EXPEDITED DATA request shall be conveyed as the data field of an Expedited Data (ED) TPDU.

Each ED TPDU received shall be acknowledged by an Expedited Acknowledge (EA) TPDU.

No more than one ED TPDU shall remain unacknowledged at any time for each direction of a transport connection.

An ED TPDU with a zero length data field shall be treated as a protocol error.

NOTE 40

The Network normal data variant is used, except when the Network expedited variant (available in Class 1 only), has been agreed, in which case ED and EA TPDUs are conveyed in the data fields of N-EXPEDITED DATA primitives (see 6.2.3).

NOTE 41

No TPDUs can be transmitted using Network expedited until the CC TPDU becomes acknowledged, to prevent the Network expedited from overtaking the CC TPDU.

6.12 Reassignment after Failure

6.12.1 Purpose

The reassignment after failure procedure is used in Classes 1 and 3 to commence recovery from an NS-provider signalled disconnect.

6.12.2 Network Service Primitives

The procedure uses the following Network Service Primitive: N-DISCONNECT Indication.

6.12.3 Procedure

When an N-DISCONNECT Indication is received for the Network Connection to which a transport connection is assigned, the initiator shall apply one of the following alternatives:

- a) If the TTR timer has not already run out and no DR TPDU is retained then :
 - 1) Assign the transport connection to a different Network Connection (see 6.1) and start its TTR timer if not already started.
 - 2) While waiting for the completion of assignment if:
 - an N-DISCONNECT Indication is received, repeat the procedure from 6.12.3.a,
 - the TTR timer expires, begin procedure 6.12.3.b.
 - 3) When reassignment is completed, begin resynchronization (see 6.14) and :
 - if a valid TPDU is received as the result of the resynchronization, stop the TTR timer, or
 - if TTR runs out, wait for the next event, or
 - if an N-DISCONNECT Indication is received, then begin either procedure 6.12.3.a or 6.12.3.b. depending on the TTR timer.
 - NOTE After TTR expires and while waiting for the next event, it is recommended that the initiator set the TWR timer. If the TWR timer expires before the next event, the initiator should begin the procedure in 6.12.3.b.
- b) If the TRR timer has run out, consider the transport connection as released and freeze the reference (see 6.18).
- c) If a DR TPDU is retained and the TTR timer has not run out, then follow the actions in either 6.12.3.a or 6.12.3.b.

The responder shall start its TWR timer if not already started. The arrival of the first TPDU related to the transport connection (because of resynchronization by the initiator) completes the reassignment after failure procedure. The TWR timer is stopped and the responder shall continue with resynchronization (see 6.14).

If reassignment does not take place within this time, the transport connection is considered released and the reference is frozen (see 6.18).

6.12.4 Timers

The reassignment after failure procedure uses two timers :

- a) TTR, the time to try reassignment/resynchronization timer,
- b) TWR, the time to wait for reassignment timer/resynchronization.

The TTR timer is used by the initiator. Its value shall not exceed two minutes minus the sum of the maximum disconnect propagation delay and the maximum transit delay of the Network Connections (see note 42). The value for the TTR timer may be indicated in the CR TPDU.

The TWR timer is used by the responder. If the reassignment time parameter is present in the CR TPDU, the TWR timer value shall be greater than the sum of the TTR timer plus the maximum disconnect propagation delay plus the maximum transit delay of the Network Connections.

If the reassignment time parameter is not present in the CR TPDU, a default value of 2 minutes shall be used for the TWR timer.

NOTE 42

Provided that the required quality of service is met, TTR may be set to zero (i.e. no reassignment). This may be done, for example, if the rate of NS-provider generated disconnects is very low.

NOTE 43

Inclusion of the reassignment time parameter in the CR TPDU allows the responder to use a TWR value of less than 2 minutes.

NOTE 44

If the optional TS1 and TS2 timers are used, it is recommended :

- to stop TS1 or TS2 if running when TTR or TWR is started,
- to restart TS1 or TS2 if necessary when the corresponding TPDU (CR TPDU or DR TPDU respectively) is repeated,
- to select for TS1 and TS2 values greater than TTR.

6.13 Retention Until Acknowledgment of TPDUs

6.13.1 Purpose

The retention until acknowledgment of TPDUs procedure is used in classes 1, 3 and 4 to enable and minimize retransmission after possible loss of TPDUs.

The confirmation of receipt variant is used only in Class 1 when it has been agreed during connection establishment (see note).

The AK variant is used in Classes 3 and 4 and also in Class 1 when the confirmation of receipt variant has not been agreed during connection establishment.

NOTE 45

Use of confirmation of receipt variant depends on the availability of the Network Layer receipt confirmation service and the expected cost reduction.

6.13.2 Network Service Primitives

The procedure uses the following Network Service primitives:

N-DATA,

N-DATA ACKNOWLEDGE.

6.13.3 TPDUs and Parameters used

The procedure uses the following TPDUs and parameters :

CR, CC, DR and DC TPDUs,

RJ and AK TPDUs,

- YR-TU-NR.

DT TPDU,

- TPDU-NR.

ED TPDU,

- ED-TPDU-NR.

EA TPDU,

- YR-EDTU-NR.

6.13.4 Procedures

Copies of the following TPDUs shall be retained upon transmission to permit their later retransmission:

CR, CC, DR, DT and ED TPDUs

except that if a DR is sent in response to a CR TPDU there is no need to retain a copy of the DR TPDU.

In the confirmation of receipt variant, applicable only in Class 1, transport entities receiving N-DATA indications which convey CC or DT TPDUs and have the confirmation request field set shall issue an N-DATA ACKNOWLEDGE request (see notes 1 and 2).

After each TPDU is acknowledged, as shown in table 5, the copy need not be retained. Copies may also be discarded when the transport connection is released.

NOTE 46

It is a local matter for each transport entity to decide which N-DATA requests should have the confirmation request parameter set. This decision will normally be related to the amount of storage available for retained copies of the DT TPDUs.

NOTE 47

Use of the confirmation request parameter may affect the quality of Network Service.

TABLE 5 - Acknowledgment of TPDUs

RETAINED	VARIANT	RETAINED UNTIL ACKNOWLEDGED BY
CR DR	both both	CC, DR or ER TPDU DC or DR (in case of collision) TPDU.
CC	confirmation of receipt	N-DATA ACKNOWLEDGE indication, RJ, DT, EA or ED TPDU.
CC DT	variant AK variant confirmation of receipt variant	RJ, DT, AK, ED or EA TPDU. N-DATA ACKNOWLEDGE indication corresponding to an N-DATA request which conveyed, or came
DT	AK variant	after, the DT TPDU. AK or RJ TPDU for which the YR- TU-NR is greater than TPDU-NR in
ED	both	the DT TPDU. EA TPDU for which the YR-EDTU-NR is equal to the ED-TPDU-NR in the ED TPDU.

6.14 Resynchronization

6.14.1 Purpose

The resynchronization procedures are used in Classes 1 and 3 to restore the transport connection to normal after a reset or during reassignment after failure according to 6.12.

6.14.2 Network Service Primitives

The procedure makes use of the following Network Service $\operatorname{Primitive}$:

N-RESET Indication.

6.14.3 TPDUs and Parameters used

The procedure uses the following TPDUs and Parameters :

CR, DR, CC and DC TPDUs,

RJ TPDUs,
- YR-TU-NR.

DT TPDUs,
- TPDU-NR.

ED TPDUs,
- ED-TPDU-NR.

EA TPDUs,
- YR-EDTU-NR.

6.14.4 Procedure

A transport entity which is notified of the occurrence of an N-RESET or which is performing "reassignment after failure" according to 6.12 shall carry out the active resynchronization procedure (see 6.14.4.1) unless any of the following hold:

- the transport entity is the responder (see note). In this case the passive resynchronization procedure is carried out (see 6.14.4.2),
- the transport entity has elected not to reassign (see 6.12.3.c). In this case no resynchronization takes place. place.

6.14.4.1 Active Resynchronization Procedures

The transport entity shall carry out one of the following actions :

a) If the TTR timer has been previously started and has run out (i.e. no valid TPDU has been received),

the transport connection is considered as released and the reference is frozen (see 6.18).

- b) Otherwise, the TTR timer shall be started (unless it is already running) and the first applicable of the following actions shall be taken:
 - If a CR TPDU is unacknowledged, then the transport entity shall retransmit it,
 - 2) If a DR TPDU is unacknowledged, then the transport entity shall retransmit it,
 - 3) Otherwise, the transport entity shall carry out the data resynchronization procedures (see 6.14.4.3).

The TTR timer is stopped when a valid TPDU is received.

6.14.4.2 Passive Resynchronization Procedures

The transport entity shall not send any TPDUs until a TPDU has been received. The transport entity shall start its TWR timer if it was not already started (due to a previous N-DISCONNECT or N-RESET Indication). If the timer runs out prior to the receipt of a valid TPDU which commences resynchronization (i.e. CR or DR or RJ TPDU) the transport connection is considered as released and the reference is released (see 6.18).

When a valid TPDU is received the transport entity shall stop its TWR timer and carry out the appropriate one of the following actions, depending on the TPDU:

- a) If it is a DR TPDU, then the transport entity shall send a DC TPDU.
- b) If it is a repeated CR TPDU (see Note 48) then the transport entity shall carry out the appropriate action from the following:
 - 1) If a CC TPDU has already been sent, and acknow-ledged: treat as a protocol error,
 - 2) If a DR TPDU is unacknowledged (whether or not a CC TPDU is unacknowledged): retransmit the DR TPDU, but setting the source reference to zero,
 - 3) If the T-CONNECT response has not yet been received from the user: take no action,
 - 4) Otherwise: retransmit the CC TPDU followed by any unacknowledged ED TPDU (see Note 49) and any DT TPDU.

NOTE 48

A repeated CR TPDU can be identified by being on a Network Connection with the appropriate Network addresses and having a correct source reference.

NOTE 49

The transport entity should not use Network expedited until the CC TPDU is acknowledged (see 6.5). This rule prevents the Network expedited from overtaking the CC TPDU.

- c) If it is an RJ or ED TPDU then one of the following actions shall be taken:
 - 1) If a DR TPDU is unacknowledged, then the transport entity shall retransmit it,
 - 2) Otherwise, the transport entity shall carry out the data resynchronization procedures (6.14.4.3).
 - 3) If a CC TPDU was unacknowledged, the RJ or ED TPDU should then be considered as acknowledged the CC TPDU. If a CC TPDU was never sent, the RJ or ED TPDU should then be considered as a protocol error.

6.14.4.3 Data Resynchronization Procedures

The transport entity shall carry out the following actions in the following order:

- a) (re)transmit any ED TPDU which is unacknowledged,
- b) Transmit an RJ TPDU with YR-TU-NR field set to the TPDU-NR of the next expected DT TPDU.
- c) Wait for the next TPDU from the other transport entity, unless an RJ or DR TPDU has already been received; if a DR TPDU is received the transport entity shall send a DC TPDU, freeze the reference, inform the TS-user of the disconnection and take no further action (i.e. it shall not follow the procedures in 6.14.4.3.d). If an RJ TPDU is received, the procedure of 6.14.4.3.d shall be followed. If an ED TPDU is received the procedures as described in 6.11 shall be followed. If it is a duplicated ED-TPDU the transport entity shall acknowledge it with an EA TPDU, discard the duplicated ED TPDU and wait again for the next TPDU.
- d) (re)transmit any DT TPDUs which are unacknowledged, subject to any applicable flow control procedures (see note)

NOTE 50

The RJ TPDU may have reduced the credit.

6.15 Multiplexing and demultiplexing

6.15.1 Purpose

The multiplexing and demultiplexing procedures are used in Classes 2, 3 and 4 to allow several transport connections to share a Network Connection at the same time.

6.15.2 TPDUs and Parameters Used

The procedure makes use of the following TPDUs and parameters:

CC. DR. DC, DT, AK, ED, EA, RJ and ER TPDUs

- DST-REF.

6.15.3 Procedures

The transport entities shall be able to send and receive on the same Network Connection TPDUs belonging to different transport connections.

NOTE 51

When performing demultiplexing the transport connection to which the TPDUs apply is determined by the procedures defined in 6.9.

NOTE 52

Multiplexing allows the concatenation of TPDUs belonging to different transport connections to be transferred in the same N-DATA primitive (see 6.4.).

6.16 Explicit Flow Control

6.16.1 Purpose

The explicit flow control procedure is used in Classes 2 3 and 4 to regulate the flow of DT TPDUs independently of the flow control in the other layers.

6.16.2 TPDUs and Parameters Used

The procedure makes use of the following TPDUs and parameters:

CR, CC, AK and RJ TPDUs

- CDT.

DT TPDU

- TPDU-NR.

AK TPDU

- YR-TU-NR,
- Subsequence number,
- flow control confirmation.

RJ TPDU

- YR-TU-NR.

6.16.3 Procedure

The procedures differ in different classes. They are defined in the clauses specifying the separate classes.

6.17 Checksum

6.17.1 Purpose

The checksum procedure is used to detect corruption of TPDUs by NS-provider.

NOTE 53

Although a checksum algorithm has to be adapted to the type of errors expected on the Network Connection, at present only one algorithm is defined.

6.17.2 TPDUs and Parameters Used

The procedure uses the following TPDUs and parameters :

All TPDUs

- checksum.

6.17.3 Procedure

The checksum is used only in Class 4. It is always used for the CR TPDU, and is used for all other TPDUs except if the non-use of the procedure was agreed during connection establishment.

The sending transport entity shall transmit TPDUs with the checksum parameter set such that the following formulas are satisfied:

i = 1

$$L \qquad iai = 0 \quad (modulo \ 255)$$

i = 1

where

- a_i = value of the octet in position i,
- L = length of TPDU in octets.

A transport entity which receives a TPDU for a transport connection for which the use of checksum has been agreed and which does not satisfy the above formulas shall discard the TPDU (see also Note 55).

NOTE 54

An efficient algorithm for determining the checksum parameters is given in Appendix B.

NOTE 55

If the checksum is incorrect, it is not possible to know with certainty to which transport connection the TPDU is related; further action may be taken for all the transport connections assigned to the Network Connection (see 6.9.).

NOTE 56

The checksum proposed is easy to calculate and so will not impose a heavy burden on implementations. However, it will not detect insertion or loss of leading or trailing zeroz and will not detect some octets misordering.

6.18 Frozen References

6.18.1 Purpose

This procedure shall be used in order to prevent re-use of a reference while TPDUs associated with the old use of the reference may still exist.

6.18.2 Procedure

When a transport entity determines that a particular connection is released it shall place the reference which it has allocated to the connection in a frozen state according to the procedures of the class. While frozen, the reference shall not be re-used.

NOTE 57

The frozen reference procedure is necessary because retransmission or misordering can cause TPDUs bearing a reference to arrive at an entity after it has released the connection for which it allocated the reference. Retransmission, for example, can arise when the class includes either resynchronization (see 6.14) or retransmission on time out (see 6.19).

6.18.2.1 Procedure for Classes 0 and 2

The frozen reference procedure is never used for these classes.

Note 58

However for consistency with the other classes freezing the references may be done as a local decision.

6.18.2.2 Procedure for Classes 1 and 3

The frozen reference procedure is used except in the following cases (see Note 59):

- When the transport entity receives a DC TPDU in response to a DR TPDU which it has sent (see Note 60);
- b) When the transport entity sends a DR or ER TPDU in response to a CR TPDU which it has received (see Note 61).
- c) When the transport entity has considered the connection to be released after the expiration of the TWR timer (see Note 62).
- d) When the transport entity receives a DR or ER TPDU in response to a CR TPDU which it has sent.

The period of time for which the reference remains frozen shall be greater than the TWR time.

NOTE 59

However, even in these cases, for consistency freezing the reference may be done as a local decision.

NOTE 60

When the DC TPDU is received it is certain that the other transport entity considers the connection released.

NOTE 61

When the DR or ER TPDU is sent the peer transport entity has not been informed of any reference assignment and thus cannot possibly make use of a reference (this includes the case where a CC TPDU was sent, but was lost).

NOTE 62

In 6.18.2.c the transport entity has already effectively frozen the reference for an adequate period.

6.18.2.3 Procedure for classes 4

The frozen reference procedure shall be used in class 4. The period for which the reference remains frozen shall be greater than L (see 12.2.1.1.6).

6.19 Retransmission on Time-Out

6.19.1 Purpose

The procedure is used in Class 4 to cope with unsignalled loss of TPDUs by the NS-provider.

6.19.2 TPDUs Used

The procedure makes use of the following TPDUs :

CR, CC, DR, DT, ED, AK TPDUs.

6.19.3 Procedure

The procedure is specified in the procedures for Class 4 (see 12.2.1.2.j).

6.20 Resequencing

6.20.1 Purpose

The resequencing procedure is used in Class 4 to cope with misordering of TPDUs by the network service provider.

6.20.2 TPDUs and Parameters Used

The procedure uses the following TPDUs and parameters:

DT TPDU ;

- TPDU-NR.

ED TPDU ;

- ED TPDU-NR.

6.20.3 Procedure

The procedure is specified in the procedures for Class 4 (see 12.2.3.5).

6.21 Inactivity Control

6.21.1 Purpose

The inactivity control procedure is used in Class 4 to cope with unsignalled termination of a Network Connection.

6.21.2 Procedure

The procedure is specified in the procedures for Class 4 (see 12.2.3.3).

6.22 Treatment of Protocol Errors

6.22.1 Purpose

The procedure for treatment of protocol errors is used in all classes to deal with invalid TPDUs.

6.22.2 TPDUs and Parameters Used

The procedure uses the following TPDUs and parameters :

ER TPDU ;

- reject cause ;
- invalid TPDU.

DR TPDU:

- reason code.

6.22.3 Procedure

A transport entity that receives a TPDU that can be associated to a transport connection and is invalid or constitutes a protocol error (see 3.2.16 and 3.2.17) shall take one of the following actions so as not to jeopardize any other transport connections not assigned to that Network Connection:

- a) transmitting an ER TPDU;
- b) resetting or closing the Network Connection , or
- c) invoking the release procedures appropriate to the class.

Under certain circumstances it is also possible to ignore the TPDU.

If an ER TPDU is sent in Class 0 it shall contain the octets of the invalid TPDU up to and including the octet where the error was detected (see Notes 65 to 67).

If the TPDU cannot be associated to a particular transport connection then see 6.9.

NOTE 63

In general, no further action is specified for the receiver of the ER TPDU but it is recommended that it initiates the release procedure appropriate to the class. If the ER TPDU has been received as an answer to a CR TPDU then the connection is regarded as released (see 6.6).

NOTE 64

Care should be taken by a transport entity receiving several invalid TPDUs or ER TPDUs to avoid looping if the error is generated repeatedly.

NOTE 65

If the invalid received TPDU is greater than the selected maximum TPDU size it is possible that it cannot be included in the invalid TPDU parameter of the ER TPDU.

NOTE 66

It is recommended that the sender of the ER TPDU starts an optional timer TS2 to ensure the release of the connection. If the timer expires, the transport entity shall initiate the release procedures appropriate to the class. The timer should be stopped when a DR TPDU or an N-DISCONNECT indication is received.

NOTE 67

In classes other than 0, it is recommended that the invalid TPDU be also included in the ER TPDU.

6.23 Splitting and Recombining

6.23.1 Purpose

This procedure is used only in class 4 to allow a transport connection to make use of multiple Network Connections to provide additional resilience against network failure, to increase throughput, or for other reasons.

6.23.2 Procedure

When this procedure is being used, a transport connection may be assigned (see 6.1) to multiple Network Connections (see Note 68).

TPDUs for the connection may be sent over any such Network Connection.

If the use of class 4 is not accepted by the remote transport entity following the negotiation rules, then no Network Connection except that over which the CR TPDU was sent may have this transport connection assigned to it.

NOTE 68

The resequencing function of class 4 (see 6.20) is used to ensure that TPDUs are processed in the correct sequence.

NOTE 69

Either transport entity may assign the connection to further Network Connections of which it is the owner at any time during the life of the transport connection, provided the following constraints are respected:

- initiator does not start splitting before having received the CC TPDU;
- as soon as a new assignment is done it is recommended to send a TPDU on this Network Connection in order to make the remote entity aware of this assignment.

NOTE 70

In order to enable the detection of unsignalled Network Connection failures, a transport entity performing splitting should ensure that TPDUs are sent at intervals on each supporting Network Connection, for example, by sending successive TPDUs on successive Network Connections, where the set of Network Connections is used cyclically. By monitoring each Network Connection, a transport entity may detect unsignalled Network Connection failures, following the inactivity procedures defined in 12.2.3.3. Thus, for each Network Connection no period I (see 12.2.3.1) may elapse without the receipt of some TPDU for some transport connection.

7. PROTOCOL CLASSES

Table 6 gives an overview of which elements of procedure are included in each class. In certain cases the elements of procedure within different classes are not identical and, for this reason, Table 6 cannot be considered as part of the definitive specification of the protocol.

TABLE 6- Allocation of elements of procedures within classes (see following page).

Legend to Table 6

*	Procedure always included in class				
	Not applicable				
m	Negotiable procedure the implementation in equipment of which is mandatory				
0	Negotiable procedure the implementation in equipment of which is optional				
ao	Negotiable procedure the implementation in equipment of which is optional and where use depends on availability within the network service				
(1)	Not applicable in class 2 when non use of explicit flow control is selected				

Legend to Table 6 (continued)

(2)	Multiplexing may lead to degradation of the quality of service
	if the non use of explicit flow control has been selected

(3) This function is provided in class 4 using procedures other than those used in the cross reference

Protocol Mechanism	Cross Refe- rence	Variant	0	1	2	3	4
Assignment to Network Conn.	6.1		*	*	*	*	*
TPDU Transfer	6.2		*	*	*	*	*
Segmenting & Reassembling	6.3		*	*	*	*	*
Concatenation & Separation	6.4			*	*	*	*
Connection Establishment	6.5		*	*	*	*	*
Connection Refusal	6.6		*	*	*	*	*
Normal Release	6.7	implicit explicit	*	*	*	*	*
Error Release	6.8		*		*		
Association of TPDUs with Transport Connection	6.9		*	*	*	*	*
DT TPDU Numbering	6.10	normal extended		*		1) m 1) o	m
Expedited Data Transfer	6.11	Network normal Network expedited		m	* (1)	*	*
Reassignment after failure	6.12			*		*	(3)
Retention until Acknowledge- ment of TPDUs	6.13	Conf.Receipt AK		ao m		*	*
Resynchronization	6.14			*		*	(3)
Multiplexing and Demultiplexing	6.15				(2)	*	*
Explicit Flow Control with without	6.16		*	*	m O	*	*
Checksum (use of) (non use of)	6.17		*	*	*	*	m O
Frozen References	6.18			*		*	*
Retransmission on Timeout	6.19						*
Resequencing	6.20	:					*
Inactivity Control	6.21						*
Treatment of Protocol Errors	6.22		*	*	*	*	*
Splitting and Recombining	6.23						*

8. SPECIFICATION FOR CLASS 0. SIMPLE CLASS

8.1 Functions of class 0

Class 0 is designed to have minimum functionality. It provides only the functions needed for connection establishment with negotiation, data transfer with segmenting and protocol error reporting.

Class 0 provides transport connections with flow control based on the network service provided flow control, and disconnection based on the network service disconnection.

8.2 Procedures for class 0

8.2.1 Procedures applicable at all times

The transport entities shall use the following procedures:

- a) TPDU transfer (see 6.2);
- b) association of TPDUs with transport connections (see 6.9);
- c) treatment of protocol errors (see 6.22);
- d) error release (see 6.8).

8.2.2 Connection establishment

The transport entities shall use the following procedures:

- a) assignment to Network Connection (see 6.1); then
- b) connection establishment (see 6.5) and, if appropriate, connection refusal (see 6.6);

subject to the following constraints:

- c) the CR and CC TPDUs shall contain no parameter field other than those for TSAP-ID and maximum TPDU size;
- d) the CR and CC TPDUs shall not contain a data field.

8.2.3 Data Transfer

The transport entities shall use the segmenting and reassembling procedure (see 6.3).

8.2.4 Release

The transport entities shall use the implicit variant of the normal release procedure (see 6.7).

NOTE 71

The lifetime of the transport connection is directly correlated with the lifetime of the Network Connection.

9. SPECIFICATION FOR CLASS 1 : BASIC ERROR RECOVERY CLASS

9.1 Functions of Class 1

Class 1 provides transport connections with flow control based on the network service provided flow control, error recovery, expedited data transfer, disconnection, and also the ability to support consecutive transport connections on a Network Connection.

This class provides the functionality of Class O plus the ability to recover after a failure signalled by the Network Service, without involving the TS-user.

9.2 Procedures for Class 1

9.2.1 Procedures applicable at all times

The transport entities shall use the following procedures:

- a) TPDU transfer (see 6.2);
- b) association of TPDU with transport connections (see 6.9);
- c) treatment of protocol errors (see 6.22);
- d) reassignment after failure (see 6.12);
- e) resynchronization (see 6.14), or reassignment after failure (see 6.12) together with resynchronization (see 6.14);
- f) concatenation and separation (see 6.4);
- g) retention until acknowledgement of TPDUs (see 6.13); the variant used, AK or confirmation of receipt, shall be as selected during connection establishment (see Notes 72, 73);
- h) frozen references (see 6.18).

NOTE 72

The negotiation of the variant of retention until acknowledgement of TPDUs procedure to be used over the transport connection has been designed such that if the initiator proposes the use of the AK variant (i.e. the mandatory implementation option), the responder has to accept use of this option and if the initiator proposes use of the confirmation of receipt variant the responder is entitled to select use of the AK variant.

NOTE 73

The AK variant makes use of AK TPDUs to release copies of retained DT TPDUs. The CDT parameter of AK TPDUs in Class 1 is not significant, and is set to 1111.

The confirmation of receipt variant is restricted to this class and its use depends on the availability of the network layer receipt confirmation service, and the expected cost reduction.

9.2.2 Connection Establishment

The transport entities shall use the following procedures:

- a) assignment to Network Connection (see 6.1); then
- b) connection establishment (see 6.5) and, if appropriate, connection refusal (see 6.6).

9.2.3 Data Transfer

9.2.3.1 General

The sending transport entity shall use the following procedures:

- a) segmenting (see 6.3); then
- b) the normal format variant of DT TPDU numbering (see 6.10).

The receiving transport entity shall use the following procedures:

- c) the normal format variant of DT TPDU numbering (see 6.10); then
- d) reassembling (see 6.3).

NOTE 74

The use of RJ TPDU during resynchronization (see 6.14) can lead to retransmission. Thus, the receipt of a duplicate DT TPDU is possible; such a DT TPDU is discarded.

NOTE 75

It is possible to decide on a local basis to issue an N-RESET request in order to force the remote entity to carry out the resynchronization (see 6.14).

9.2.3.2 Expedited Data

The transport entities shall use either the network normal data or the network expedited variants of the expedited data transfer procedure (see 6.11) if their use has been selected during connection establishment (see Note 76).

The sending transport entity shall not allocate the same ED-TPDU-NR to successive ED TPDUs (see Notes 77 and 78).

When acknowledging an ED TPDU by sending an EA TPDU the transport entity shall put into the YR-EDTU-NR parameter of the EA TPDU the value received in the ED-TPDU-NR parameter of the ED TPDU.

NOTE 76

The negotiation of the variant of expedited data transfer procedure to be used over the transport connection has been designed such that if the initiator proposes the use of the network normal data variant (i.e. the mandatory implementation option), the responder has to accept use of this option and if the initiator proposes use of the network expedited variant, the responder is entitled to select use of the network normal data variant.

NOTE 77

This numbering enables the receiving transport entity to discard repeated ED TPDUs when resynchronization (see 6.14) has taken place.

NOTE 78

No other significance is attached to the ED-TPDU-NR parameter. It is recommended, but not essential, that the values used be consecutive modulo 128.

9.2.4 Release

The transport entities shall use the explicit variant of the release procedure (see 6.7).

10. SPECIFICATION FOR CLASS 2 - MULTIPLEXING CLASS

10.1 Functions of Class 2

Class 2 provides transport connections with or without individual flow control; no error detection or error recovery is provided.

If the Network Connection resets or disconnects, the transport connection is terminated without the transport release procedure and the TS-user is informed.

When explicit flow control is used, a credit mechanism is defined allowing the receiver to inform the sender of the exact amount of data he is willing to receive and expedited data transfer is available.

10.2 Procedures for Class 2

10.2.1 Procedures Applicable at all Times

The transport entities shall use the following procedures:

a) association of TPDUs with transport connection (see 6.9);

- b) TPDU transfer (see 6.2);
- c) treatment of protocol errors (see 6.22);
- d) concatenation and separation (see 6.4);
- e) error release (see 6.8).

Additionally the transport entities may use the following procedure:

f) multiplexing and demultiplexing (see 6.15).

10.2.2 Connection Establishment

The transport entities shall use the following procedures:

- a) assignment to Network Connection (see 6.1); then
- b) connection establishment (see 6.5) and, if applicable connection refusal (see 6.6).

10.2.3 Data Transfer when non use of explicit flow control has been selected

If this option has been selected as a result of the connection establishment, the transport entities shall use the segmenting procedure (see 6.3).

The TPDU-NR field of DT TPDUs is not significant and may take any value.

NOTE 79

Expedited data transfer is not applicable (see 6.5).

10.2.4 Data Transfer when use of explicit flow control has been selected

10.2.4.1 General

The sending transport entity shall use the following procedures:

- a) segmenting (see 6.3); then
- b) DT TPDU numbering (see 6.10);

The receiving transport entity shall use the following procedures :

c) DT TPDU numbering (see 6.10); if a DT TPDU is received which is out of sequence it shall be treated as a protocol error; then

d) reassembling (see 6.3).

The variant of the DT TPDU numbering which is used by both transport entities shall be that which was agreed at connection establishment.

10.2.4.2 Flow Control

The transport entities shall send an initial credit (which may be zero) in the CDT field of the CR or the CC TPDU. This credit represents the initial value of the upper window edge allocated to the peer entity.

The transport entity that receives the CR or the CC TPDU shall consider its lower window edge as zero, and its upper window edge as the value of the CDT field in the received TPDU.

In order to authorize the transmission of DT TPDUs, by its peer, a transport entity may transmit an AK TPDU at any time, subject to the following constraints:

- a) The YR-TU-NR parameter shall be at most one greater than the TPDU-NR field of the last received DT TPDU or shall be zero if no DT TPDU has been received;
- b) if an AK TPDU has previously been sent the value of the YR-TU-NR parameter shall not be lower than that in the previously sent AK TPDU;
- c) the sum of the YR-TU-NR and CDT fields shall not be less than the upper window edge allocated to the remote entity (see Note 80).

A transport entity which receives an AK TPDU shall consider the YT-TU-NR field as its new lower window edge, and the sum of YR-TU-NR and CDT as its new upper window edge. If either of these have been reduced or if the lower window edge has become more than one greater than the TPDU-NR of the last transmitted DT TPDU, this shall be treated as a protocol error (see 6.22).

A transport entity shall not send a DT TPDU with a TPDU-NR outside of the transmit window (see Notes $81\ \mathrm{and}\ 82$).

NOTE 80

This means that credit reduction is not applicable.

NOTE 81

This means that a transport entity is required to stop sending

if the TPDU-NR field of the next DT TPDU which would be sent would be the upper window edge. Sending of DT TPDU may be resumed if an AK TPDU is received which increases the upper window edge.

NOTE 82

The rate at which a transport entity progresses the upper window edge allocated to its peer entity constrains the throughput attainable on the transport connection.

10.2.4.3 Expedited Data

The transport entities shall follow the network normal data variant of the expedited data transfer procedure in 6.11 if its use has been agreed during connection establishment. ED and EA TPDUs are not subject to the flow control procedures in 10.2.4.2. The ED-TPDU-NR and YR-ETDU-NR fields of ED and EA TPDUs respectively are not significant and may take any value.

10.2.5 Release

The transport entities shall use the explicit variant of the release procedure in 6.7.

11. SPECIFICATION FOR CLASS 3 : ERROR RECOVERY AND MULTIPLEXING CLASS

11.1 Functions of Class 3

Class 3 provides the functionality of Class 2 (with use of explicit flow control) plus the ability to recover after a failure signalled by the Network Layer without involving the TS-user.

The mechanisms used to achieve this functionality also allow the implementation of more flexible flow control.

11.2 Procedures for Class 3

11.2.1 Procedures applicable at all times

The transport entities shall use the following procedures:

- a) Association of TPDUs with transport connections (see 6.9);
- b) TPDU transfer (see 6.2) and retention until acknow-ledgement of TPDUs (AK variant only) (see 6.13);
- c) treatment of protocol errors (see 6.22);
- d) concatenation and separation (see 6.4);

- e) reassignment after failure (see 6.12), together with resynchronization (see 6.14);
- f) frozen references (see 6.18).

Additionally, the transport entities may use the following procedure:

g) multiplexing and demultiplexing (see 6.15);

11.2.2 <u>Connection Establishment</u>

The transport entities shall use the following procedures:

- a) Assignment to Network Connections (see 6.1); then
- b) connection establishment (see 6.5) and, if appropriate, connection refusal (see 6.6).

11.2.3 Data Transfer

11.2.3.1 <u>General</u>

The sending transport entity shall use the following procedures:

- a) Segmenting (see 6.3); then
- b) DT TPDU numbering (see 6.10); after receipt of an RJ TPDU (see 11.2.3.2) the next DT TPDU to be sent may have a value which is not the previous value of TPDU-NR plus one.

The receiving transport entity shall use the following procedures:

- c) DT TPDU numbering (see 6.10); the TPDU-NR field of each received DT TPDU shall be treated as a protocol error if it exceeds the greatest such value received in a previous DT TPDU by more than one (see note); then
- d) reassembling (see 6.3); duplicated TPDUs shall be eliminated before reassembling is performed.

NOTE 83

The use of RJ TPDUs (see 11.2.3.2) can lead to retransmission and reduction of credit. Thus the receipt of a DT TPDU which is a duplicate, or which is greater than or equal to the upper window edge allocated to the peer entity, is possible and is therefore not treated as a protocol error.

11.2.3.2 Use of RJ TPDU

A transport entity may send an RJ TPDU at any time in order to invite retransmission or to reduce the upper window edge allocated to the peer entity (see Note 84).

When an RJ TPDU is sent, the following constraints shall be respected:

- a) The YR-TU-NR parameter shall be at most one greater than the greatest such value received in a previous DT TPDU, or shall be zero if no DT TPDU has yet been received (see Note 85);
- b) if an AK or RJ TPDU has previously been sent the YR-TU-NR parameter shall not be lower than that in the previously sent AK or RJ TPDU.

When a transport entity receives an RJ TPDU (see Note 86):

- c) the next DT TPDU to be transmitted, or retransmitted, shall be that for which the value of the TPDU-NR parameter is equal to the value of the YR-TU-NR parameter of the RJ TPDU;
- d) the sum of the values of the YR-TU-NR and CDT parameters of the RJ TPDU becomes the new upper window edge (see Note 87)

NOTE 84

An RJ TPDU can also be sent as part of the resynchronization (see 6.14) and reassignment after failure (see 6.12) procedures.

NOTE 85

It is recommended that the YR-TU-NR parameter be equal to the TPDU-NR parameter of the next expected DT TPDU.

NOTE 86

These rules are a subset of those specified for when an RJ TPDU is received during resynchronization (see 6.14) and reassignment after failure (see 6.12).

NOTE 87

This means that RJ TPDU can be used to reduce the upper window edge allocated to the peer entity (credit reduction).

11.2.3.3 Flow Control

The procedures shall be as defined in 10.2.4.2, except that:

a) A credit reduction may lead to the reception

of a DT TPDU with a TPDU-NR parameter whose value is not, but would have been less than the upper window edge allocated to the remote entity prior to the credit reduction. This shall not be treated as a protocol error;

b) receipt of an AK TPDU which sets the lower window edge more than one greater than the TPDU-NR of the last transmitted DT TPDU shall not be treated as a protocol error, provided that all acknowledged DT TPDUs have been previously transmitted (see Notes 88 and 89).

NOTE 88

This can only occur during retransmission following receipt of an RJ TPDU.

NOTE 89

The transport entity may either continue retransmission as before or retransmit only those DT TPDUs, not acknowledged by the AK TPDU. In either case, copies of the acknowledged DT TPDUs, need not be retained further.

11.2.3.4 Expedited Data

The transport entities shall follow the network normal data variant of expedited data transfer procedure in 6.11 if its use has been agreed during connection establishment.

The sending transport entity shall not allocate the same ED-TPDU-NR to successive ED TPDUs.

The receiving transport entity shall transmit an EA TPDU with the same value in its YR-EDTU-NR parameter. If, and only if, this number is different from that of the previously received ED TPDU, shall it generate a T-EXPEDITED DATA indication to convey the data to the TS-user (see Note 91).

NOTE 90

No other significance is attached to the ED-TPDU-NR parameter. It is recommended, but not essential, that the values be consecutive modulo 2^n , where n is the number of bits of the parameter.

NOTE 91

This procedure ensures that the TS-user does not receive data corresponding to the same ED TPDU more than once.

11.2.4 Release

The transport entities shall use the explicit variant of the release procedure in 6.7.

12. SPECIFICATION FOR CLASS 4: ERROR DETECTION AND RECOVERY CLASS

12.1 Functions of Class 4

Class 4 provides the functionality of Class 3, plus the ability to detect and recover from lost, duplicated, or out of sequence TPDUs without involving the TS-user.

This detection of errors is made by extended use of the DT TPDU numbering of Class 2 and Class 3, by time-out mechanisms, and by additional procedures.

This class additionally detects and recovers from damaged TPDUs by using a checksum mechanism. The use of the checksum mechanism must be available but its use or its nonuse is subject to negotiation.

Further on this class provides additional resilience against network failure and increased throughput capability by allowing a transport connection to make use of multiple Network Connections.

12.2 Procedures for Class 4

12.2.1 Procedures available at all times

12.2.1.1 Timers used at all times

This subclause defines timers that apply at all times in class 4. These timers are listed in table 7.

This Standard does not define specific values for the timers, and the derivations described in this subclause are not mandatory. The values should be chosen so that the required quality of service can be provided, given the known characteristics of the Network.

Timers that apply only to specific procedures are defined under the appropriate procedure.

12.2.1.1.1 NSDU lifetime (M_{LR} , M_{RL})

The Network Layer is assumed to provide, as an aspect of its grade of service, for a bound on the maximum lifetime of NSDUs in the Network. This value may be different in each direction of transfer through a network between two transport entities. The values, for both directions of transfer, are assumed to be known by the transport entities. The maximum NSDU lifetime local-to-remote (M_{LR}) is the maximum time which may elapse between the transmission of an NSDU from the local transport entity to the network

and receipt of any copy of the NSDU from the network at the remote transport entity. The maximum NSDU lifetime remote-to-local (M $_{RL}$) is the maximum time which may elapse between the transmission of an NSDU from the remote transport entity to the network and receipt of any copy of the NSDU from the network at the local transport entity.

12.2.1.1.2 Expected maximum transit delay (E_{LR} , E_{RL})

The Network Layer is assumed to provide, as an aspect of its grade of service, an expected maximum transit delay for NSDUs in the network. This value may be different in each direction of transfer through a network between two transport entities. The values, for both directions of transfer, are assumed to be known by the transport entities. The expected maximum transit delay local-to-remote (E_{LR}) is the maximum delay suffered by all but a small proportion of NSDUs transferred through the network from the local transport entity to the remote transport entity. The expected maximum transit delay remote-tolocal $(E_{\rm RL})$ is the maximum delay suffered by all but a small proportion of NSDUs transferred through the network from the remote transport entity to the local transport entity.

12.2.1.1.3 Acknowledge Time (A_R, A_L)

Any transport entity is assumed to provide a bound for the maximum time which can elapse between its receipt of a TPDU from the Network Layer and its transmission of the corresponding response. This value is referred to as A_L . The corresponding time given by the remote transport entity is referred to as A_R .

TABE 7 - Time Parameters related to the operation of class 4

Symbol	Name	Definition
M _{LR}	NSDU lifetime local-to-remote	A bound for the maximum time which may elapse between the transmission of an NSDU by a local transport entity and the receipt of any copy of it by a remote peer entity.
M _{RL}	NSDU lifetime remote-to-local	A bound for the maximum time which may elapse between the transmission of an NSDU from a remote transport entity and the receipt of any copy of it by the local peer entity.

Symbo1	Name	Definition
E _{LR}	Expected maximum transit delay local-to-remote	A bound for the maximum delay suffered by all but a small proportion of NSDUs transferred from the local transport entity to a remote peer entity.
E _{RL}	Expected maximum transit delay remote-to-local	A bound for the maximum delay suf- fered by all but a small proportion of NSDUs transferred from a remote transport entity to the local peer entity.
A_{L}	Local acknowledge time	A bound for the maximum time which can elapse between the receipt of a TPDU by the local transport entity from the Network Layer and the transmission of the corresponding acknowledgment.
A _R	Remote acknowledg- ment time	As $A_{\rm L}$, but for the remote entity.
T1	Local retransmis- sion time	A bound for the maximum time the local transport entity will wait for acknowledgment before retransmitting a TPDU.
R	Persistence time	A bound for the maximum time that the local transport entity will continue to transmit a TPDU that requires acknowledgment.
N	Maximum number of transmissions	A bound for the maximum number of times which the local transport entity will continue to transmit a TPDU that requires acknowledgment.
L	Bound on references and sequence numbers	A bound for the maximum time between the transmission of a TPDU and the receipt of any acknowledgment rela- ting to it.
I	Inactivity time	A bound for the time after which a transport entity will, if it does not receive a TPDU, initiate the release procedure to terminate the transport connection.
1		This parameter is required for protection against unsignalled failures.
W	Window time	A bound for the maximum time a transport entity will wait before retransmitting up to date window information.

12.2.1.1.4 Local retransmission time (T1)

The local transport entity is assumed to maintain a bound on the time it will wait for an acknowledgement before retransmitting the TPDU. Its value is given by:

 $T1 = E_{LR} + E_{RL} + A_R + x$

where:

 E_{LR} = Expected maximum transit delay local to remote

 E_{R_L} = Expected maximum transit delay remote to local

 A_R = Remote acknowledge time, and

x = 1 ocal processing time for a TPDU.

12.2.1.1.5 Persistence Time (R)

The local transport entity is assumed to provide a bound for the maximum time for which it may continue to retransmit a TPDU requiring positive acknowledgement. This value is referred to as R.

The value is clearly related to the time elapsed between retransmission, T1, and the maximum number of transmissions, N. It is not less than T1 * N + X, where X is a small quantity to allow for additional internal delays, the granularity of the mechanism used to implement T1 and so on. Because R is a bound, the exact value of X is unimportant as long as it is bounded and the value of a bound is known.

12.2.1.1.6 Bound on References and Sequence Numbers (L)

A bound for the maximum time between the decision to transmit a TPDU and the receipt of any response relating to it (L) is given by :

$$L = M_{LR} + M_{RL} + R + A_R$$

where:

 $^{\mathrm{M}}_{\mathrm{LR}}$ = NSDU lifetime local to remote

 $M_{RI.}$ = NSDU Lifetime remote to local

R = persistence time, and

 A_R = remote acknowledgment time.

It is necessary to wait for a period of time before reusing any references or sequence number in order to avoid confusion in case a TPDU referring to it is duplicated or delayed.

The period of time during which the sequence numbers for DT TPDUs should be frozen is the period L, starting from the time when the sequence number has fallen below the lower window edge.

NOTE 92

In practice, the value of L may be unacceptably large. It may also be only a statistical figure at a certain confidence level. A smaller value may therefore be used where this still allows the required quality of service to be provided.

NOTE 93

The relationships between times discussed above are illustrated in figures 2 and 3.

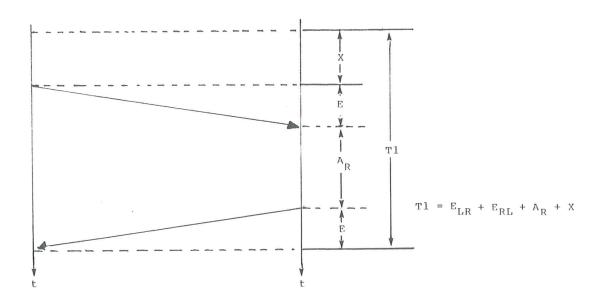


FIGURE 2 - The interrelationship of times for the average case in class 4

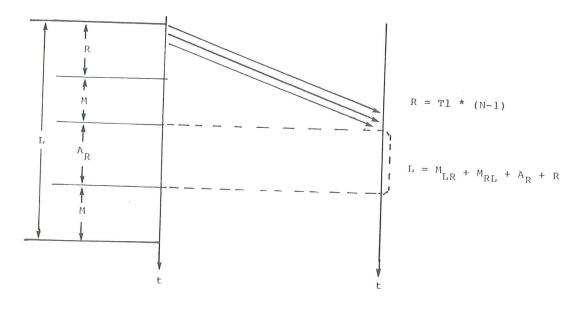


FIGURE 3 - The interrelationship of times for maximum delay in class $4\,$

12.2.1.2 General Procedures

The transport entity shall use the following procedures:

- a) TPDU transfer (see 6.2);
- b) association of TPDUs with transport connections (see 6.9);
- c) treatment of protocol errors (see 6.22);
- d) checksum (see 6.17);
- e) splitting and recombining (see 6.23);
- f) multiplexing and demultiplexing (see 6.15);
- g) retention until acknowledgement of TPDUs (see 6.13);
- h) frozen references (see 6.18);
- j) retransmission procedures; when a transport entity has some outstanding TPDUs that require acknowledgement, it will check that no T1 interval elapses without the arrival of a TPDU that acknowledges at least one of the outstanding TPDUs.

If the timer expires, except if the TPDU to be retransmitted is a DT TPDU and it is outside the transmit window due to credit reduction, the first TPDU is retransmitted and the timer is restarted. After N transmissions (i.e. N-1 retransmissions) it is assumed that useful two-way communication is no longer possible and the release procedure is used, and the TS-user is informed.

NOTE 94

This procedure may be implemented by different means. For example:

- a) one interval is associated with each TPDU.

 If the timer expires the associated TPDU will be transmitted and the timer T1 will be restarted for all subsequent TPDUs; or
- b) one interval is associated with each transport connection :
 - i) if the transport entity transmits a TPDU requiring acknowledgement, it starts timer T1;
 - ii) if the transport entity receives a TPDU that acknowledges one of the TPDUs to be acknowledged, it restarts timer T1 unless the received TPDU is an AK which explicity closes the transmit window;
 - iii) if the transport entity receives a TPDU that acknowledges the last TPDU to be acknowledged, it stops timer T1.

For a decision whether the retransmission timer T1 is maintained on a per TPDU or on a per transport connection basis, throughput considerations have to be taken into account.

NOTE 95

For DT TPDUs it is a local choice to retransmit either only the first DT TPDU or all TPDUs waiting for an acknowledgement up to the upper window edge.

NOTE 96

It is recommended that after N transmissions, the transport entity waits T1 + W + M_{RL} to provide a higher possibility of receiving an acknowledgement before entering the release phase. For other TPDUs types which may be retransmitted, it is recommended that after N transmissions the transport entity waits T1 + M_{RL} to provide an higher possibility of receiving the expected reply.

12.2.2 Procedures for Connection Establishment

12.2.2.1 Timers used in Connection Establishment

There are no timers specific to connection establishment.

12.2.2.2 General Procedures

The transport entities shall use the following procedures:

a) Assignment to Network Connection (see 6.1);

When a Network Connection to which the transport Connection is assigned is released (NDISind received).

- 1) If a CC TPDU is awaited the initiator shall perform a new assignment according to QOS and retransmission procedure (i.e. no more than N x T1 keeping sending CR TPDU);
- 2) if there is at least one other Network Connection to which the transport connection is assigned both initiator and acceptor may either perform a new assignment or continue operation using one of the remaining Network Connections;
- if the transport connection becomes unassigned the acceptor may either perform new assignment or wait (there is no risk of deadlock since either T1 or I are running), the initiator shall perform a new assignment (except in the closing state).
- b) Connection establishment (see 6.5) and if appropriate connection refusal (see 6.6) together with the additional procedures:
 - 1) A connection is not considered established until the successful completion of a 3-way TPDU exchange. The sender of a CR TPDU shall respond to the corresponding CC TPDU by immediately sending a DT, ED, DR or AK TPDU;
 - as a result of duplication or retransmission, a CR TPDU may be received specifying a source reference which is already in use with the sending transport entity. If the receiving transport entity is in the data transfer phase, having completed the 3-way TPDU exchange procedure, or is waiting for the T-CONNECT response from the TS-user, the receiving transport entity shall ignore such a TPDU. Otherwise a CC TPDU shall be transmitted;
 - as a result of duplication or retransmission, a CC TPDU may be received specifying a paired reference which is already in use. The receiving transport entity shall only acknowledge the duplicate CC TPDU according to the procedure in 12.2.2.2.b.1.

- 4) a CC TPDU may be received specifying a reference which is in the frozen state. The response to such a TPDU shall be a DR TPDU;
- 5) the retransmission procedures (see 12.2.1.2) are used for both the CR TPDU and CC TPDU.

NOTE 97

After receiving a CR TPDU, it is recommended that the transport entity enforce a time limit upon the transport service user so that late acceptance of the transport connection will not cause a delayed CC TPDU to be sent.

12.2.3 Procedures for Data Transfer

12.2.3.1 Timers used in Data Transfer

The data transfer procedures use two additional timers:

a) Inactivity Time (I)

To protect against unsignalled breaks in the Network Connection or failure of the peer transport entity (half-open connections), each transport entity maintains an inactivity interval.

NOTE 98

A suitable value for I is given by 2 * (N * maximum of (T_1 , W)) unless local needs indicate another more appropriate value.

b) Window Time (W)

A transport entity maintains a timer interval to ensure that there is a bound on the maximum interval between window updates.

12.2.3.2 General Procedures for Data Transfer

The transport entities shall use the following procedures:

- a) inactivity control (see 6.21);
- b) expedited data (see 6.11);
- c) explicit flow control (see 6.16).

The sending transport entity shall use the following procedures in the following order:

- d) segmenting (see 6.3);
- e) DT TPDU numbering (see 6.10).

The receiving transport entity shall use the following procedures in the following order:

- f) DT TPDU numbering (see 6.10);
- g) resequencing (see 6.20);
- h) reassembling (see 6.3).

12.2.3.3 Inactivity Control

If the interval of the inactivity timer I expires without receipt of some TPDU, the transport entity shall initiate the release procedures. To prevent expiration of the remote transport entity's inactivity timer when no data is being sent, the local transport entity must send AK TPDUs at suitable intervals in the absence of data, having regard to the probability of TPDU loss. The window synchronization procedures (see 12.2.3.8) ensure that this requirement is met.

NOTE 99

It is likely that the release procedure initiated due to the expiration of the inactivity timer will fail, as such expiration indicates probable failure of the supporting Network Connection or of the remote transport entity.

12.2.3.4 Expedited Data

The transport entities shall follow the network normal data variant of the expedited data transfer procedures (see 6.11), if the use of transport expedited service option has been agreed during connection establishment.

The ED TPDU shall have a TPDU-NR which is allocated from a separate sequence space from that of the DT TPDUs.

A transport entity shall allocate the sequence number zero to the ED TPDU-NR of the first ED TPDU which it transmits for a transport connection. For subsequent ED TPDUs sent on the same transport connection, the transport entity shall allocate a sequence number one greater than the previous one.

Modulo 2^7 arithmetic shall be used when normal formats have been selected and modulo 2^{31} arithmetic shall be used when extended formats have been selected.

The receiving transport entity shall transmit an EA TPDU with the same sequence number in its YR-ETDU-NR field. If this number is one greater than in the previously received in sequence ED TPDU, the receiving transport entity shall transfer the data in the ED TPDU to the TS-user.

If a transport entity does not receive an EA TPDU in acknowledgement to an ED TPDU it shall follow the retransmission procedures (see note 100 and 12.2.1.2).

The sender of an ED TPDU shall not send any new DT TPDU with higher TPDU-NR until it receives the EA TPDU.

NOTE 100

This procedure ensures that ED TPDUs are delivered to the TS-user in sequence and that the TS-user does not receive data corresponding to the same ED TPDU more than once. Also it guarantees the arrival of the ED TPDU before any subsequently sent DT TPDUs.

12.2.3.5 Resequencing

The receiving transport entity shall deliver all DT TPDUs to the TS-user in the order specified by the sequence number field.

DT TPDUs received out-of-sequence but within the transmit window shall not be delivered to the TS-user until all in-sequence TPDUs have been received. DT TPDUs received out-of-sequence and outside the transmit window shall be discarded but may result in transmission of an AK TPDU with up-to-date window information (see 12.2.3.8).

Duplicate TPDUs can be detected because the sequence number matches that of previously received TPDUs. Sequence numbers shall not be reused for the period L after their previous use. Otherwise, a new, valid TPDU could be confused with a duplicated TPDU which had previously been received and acknowledged.

Duplicated DT TPDUs shall be acknowledged, since the duplicated TPDU may be the result of a retransmission resulting from the loss of an AK TPDU.

The data contained in a duplicated DT TPDU shall be ignored.

12.2.3.6 Explicit Flow Control

The transport entities shall send an initial credit (which may take the value 0) in the CDT field of the CR TPDU or CC TPDU. This credit represents the initial value of the upper window edge of the peer entity.

The transport entity which receives the CR TPDU or CC TPDU shall consider its lower window edge as zero and its upper window edge as the value in the CDT field in the received TPDU.

In order to authorize the transmission of DT TPDUs by its peer, a transport entity may transmit an AK TPDU at any time.

The sequence number of an AK TPDU shall not exceed the sequence number of the $_{\rm next}$ expected DT TPDU, i.e. it shall not be greater than the highest sequence number of a received DT TPDU, plus one.

A transport entity may send a duplicate AK TPDU containing the same sequence number, CDT, and subsequence number field at any time.

A transport entity may increase or decrease the upper window edge at any time.

A transport entity which receives an AK TPDU shall consider the value of the YR-TU-NR field as its new lower window edge if it is greater than any previously received in a YR-TU-NR field, and the sum of YR-TU-NR and CDT as its new upper window edge subject to the procedures for sequencing AK TPDUs (see 12.2.3.8). A transport entity shall not transmit or retransmit a DT TPDU with a sequence number outside the transmit window.

12.2.3.7 Sequencing of received AK TPDUs

To allow a receiving transport entity to properly sequence a series of AK TPDUs that all contain the same sequence number and thereby use the correct CT value, AK TPDUs may contain a subsequence parameter. For the purpose of determining the correct sequence of AK TPDUs, the absence of the subsequence parameter shall be equivalent to the value of the parameter set to zero.

An AK TPDU is defined to be in sequence if :

- a) the sequence number is greater than in any previously received AK TPDU, or
- b) the sequence number is equal to the highest in any previously received AK TPDU, and the subsequence parameter is greater than in any previously received AK TPDU having the same value for YR-TU-NR field, or
- c) the sequence number and subsequence parameter are both equal to the highest in any previously received AK TPDU and the credit field is greater than or equal to that in any previously received AK TPDU having the same YR-TU-NR field.

When the receiving transport entity recognizes an out of sequence AK TPDU is shall ignore it.

12.2.3.8 Procedures for transmission of AK TPDUs

12.2.3.8.1 Transmission of AK TPDUs

An in-sequence DT TPDU shall be acknowledged withing time A_L , by the transmission of an AK TPDU whose YR-TU-NR parameter is set to at least the sequence number of the received DT TPDU plus one.

An AK TPDU shall be transmitted containing up-to-date window information if :

- a) a DT TPDU is received whose sequence number is lower than the lower window edge, but greater than or equal to the lower window edge minus the maximum credit value ever given for this transport connection, or
- b) a DT TPDU is received whose sequence number is above the current upper window, but following credit reduction is within the upper window edge which has been granted and then withdrawn.

NOTE 101

A simpler implementation may send an AK TPDU upon reception of any DT TPDU outside the transmit window.

NOTE 102

The procedure (a) is required so that loss of an AK TPDU is correctly recovered, i.e. when the sender of the DT TPDU retransmits it following non-receipt of an acknowledgement.

NOTE 103

The procedure (b) is required due to the possibility of loss of the AK TPDU indicating the upper window edge reduction, which could otherwise cause incorrect termination of the transport connection.

A transport entity shall not allow an interval W to pass without the transmission of an AK TPDU. If the transport entity is not using the procedure following setting CDT to zero (see 12.2.3.8.3) or reduction of the upper window edge (see 12.2.3.8.4), and does not have to acknowledge receipt of any DT TPDU, then it shall achieve this by retransmission on the most recent AK TPDU, with up-to-date window information.

NOTE 104

The use of the procedures defined in 12.2.3.8.3 and 12.2.3.8.4 is optional for any transport entity. The protocal operates correctly either with or without these procedures which are defined to enhance the efficiency of its operation.

12.2.3.8.2 Sequence control for transmission of AK TPDUs

To allow the receiving transport entity to process AK TPDUs in the correct sequence, as described in 12.2.3.7, the subsequence parameter shall be included following reduction of CDT. If the value of the subsequence number to be transmitted is zero, then the parameter should be omitted.

The value of the subsequence parameter, if used, shall be zero (either explicity or by absence of the parameter) if the sequence number is greater than the parameter in previous AK TPDUs, sent by the transport entity.

If the sequence number is the same as the previous AK TPDU sent and the CDT field is equal to or greater than the CDT field in the previous AK TPDU sent then the subsequence parameter, if used, shall be equal to that in the previously sent AK TPDU.

If the sequence number is the same as the previous AK TPDU sent and the CDT field is less than the value of the CDT field in the previous AK TPDU sent then the subsequence parameter, if used, shall be one greater than the value in the previous AK TPDU.

NOTE 105

If a transport entity never reduces credit, then it does not need to use the subsequence parameter.

12.2.3.8.3 Retransmission of AK TPDUs after CDT set to zero

Due to the possibility of loss of AK TPDUs, the upper window edge as perceived by the transport entity transmitting an AK TPDU may differ from that perceived by the intended recipient. To avoid the possibility of extra delay, the retransmission procedure (see 12.2.1.2) should be followed for an AK TPDU, if it opens the transmit window which has previously been closed by sending an AK TPDU with CDT field set to zero.

The retransmission procedure, if used, terminates and the procedure in 12.2.3.8.1 is used when:

- a) An AK TPDU is received containing the flow control confirmation parameter, whose lower window edge and your subsequence fields are equal to the sequence number and subsequence number in the retained AK TPDU and whose credit field is not zero.
- b) An AK TPDU is transmitted with a sequence number higher than that in the retained AK TPDU, due to reception of a DT TPDU whose sequence number is equal to the lower window edge;
- c) N transmissions of the retained AK TPDU have taken place. In this case the transport entity shall continue to transmit the AK TPDU at an interval of W.

An AK TPDU which is subject to the retransmission procedure shall not contain the flow control confirmation parameter. If it is required to transmit this parameter concurrently, an additional AK TPDU shall be transmitted having the same values in the sequence, subsequence (if applicable) and credit fields.

12.2.3.8.4 Retransmission procedures following reduction of the upper window edge

This subclause specifies the procedure for retransmission of AK TPDUs after a transport entity has reduced the upper window edge (see 12.2.3.6). This procedure is used until the lower window edge exceeds the highest value of the upper window edge ever transmitted (i.e. the value existing at the time of credit reduction, unless a higher value is retained from a previous credit reduction).

The retransmission procedure should be followed for any AK TPDU which increases the upper window edge, unless it is known that the remote transport entity has an open window. This is known if:

a) flow control confirmation (FCC) parameter has been received corresponding to an AK TPDU transmitted following the most recent credit reduction, and,

b) this FCC parameter conveys an upper window edge value (i.e. the sum of the lower window edge and credit fields) which is greater than the lower window edge of the transmitted AK TPDU.

This retransmission procedure for any particular AK TPDU shall terminate when :

- a) An AK TPDU is received containing the flow control confirmation parameter, whose lower window edge and your subsequence fields are equal to the lower window edge and subsequence number in the retained AK TPDU; or
- b) N transmissions of the retained AK TPDU have taken place. In this case the transport entity shall continue to transmit the AK TPDU at an interval of W.

An AK TPDU which is subject to the retransmission procedure shall not contain the flow control confirmation parameter. If it is required to transmit this parameter concurrently, and additional AK TPDU shall be transmitted having the same values in the sequence, subsequence (if applicable) and credit fields.

NOTE 106

Retransmission of AK TPDUs is normally not necessary, except following explicit closing of the window (i.e transmission of an AK TPDU with CDT field set to zero). If data is available to be transmitted, the retransmission procedure for DT TPDUs will ensure that an AK TPDU is received granting further credit where this is available. Following credit reduction, this may no longer be so, because retransmission may be inhibited by the credit reduction. The rules described in this clause avoid extra delay.

The rules for determining whether to apply the retransmission procedure to an AK TPDU may be expressed alternatively as follows. Let:

LWE = Lower Window Edge UWE = Upper Window Edge

KUWE = Lower bound on Upper Window Edge held by remote transport entity

The retransmission procedure is to be used whenever:

(UWE > LWE) and (KUWE = LWE)

i.e. when the window is opened and it is not known definitely that the remote transport entity is aware of this.

KUWE is maintained as follows. When credit is reduced, KUWE is set to LWE. Subsequently, it is increased only upon receipt of a valid flow control confirmation (i.e. one which matches the retained lower window edge and subsequence). In this case KUWE is set to the implied upper window edge of the flow control confirmation, i.e. the sum of its lower window edge and your credit fields. Bythis means, it can be ensured that KUWE is always less than or equal to the actual upper window edge in use by the transmitter of DT TPDUs.

12.2.3.9 Use of Flow Control Confirmation Parameter

At any time, an AK TPDU may be transmitted containing a flow control confirmation parameter. The lower window edge, your subsequence and your credit fields shall be set to the same values as the corresponding fields in the most recently received insequence AK TPDU.

An AK TPDU containing a flow control confirmation parameter should be transmitted whenever:

- a) a duplicate AK TPDU is received, with the value of YR-TU-NR, CDT, and subsequence fields equal to the most recently received AK TPDU, but not itself containing the flow control confirmation parameter;
- b) an AK TPDU is received which increases the upper window edge but not the lower window edge, and the upper window edge was formerly equal to the lower window edge; or
- c) an AK TPDU is received which increases the upper window edge but not the lower window edge, and the lower window edge is lower than the highest value of the upper window edge received and subsequently reduced (i.e. following credit reduction).

12.2.4 Procedures for Release

12.2.4.1 <u>Timers used for Release</u>

There are no timers used only for release.

12.2.4.2 <u>General Procedures for Release</u>

The transport entity shall use the explicit variant of normal release (see 6.7).

13. STRUCTURE AND ENCODING OF TPDUs

13.1 Validity

Table 8 specifies those TPDUs which are valid for each class and the code for each TPDU.

TABLE 8 - TPDU Codes

	Val	Validity within Classes					Code
*	0	1	2	3	4		×
CR Connection Request	Х	Х	X	Х	X	13.3	1110 xxxx
CC Connection Confirm	Х	Х	Х	Х	Х	13.4	1101 xxxx
DR Disconnect Request	Х	Х	X	Х	X	13.5	1000 0000
DC Disconnect Confirm		х	X	X	X	13.6	1100 0000
DT Data	Х	Х	Х	х	X	13.7	1111 0000
ED Expedited Data		Х	NF	х	х	13.8	0001 0000
AK Data Acknowledgement		NRC	NF	X	X	13.9	0110 ZZZZ
EA Expedited Data Acknowledgment		х	NF	Х	х	13.10	0010 0000
RJ Reject		Х		Х		13.11	0101 ZZZZ
ER TPDU Error	Х	Х	Х	Х	Х	13.12	0111 0000
						-	0000 0000
Not available (see note)						_	0011 0000
						_	1001 xxxx
						-	1010 xxxx

Legend :

xxxx (bits 4-1): used to signal the CDT (set to

0000 in classes 0 and 1)

ZZZZ (bits 4-1) : used to signal CDT in classes

2,3,4 set to 1111 in class 1

NF : not available when the non explicit

flow control option is selected.

NRC : not available when the receipt con-

firmation option is selected.

NOTE 107

These codes are already in use in related protocols defined by standards organization other than CCITT and ISO.

13.2 Structure

All the transport protocol data units (TPDUs) shall contain an integral number of octets. The octets in a TPDU are numbered starting from 1 and increasing in the order they are put into an NSDU. The bits in an octet are numbered from 1 to 8, where bit 1 is the low-order bit.

When consecutive octets are used to represent a binary number, the lower octet number has the most significant value.

NOTE 108

As described in 6.2.3, both transport entities respect these bit and octet ordering conventions, thus allowing communication to take place.

NOTE 109

When the encoding of a TPDU is represented using a diagram in this clause, the following representation is used:

- a) octets are shown with the lowest numbered octet to the left; higher numbered octets being further to the right;
- b) within an octet, bits are shown with bit 8 to the left and bit 1 to the right.

TPDUs shall contain, in the following order:

- a) the header, comprising:
 - 1) the length indicator (LI) field;
 - 2) the fixed part;
 - 3) the variable part, if present;
- b) the data field, if present.

This structure is illustrated below:

octet	1	2 3 4 n	n+1 p	p+1 end
	LI	fixed part	variable part	data field
			header	

13.2.1 Length Indicator Field

This field is contained in the first octet of the TPDUs. The length is indicated by a binary number, with a maximum value of 254 (1111 1110). The length indicated shall be the header length in octets including parameters, but excluding the length indicator field and user data, if any. The value 255 (1111 1111) is reserved for possible extensions.

If the length indicated exceeds the size of the NS user data which is present, this is a protocol error.

13.2.2 Fixed Part

13.2.2.1 General

The fixed part contains frequently occuring parameters including the code of the TPDU. The length and the structure of the fixed part are defined by the TPDU code and in certain cases by the protocol class and the formats in use (normal or extended). If any of the parameters of the fixed part have an invalid value, or if the fixed part cannot be contained within the header (as defined by LI), this is a protocol error.

NOTE 110

In general, the TPDU code defines the fixed part unambiguously However, different variants may exist for the same TPDU code (see normal and extended formats).

13.2.2.2 <u>TPDU Code</u>

This field contains the TPDU code and is contained in octet 2 of the header. It is used to define the structure of the remaining header. This field is a full octet except in the following cases:

1110	XXXX	Connection Request
1101	XXXX	Connection Confirm
0101	XXXX	Reject
0110	XXXX	Data Acknowledgement

where xxxx (bits 4-1) is used to signal the CDT.

Only those codes defined in 13.1 are valid.

13.2.3 <u>Variable part</u>

The variable part is used to define less frequently used parameters. If the variable part is present, it shall contain one or more parameters.

NOTE 111

The number of parameters that may be contained in the variable part is indicated by the length of the variable part which is LI minus the length of the fixed part.

Each parameter contained within the variable part is structured as follows:

Octets Bits	8	7 6	5	4	3	2	1			
n+1	Parameter Code									
n+2	Parameter Length Indication (e.g. m)									
n+3 n+2+m	Parameter Value									

- The parameter code field is coded in binary;

NOTE 112

Without extensions, it provides a maximum number of 255 different parameters. However, as noted below, bits 8 and 7 cannot take every possible value, so the practical maximum number of different parameters is less. Parameter code 1111 1111 is reserved for possible extensions of the parameter code.

- The parameter length indication indicates the length, in octets, of the parameter value field.

NOTE 113

The length is indicated by a binary number, m, with a theoretical maximum value of 255. The practical maximum value of m is lower. For example, in the case of a single parameter contained within the variable part, two octets are required for the parameter code and the parameter length indication itself. Thus, the value of m is limited to 248. For larger fixed parts of the header and for each succeeding parameter, the maximum value of m decreases.

- The parameter value field contains the value of the parameter identified in the parameter code field.
- No parameter codes use bits 8 and 7 with the value 00.
- The parameters defined in the variable part may be in any order. If any parameter is duplicated then the later value shall be used.

A parameter not defined in this International Standard shall be treated as a protocol error in any received TPDU except a CR TPDU; in a CR TPDU it shall be ignored. If the responding transport entity selects a class for which a parameter of the CR TPDU is not defined, it may ignore this parameter, except the class and option, and alternative protocol class parameters which shall always be interpreted. A parameter defined in this Internation Standard but having an invalid value shall be treated as a protocol error in any received TPDU except a CR TPDU. In a CR TPDU it shall be treated as a protocol error if it is either the class and option parameter or the alternative class parameter or the additional option parameter; otherwise it shall be either ignored or treated as a protocol error.

13.2.3.1 Checksum Parameter (Class 4 only)

All TPDU types may contain a 16-bit checksum parameter in their variable part. This parameter shall be present in a CR TPDU and shall be present in all other TPDUs except when the non use of checksum option is selected.

Parameter Code : 1100 0011

Parameter Length:

Parameter Value : Result of checksum algorithm. This

algorithm is specified in 6.17.

13.2.4 Data Field

This field contains transparent user data. Restrictions on its size are noted for each TPDU.

13.3 <u>Connection Request (CR) TPDU</u>

The length of the CR TPDU shall not exceed 128 octets.

13.3.1 Structure

The structure of the CR TPDU shall be as follows:

1	2		3	4		5	6	7	8 p	p+1end
LI	CR CDT	0000	DST -		0000	SRC	REF	CLASS OPTION	VARIAB. PART	USER DATA

13.3.2 <u>LI</u>

See 13.2.1

13.3.3 Fixed Part (Octets 2 to 7)

The structure of this part shall contain:

a) CR : Connection Request Code : 1110. Bits 8-5

of octet 2;

b) CDT : Initial Credit Allocation (set to 0000 in

Class 0 and 1 when specified as preferred

class). Bits 4-1 of octet 2;

c) DST-REF : Set to zero ;

d) SRC-REF : Reference selected by the transport entity

initiating the CR TPDU to identify the

requested transport connection;

e) CLASS and

OPTION : Bits 8-5 of octet 7 defines the preferred

transport protocol class to be operated over the requested transport connection. This field shall take one of the following

values:

0000 Class 0

0001 Class 1

0010 Class 2

0011 Class 3

0100 Class 4

The CR TPDU contains the first choice of class in the fixed part. Second and subsequent choices are listed in the variable part if required.

Bits 4-1 of octet 7 define options to be used on the requested transport connection as follows:

BIT	OPTION
4 3 2	0 always 0 always =0 use of normal formats in all Classes =1 use of extended formats in Classes 2,3,4
1	=0 use of explicit flow control in Class 2 =1 no use of explicit flow control in Class 2

Bits related to options particular to a class are not meaningful if that class is not proposed and may take any value.

NOTE 114

The connection establishment procedure (see 6.5) does not permit a given CR TPDU to request use of transport expedited data transfer service (additional option parameter) and no use of explicit flow control in Class 2 (bit 1 = 1).

NOTE 115

Bits 4 to 1 are always zero in Class 0 and have no meaning.

13.3.4 Variable Part (Octets 8 to p)

The following parameters are permitted in the variable part:

a) Transport Service Access Point Identifier (TSAP-ID)

Parameter code : 1100 0001 for the identifier of

the Calling TSAP.

1100 0010 for the identifier of

the Called TSAP.

Parameter length: not defined in this Standard.

Parameter value : identifier of the calling or cal-

led TSAP respectively.

If a TSAP-ID is given in the request it may be returned in the confirmation.

b) TPDU size

This parameter defines the proposed maximum TPDU size (in octets including the header) to be used over the requested transport connection. The coding of this parameter is:

Parameter code : 1100 0000 Parameter length : 1 octet

Parameter value :

0000 1101 8192 octets (not allowed in Class 0)

0000 1100 4096 octets (not allowed in Class 0)

0000 1011 2048 octets

0000 1010 1024 octets

0000 1001 512 octets

0000 1000 256 octets

0000 0111 128 octets

Default value is 0000 0111 (128 octets)

c) $\underbrace{\text{Version Number}}_{\text{Class}}$ (not used if Class 0 is the preferred Class)

Parameter code : 1100 0100

Parameter length : 1 octet Parameter value field : 0000 0001

Default value is 0000 0001 (not used in Class 0)

d) <u>Security Parameters</u> (not used if Class 0 is the preferred class)

This parameter is user defined.

Parameter code : 1100 0101

Parameter length : user defined

Parameter value : user defined

e) Checksum (used only if class 4 is the preferred class) (see 13.2.3.1)

This parameter shall always be present in a CR TPDU requesting Class 4, even if the checksum selection parameter is used to request non-use of the checksum facility.

f) Additional Option Selection (not used if Class 0 is the preferred class).

This parameter defines the selection to be made as to whether or not additional options are to be used.

Parameter code : 1100 0110

Parameter length: 1
Parameter value:

BIT	. O.P.T.I.O.N.
4	1 = Use of network expedited in Class 1 0 = Non use of network expedited in Class 1
3	1 = Use of receipt confirmation in Class 1 0 = Use of explicit AK variant in Class 1
2	0 = 16-bit checksum defined in 6.17 is to be used in Class 4
	<pre>1 = 16-bit checksum defined in 6.17 is not to be used in Class 4</pre>
1	<pre>1 = Use of transport expedited data transfer service</pre>
	0 = No use of transport expedited data transfer service

Bits 8 to 5 shall be set to zero when sending the TPDU and ignored upon receipt.

Bits related to options particular to a class are not meaningful if that class is not proposed and may take any value.

g) Alternative protocol class(es) (not used if Class 0 is the preferred class)

Parameter code : 1100 0111

Parameter length: n.

Parameter value encoded as a sequence of single octets. Each octet is encoded as for octet 7 but with bits 4-1 set to zero (i.e. no alternative option selections permitted).

h) $\frac{\text{Acknowledge Time}}{\text{red class}}$ (used only if Class 4 is the prefer-

This parameter conveys the maximum acknowledge time A_L to the remote transport entity. It is an indication only, and is not subject to negotiation (see 12.2.1.1.3)

Parameter code : 1000 0101

Parameter length: 2

Parameter value : n, a binary number where n is

the maximum acknowledge time, expressed in milliseconds.

j) $\frac{\text{Throughput}}{\text{class}}$ (not used if Class 0 is the preferred

Parameter code : 1000 1001 Parameter length : 12 or 24

Parameter value

1st 12 octets : Maximum throughput, as follows :

1st 3 octets : Target value, calling-called user

direction

2nd 3 octets : Minimum acceptable, calling-called

user direction

3rd 3 octets : Target value, called-calling user

direction

4th 3 octets : Minimum acceptable, called-calling

user direction

2nd 12 octets (optional) : average throughput, as

follows:

5th 3 octets : Target value, calling-called user

direction

6th 3 octets : Minimum acceptable, calling-called

user direction

7th 3 octets : Target value, called-calling user

direction

8th 3 octets : Minimum acceptable, called-calling

user direction

Where the average throughput is emitted, it is considered to have the same value as the maximum throughput.

Values are expressed in octets per second.

Residual Error Rate (not used if Class 0 is the k) preferred class)

Parameter code 1000 0110

Parameter length

Parameter value 1st octet

Target value, power of 10 2nd octet Min. acceptable, power of 10 3rd octet TSDU size of interest, expres-

sed as a power of 2

Priority (not used if Class 0 is the preferred class) m)

Parameter code 1000 0111

Parameter length

Parameter value Integer (0 is the highest prio-

rity)

n) Transit Delay (not used if Class 0 is the preferred class)

Parameter code : 1000 1000

Parameter length Parameter value

1st 2 octets Target value, calling-called user

direction

2nd 2 octets Max. acceptable, calling-called

user direction

3rd 2 octets Target value, called-calling user

direction

4th 2 octets Max. acceptable, called-calling

user direction

Values are expressed in milliseconds, and are based upon a TPDU size of 128 octets.

Reassignment time (not used Class 0, 2 or Class p) 4 is the preferred class)

This parameter conveys the Time to Try Reassignment (TTR) which will be used when following the procedure for Reassignment after failure (see 6.12).

Parameter code 1000 1011

Parameter length:

Parameter value : n, a binary number

where n is the TTR value expres-

sed in seconds.

13.3.5 <u>User Data</u> (Octets p+1 to the end)

No user data are permitted in Class 0, and are optional in the other classes. Where permitted, it may not exceed 32 octets.

13.4 Connection Confirm (CC) TPDU

13.4.1 Structure

The structure of the CC TPDU shall be as follows:

1	2	3	4	5	6	7	8 р	p+1 end
LI	CC CDT	DST-	-REF	SRC-	REF	CLASS OPTION	VARIABLE PART	USER DATA

13.4.2 LI

See 13.2.1

13.4.3 Fixed Part (Octets 2 to 7)

The fixed part shall contain:

- a) CC : Connection Confirm Code : 1101. Bits 8-5 of octet 2;
- b) CDT : Initial Credit Allocation (set to 0000 in Classes 0 and 1). Bits 4-1 of octet 2;
- c) DST-REF : Reference identifying the requested transport connection at the remote transport entity;
- d) SRC-REF: Reference selected by the transport entity initiating the CC TPDU to identify the confirmed transport connection;
- e) CLASS and OPTION: Defines the selected transport-protocol class and option to be operated over the accepted transport connection according to the negotiation rules specified in 6.5;

13.4.4 Variable Part (Octet 8 to p)

The parameters are defined in 13.3.4 and are subject to the constraints stated in 6.5 (connection establishment). Parameters ruled out by selection of an alternative class and option shall not be present.

13.4.5 User Data (Octets p+1 to the end)

No user data are permitted in Class 0, and are optional in the other classes. Where permitted, it may not exceed 32 octets. The user data are subject to the constraints of the negotiation rules (see 6.5)

13.5 Disconnect Request (DR) TPDU

13.5.1 Structure

The structure of the DR TPDU shall be as follows:

1	2	3	4	5	6	7	8	р	p+1end
LI	DR	DST-REF.	1	SRC-	REF.	REASON	V 1 1	RIABLE	USER
	1000 0000							PART	DATA

13.5.2 LI

See section 13.2.1

13.5.3 Fixed Part (Octets 2 to 7)

The fixed part shall contain:

- a) DR : Disconnect Request Code : 1000 0000
- b) DST-REF : Reference identifying the transport connection at the remote transport entity;
- c) SRC-REF : Reference identifying the transport connection at the transport entity initiating the TPDU.

 Value zero when reference is unassigned;
- d) REASON : Defines the reason for disconnecting the transport connection. This field shall take one of the following values :

The following values may be used for Classes 1 to 4:

- 1) 128 + 0 Normal disconnect initiated by session entity
- 2) 128 + 1 Remote transport entity congestion at connect request time
- 3)*128 + 2 Connection negotiation failed (i.e. proposed class(es) not supported)
- 4) 128 + 3 Duplicate source reference detected for the same pair of NSAPS.

- 5) 128 + 4 Mismatched references
- 6) 128 + 5 Protocol error
- 7) 128 + 6 Not used
- 8) 128 + 7 Reference overflow
- 9) 128 + 8 Connection request refused on this Network Connection
- 10) 128 + 9 Not Used
- 11) 128 +10 Header or parameter length invalid

The following values can be used for all classes:

- 12) 0 Reason not specified
- 13) 1 Congestion at TSAP
- 14) *2 Session entity not attached to TSAP
- 15) *3 Address unknown

NOTE 116

Reasons marked with an asterisk (*) may be reported to the TS-user as persistent, other reasons as transient.

13.5.4 <u>Variable Part</u> (Octets 8 to p)

The variable part may contain

a) A parameter allowing additional information related to the clearing of the connection.

Parameter code :

: 1110 0000

Parameter length

: Any value provided that the length of the DR TPDU does not exceed the maximum agreed TPDU size or 128 when the DR TPDU is used during the

connection refusal procedure

Parameter value

: Additional information. The content of this field is user defined.

- b) Checksum (see 13.2.3.1)
- 13.5.5 User Data (Octets p+1 to the end)

This field shall not exceed 64 octets and is used to carry TS-user data. The successful transfer of this data is not guaranteed by the transport protocol. When a DR TPDU is used in Class 0 it shall not contain this field.

13.6 Disconnect Confirm (DC) TPDU

This TPDU shall not be used in Class 0.

13.6.1 Structure

The structure of DC TPDU shall be as follows:

1	2	3	4	5	6	7 p)
LI	DC	DST	REF	SRC	REF	VARIABLE PART	
	1100 0000						

13.6.2 LI

See 13.2.1

13.6.3 Fixed Part (Octets 2 to 6)

The fixed part shall contain:

- a) DC : Disconnect Confirm Code : 1100 0000 ;
 b) DST-REF : See 13.4.3 ;
- c) SRC-REF : See 13.4.3.

13.6.4 Variable Part

The variable part shall contain the checksum parameter if the condition in 13.2.3.1 applies.

13.7 Data (DT) TPDU

13.7.1 Structure

Depending on the class and the option the DT TPDU shall have one of the following structures:

a) Normal format for Classes 0 and 1

1	2	3	4	5	end	
LI	DT	TPDU-NR	User	Data		-
	1111 0000	and EOT				-

b) Normal format for Classes 2, 3 and 4

1	2		3	4	5	6	p	p+1		end
LI	DT		DST-	REF	TPDU-NR	VARIABL	E PART	USE	R DA'	TA
	1111	0000			and EOT					

c) Extended Format for use in Classes 2, 3 and 4 when selected during connection etablishment.

•	1	2	3 4	5, 6, 7, 8	9 p	p+1 end
	LI	DT	DST-REF.	TPDU-NR	VARIABLE	USER DATA
		1111 0000		and EOT	PART	

13.7.2 LI

See 13.2.1

13.7.3 Fixed Part

The fixed part shall contain:

a) DT : Data Transfer Code : 1111 0000 ;

b) DST-REF : See 13.4.3;

c) EOT : When set to ONE, indicates that the current DT TPDU is the last data unit of a complete DT TPDU sequence (End of TSDU). EOT is bit 8 of octet 3 in

class 0 and 1 and bit 8 of octet 3 i

for classes 2, 3 and 4;

d) TPDU-NR : TPDU Send Sequence Number (zero in

Class 0). May take any value in Class 2 without explicit flow control. TPDU-NR is bits 7-1 of octet 3 for classes 0 and 1, bits 7-1 of octet 5 for normal formats in classes 2, 3 and 4 and bits 7-1 of octet 5 together with

octets 6, 7 and 8 for extended format.

NOTE 117

Depending on the class, the fixed part of the DT TPDU uses the following octets :

Classes 0 and 1 : Octets 2 to 3;
Classes 2, 3, 4 normal format : Octets 2 to 5;
Classes 2, 3, 4 extended format : Octets 2 to 8.

13.7.4 Variable Part

The variable part shall contain the checksum parameter if the condition in 13.2.3.1 applies.

13.7.5 <u>User Data Field</u>

This field contains data of the TSDU being transmitted.

 ${\rm NOTE}$ - The length of this field is limited to the negotiated TPDU size for this transport connection minus 3 octets in Classes 0 and 1, and minus 5 octets (normal header format) or 8 octets (extended header format) in the other classes.

The variable part, if present, may further reduce the size of the user data field.

Expedited Data (ED) TPDU 13.8

The ED TPDU shall not be used in Class 0 or in Class 2 when the no explicit flow control option is selected or when the expedited data transfer service has not been selected for the connection.

13.8.1 Structure

Depending on the format negotiated at connection establishment the ED TPDU shall have one of the following structures:

a) Normal Format (Classes 1, 2, 3, 4)

1	2	3 4	5	6 p	p+1 end
LI	ED 0001 0000	DST-REF	EDTPDU-NR and EOT	VARIABLE PART	USER DATA

Extended Format (for use in Classes 2, 3, 4 when selected during connection establishment).

1	2	3	4	5,6,7,8	9	р	p+1	. end
LI	ED 0001 0000	DST-	REF.	EDTPDU-NR and EOT	VARIAB	LE PART	USER	DATA

13.8.2 LI

See 13.2.1

13.8.3 Fixed Part

The fixed part shall contain:

Expedited Data code: 0001 0000; ED a)

See 13.4.3; DST-REF b)

Expedited TPDU identification num-ED-TPDU-NR c) ber. ED-TPDU-NR is used in Classes 1, 3 and 4 and may take any value in Class 2. Bits 7-1 of octet 5 for normal formats and bits 7-1 of octet 5 together with octets 6, 7 and 8

for extended formats;

End of TSDU always set to 1 (bit 8 d) EOT of octet 5).

NOTE 118

Depending on the format the fixed part shall be either octets 2 to 5 or 2 to 8.

13.8.4 Variable Part

The variable part shall contain the checksum parameter if the condition defined in 13.2.3.1 applies.

13.8.5 User Data Field

This field contains an expedited TSDU (1 to 16 octets).

13.9 Data Acknowledgement (AK) TPDU

This TPDU shall not be used for Class 0 and Class 2 when the "no explicit flow control" option is selected, and for Class 1 when the Network receipt confirmation option is selected.

13.9.1 Structure

Depending on the class and option agreed the AK TPDU shall have one of the following structures:

a) Normal Format (Classes 1, 2, 3, 4)

_ 1	2	3 4	5	6	р
LI	AK CDT 0110	DST-REF.	YR-TU-NR	VARIABLE	PART

b) Extended Format (for use in Classes 2, 3, 4 when selected during connection establishment).

1	2	3 4	5,6,7,8	9,10	11 p
LI	AK	DST-REF.	YR-TU-NR	CDT	VARIABLE
	01100000				PART

13.9.2 LI

See 13.2.1

13.9.3 Fixed Part

The fixed part shall contain (in octet 2 to 5 when normal format is used, 2 to 10 otherwise) the following parameters:

- a) AK : Acknowledgement code : 0110 ;
- b) CDT : Credit Value (set to 1111 in class 1).
 Bits 4-1 of octet 2 for normal formats
 and octets 9 and 10 for extended
 formats;

c) DST-REF. : See 13.4.3;

d) YR-TU-NR : Sequence number indicating the

next expected DT TPDU number.
For Normal formats, bits 7-1
of octet 5; bit 8 of octet 5 is
not significant and shall take
the value 0. For extended formats,
bits 7-1 of octet 5 together with
octets 6, 7 and 8; bit 8 of octet 5 is not significant and shall

take the value 0.

13.9.4 Variable Part

The variable part contains the following parameters:

a) Checksum if the condition in 13.2.3.1 applies;

b) Subsequence number when optionally used under the conditions defined in class 4. This parameter is used to ensure that AK TPDUs are processed in the correct sequence. If it is absent, this is equivalent to transmitting the parameter with a value of zero.

Parameter code : 1000 1010

Parameter length : 2

Parameter value : 16-bit subsequence number;

c) Flow Control Confirmation when optionally used under the conditions defined in Class 4. This parameter contains a copy of the information received in an AK TPDU, to allow the transmitter of the AK TPDU to be certain of the state of the receiving transport entity (See 12.2.3.10).

Parameter code : 1000 1100

Parameter length : 8

Parameter value : defined as follows :

- 1. Lower Window Edge (32 bits)
 Bit 8 of octet 1 of the parameter value field is set to zero, the remainder contains the YR-TU-NR value of the received AK TPDU. When normal format has been selected, only the least significant seven bits (bits 1 to 7 of octet 4 of the parameter value field) of this field are significant.
- 2. Your Sub-Sequence (16 bits) Contains the value of the sub-sequence parameter of the received AK TPDU, or zero if this parameter was not present.

3. Your Credit (16 bits)
Contains the value of the CDT field of the received AK TPDU. When normal format has been selected, only the least significant four bits (bits 1 to 4 of octet 2 of the Your Credit field) of this field are significant.

13.10 Expedited Data Acknowledgement (EA) TPDU

This TPDU shall not be used for Class 0 and Class 2 when the no explicit flow control option is selected.

13.10.1 Structure

Depending on the option (normal or extended format) the TPDU structure shall be:

a) Normal Format (Classes 1, 2, 3, 4)

. 1	2	3 4	5	6	р
LI	EA 0010 0000	DST-REF	YR-TU-NR	VARIABLE	PART

Extended Format (for use in classes 2, 3, 4 if selected during connection establishment).

1	2	3 4	5, 6,7,8	9	p
LI	EA	DST-REF	YR-TU-NR	VARIABLE	PART
	0010 0000				

13.10.2 <u>LI</u>

See 13.2.1

13.10.3 Fixed Part

The fixed part shall contain (in octets 2 to 5 when normal format is used, in octets 2 to 8 otherwise):

a) EA : Expedited Acknowledgement code : 0010 0000 ;

b) DST-REF : See 13.4.3;

c) YR-EDTU-NR: Identification of the ED TPDU being acknowledged. May take any value in Class 2;

For normal formats bits 7-1 of octet 5, bit 8 of octet 5 is not significant and shall take the value 0.

For extended formats, bits 7-1 of octet 5 together with octets 6, 7 and 8; bit 8 of octet 5 is not significant and shall take the value 0.

13.10.4 Variable Part

The variable part may contain the checksum parameter (see 13.2.3.1).

13.11 Reject (RJ) TPDU

The RJ TPDU shall not be used in Classes 0, 2 and 4.

13.11.1 Structure

The RJ TPDU shall have one of the following formats:

a) Normal Format (Classes 1 and 3)

1	2		3	4	5
LI	RJ 010	CDT	DST-	REF	YR-TU-NR

b) Extended Format (for use in Class 3 if selected during connection establishment).

1	2	3	4	5,6,7,8	9,10
LI	RJ	DST-	REF	YR-TU-NR	CDT
	0101 0000				

13.11.2 LI

See 13.2.1

13.11.3 Fixed Part

The fixed part shall contain (in octets 2 to 5 when normal format is used, in octets 2 to 10 otherwise):

- a) RJ : Reject Code : 0101. Bits 8-5 of octet 2;
- b) CDT : Credit Value (set to 1111 in Class 1). Bits 4-1 of octet 2 for normal formats and octets 9 and 10 for extended formats;
- c) DST-REF : See 13.4.3;

d) YR-TU-NR :

Sequence number indicating the next expected TPDU from which retransmission should occur.

For normal formats, bits 7-1 of octet 5; bit 8 of octet 5 is not significant and shall take the value 0. For extended formats, bits 7-1 of octet 5 together with octets 6, 7 and 8; bit 8 of octet 5 is not significant and shall take the value 0.

13.11.4 Variable Part

There is no variable part for this TPDU type.

13.12 TPDU Error (ER) TPDU

13.12.1 Structure

1	2	3 4	5	6 p
LI	ER 0111 0000	DST-REF.	REJECT CAUSE	VARIABLE PART

13.12.2 LI

See 13.2.1

13.12.3 Fixed Part

The fixed part shall contain:

a) ER

: TPDU Error Code : 0111 0000 ;

b) DST-REF

: See 13.4.3 ;

REJECT CAUSE : c)

0000 0000 Reason not specified 0000 0001 Invalid parameter code

0000 0010 Invalid TPDU type

0000 0011 Invalid parameter value.

13.12.4 Variable Part

The variable part may contain the following parameters :

a) Invalid TPDU

Parameter code : 1100 0001

Parameter length

Number of octets of the value

field

Parameter value : Contains the bit pattern of

the rejected TPDU header up to and including the octet which caused the rejection. This parameter is mandatory in Class 0.

b) Checksum

This parameter shall be present if the condition in 13.2.3.1 applies.

14. CONFORMANCE

- 14.1 A system claiming to implement the procedures specified in this Standard shall comply with the requirements in 14.2 14.5.
- 14.2 The system shall implement Class 0 or Class 2 or both.
- 14.3 If the system implements Class 3 or Class 4, it shall also implement Class 2.
- 14.4 If the system implements Class 1, it shall also implement Class 0.
- 14.5 For each class which the system claims to implement, the system shall be capable of:
 - a) Initiating CR TPDUs or responding to CR TPDUs with CC TPDUs or both;
 - responding to any other TPDU and operating network service in accordance with the procedures for the class;
 - operating all the procedures for the class listed as mandatory in Table 9;
 - d) operating those procedures for the class listed as optional in Table 9 for which conformance is claimed;
 - e) handling all TPDUs of lengths up to the lesser value of :
 - 1) the maximum length for the class;
 - 2) the maximum for which conformance is claimed.

NOTE 119

This requirement indicates that TPDU sizes of 128 octets are always implemented.

- 14.6 Claims of conformance shall state:
 - a) which class or classes of protocol are implemented;

- b) whether the system is capable of initiating or responding to CR TPDUs or both;
- c) which of the procedures listed as optional in Table 9 are implemented;
- d) the maximum size of TPDU implemented; the value shall be chosen from the following list and all values in the list which are less than this maximum shall be implemented:

128, 256, 512, 1024, 2048, 4096 or 8192 octets.

TABLE 9 - PROVISION OF OPTIONS

CLASS 4	mandatory optional	mandatory	not applicable not applicable	mandatory optional	not applicable	not applicable	not applicable	not applicable
CLASS 3	not applicable mandatory	mandatory	not applicable not applicable	mandatory optional	not applicable	not applicable	not applicable	not applicable
CLASS 2	not applicable mandatory	mandatory mandatory	mandatory optional	mandatory optional	not applicable	not applicable	not applicable	not applicable
CLASS 1	not applicable mandatory	mandatory	not applicable not applicable	mandatory not applicable	optional	mandatory	optional	mandatory
CLASS 0	not applicable mandatory	not applicable mandatory	not applicable not applicable	mandatory not applicable	not applicable	not applicable	not applicable	not applicable
PROCEDURE	TPDU with checksum TPDU without checksum	Expedited data transfer No expedited data transfer	Flow control in Class 2 No flow control in Class 2	Normal formats Extended formats	Use of receipt confirmation in Class 1	No use of receipt confirmation in Class 1	Use of network expedited in Class 1	No use of network expedited in Class 1

APPENDIX A

STATE TABLES

This Appendix is part of this Standard.

This Appendix provides a precise description of the protocol. In the event of a discrepancy between the description in these tables and that contained in the text, the text takes precedence.

The state tablesalso define the mapping between service and protocol events that TS-users can expect.

This Appendix describes the transport protocol in terms of state tables. The state tables show the state of a transport connection, the events that occur in the protocol, the actions taken and the resultant state.

The state tables only describe the operation of a single transport connection. They do not necessarily describe all possible combinations of sequences of events at transport and network service boundary, nor they describe the exact mapping between TPDUs and NSDUs.

A1. CONVENTIONS

- A1.1 Incoming events are represented in the state tables by their acronyms, as defined in Table 10;
- A1.2 States are represented in the tables by their acronyms, as defined in Table 11;
- A1.3 The intersection of each state and event which is invalid is left blank. The action to be taken in this case is one of the following:
 - a) For an event related to the transport service (i.e. coming from the TS-user), take no action;
 - b) for an event related to a received TPDU, follow the procedure for treatment of protocol errors (see 6.22) if the state of the supporting Network Connection makes it possible;
 - c) for an event falling into neither of the above categories (including those which are impossible by the definition of the behaviour of the transport entity or NS-provider) take no action.
- A1.4 At each intersection of state and event which is valid the state tables specify an action which may include one of the following:
 - a) One action constituted of a list of any number of out-

going events (none, one or more) given by their abbreviated name defined in Table 12 followed by the abbreviated name of the resultant state (see Table 10);

b) conditional actions separated by a semi-colon (;). Each conditional action contains a predicate followed by a colon (:) and by an action as defined in A1.4.1. The predicates are boolean expressions given by their abbreviated name and defined in the clauses related to the state tables of each class. Only the action corresponding to the predicate which is true is to be taken.

A1.5 The state tables also include:

- a) Informal comments giving explanatory materials;
- b) references to notes using the following notation : (note number);
- c) references to other actions defined in separate tables using the following notation : action number.

A2. GENERAL

Table 10 specifies the names and abbreviated names of the incoming events, classified as TS-user events, NS-provider events or TPDU events.

Table 11 specifies the names and acronyms of the states.

Table 12 specifies the names and acronyms names of the outgoing events classified as TS-provider events, NS-user events or TPDU events.

TABLE 10 - Incoming events

ACRONY	MS	CATEGORY	NAME
TCONre	7	TS-user	T-CONNECT request primitive
TCONre	sp	TS-user	T-CONNECT response primitive
TDTreq		TS-user	T-DATA request primitive
TEXreq		TS-user	T-EXPEDITED DATA request primitive
TDISre	9	TS-user	T-DISCONNECT request primitive
NDISin	d	NS-provider	N-DISCONNECT indication primitive
NCONco	nf	NS-provider	N-CONNECT confirm primitive
NRSTin	d	NS-provider	N-RESET indication primitive
CR		TPDU	Connection Request TPDU
СС		TPDU	Connection Confirm TPDU
DR		TPDU	Disconnect Request TPDU
DC		TPDU	Disconnect Confirm TPDU
AK		TPDU-	Data Acknowledgement TPDU
EA		TPDU	Expedited Data Acknowledgement TPDU
DT		TPDU	Data TPDU
ED		TPDU	Expedited Data TPDU
ER		TPDU	TPDU Error TPDU
RJ		TPDU	Reject TPDU

TABLE 11 - States -

ACRONYMS	NAME
WFNC	Wait for Network Connection
WFCC	Wait for the CC TPDU
WBCL	Wait before releasing (wait for CC TPDU before sending the DR TPDU)
OPEN	Transport connection is open
CLOSING	Release in progress
WFTRESP	Wait for T-CONNECT response
CLOSED	Transport connection is closed
WFNC-R	Wait for Network Connection and reassignment in progress
WFCC-R	Wait for CC TPDU and reassignment in progress
WBCL-R	Wait before releasing and reassignment in progress
OPEN-R	Open and reassignment in progress
OPEN-WR	Open and wait for reassignment
CLOSING-R	Release in progress and reassignment in progress
CLOSING-WR	Release in progress and wait for reassignment
WFTRESP-WR	Wait for T-CONNECT response and wait for reassignment
WBCL-WR	Wait before releasing and wait for reassignment
WBOC	Wait before open complete (CC is unacknowled-ged)
WBOC-WR	Wait before open complete and wait for reassign-
CLOSING BOC	Wait before open complete and release in progress
CLOSING BOC-WR	Idem and wait for reassignment
AKWAIT	Waiting for acknowledgement of CC TPDU
REFWAIT	Waiting for frozen reference time

TABLE 12 - Outgoing events

ACRONYMS	CATEGORY	NAME
TCONind	TS-provider	T-CONNECT indication primitive
TCONconf	TS-provider	T-CONNECT confirm primitive
TDTind	TS-provider	T-DATA indication primitive
TEXind	TS-provider	T-EXPEDITED DATA indication primitive
TDISind	TS-provider	T-DISCONNECT indication primitive
NDISreq	NS-user	N-DISCONNECT request primitive
NRSTresp	NS-user	N-RESET response primitive
NCONreq	NS-user	N-CONNECT request primitive
CR	TPDU	Connection Request TPDU
CC	TPDU	Connection Confirm TPDU
DR	TPDU	Disconnect Request TPDU
DC	TPDU	Disconnect Confirm TPDU
AK	TPDU	Data Acknowledgement TPDU
EA	TPDU	Expedited Data Acknowledgement TPDU
DT	TPDU	Data TPDU
ED	TPDU	Expedited Data TPDU
ER	TPDU	TPDU Error TPDU
RJ	TPDU	Reject TPDU

A3. STATE TABLES FOR CLASSES 0 AND 2

This clause provides a more precise description of a transport entity for a transport connection of Class 0 or Class 2.

The description uses predicates defined in Table 13, and specific actions defined in Table 14.

The description does not include a complete specification of the data transfer procedures but makes reference to the specification of the classes (see clause 8 and 10). Table 15 gives the state automata for Classes 0 and 2.

TABLE 13 - Predicates for Classes 0 and 2

NAME	DESCRIPTION
Р0	T-CONNECT request unacceptable
P1	Unacceptable CR TPDU
P 2	No Network Connection available
Р3	Network Connection available and open
P4	Network Connection available and open in progress
Р5	Class is Class 0 (Class selected in CC)
Р6	Unacceptable CC
Р7	Class is Class 2
Р8	Acceptable CC
Р9	Class 4 CR

TABLE 14 - Specific actions for Classes 0 and 2

NAME	DESCRIPTION
[1]	If the Network Connection is not used by an other transport connection assigned to it, it may be disconnected
[2]	See 6.22 (receipt of an ER TPDU)
[3]	See data transfer procedures of the class
[4]	See expedited data transfer procedure of the class
[5]	An N-RESET response has to be issued once for the Network Connection if the Network Connection has not been released. In class 0, an N-DISCONNECT request has to be issued.

TABLE 15 - State table for Classes 0 and 2 (first part)

STATE	WFNC	WFCC	WBCL (Class 2 only)	OPEN	CLOSING (Class 2 only)	WFTRESP	CLOSED
TCONreq							PO:TDISind CLOSED; P2:NCONreq WFNC; P3:CR WFCC; P4:WFNC
TCONresp						CC	
1 GONZ GOD						OPEN	
TDTreq				[3]			
				OPEN			
TEXreq				P7:[4]			
				OPEN			
TDISreq	[1] CLOSED	Not P7: NDISreq CLOSED; P7:WBCL		P5:NDISreq CLOSED; P7:DR CLOSING		DR CLOSED	
NCONCOR	CR						
NCONconf	WFCC						
NRSTind		TDISind [1] [5] CLOSED	[1] [5] CLOSED	TDISind [1][5] CLOSED	[1] [5] CLOSED	TDISind [1] [5] CLOSED	
NDISind	TDISind	TDISind	CLOSED	TDISind	CLOSED	TDISind	
	CLOSED	CLOSED	3233	CLOSED	CLUSED	CLOSED	

TABLE 15 (continued) - State table for Classes 0 and 2 $\overline{\text{(Second and last part)}}$

		1		1.		1	,
STATE	WFNC	WFCC	WBCL (Class 2 only)	OPEN	CLOSING (Class 2 only)	WFTRESP	CLOSED
CR				P9: OPEN	P9:CLOSING	P9: WFTRESP.	P1:DR(1) CLOSED; NOT P1: TCONind WFTRESP
DR		TDISind [1] CLOSED	[1]	P5: (2); P7: DC TDISind CLOSED	[1] CLOSED		CLOSED (4); DC CLOSED
		DOES	NOT EXIST	IN CLASS O	(2)		
DC ·					P7:[1] CLOSED		CLOSED
сс		P6 and P5: TDISind	P5: (3) NDISreq CLOSED; P7: DR CLOSING				DR CLOSED
		DOES	NOT EXIST	IN CLASS 0 (2	2)		
AK	MINISTER CRUS CRUS CENS CRUS		na mana mina dilipa mana muni	[3] OPEN	CLOSING	niin kinina erika eliika eluupinii	CLOSED .
		DOES	NOT EXIST	IN CLASS O (2	!)		
EA	error entre entre entre entre			[4] OPEN	CLOSING	to right order come emission	- CLOSED
	DOES NOT EXIST IN CLASS 0 (2)						
ED				[4] OPEN	CLOSING		CLOSED
DT				[3] OPEN	CLOSING		CLOSED
ER		TDISind [1] CLOSED	l CLOSED	[2]	[2]		CLOSED

NOTE A1 : An ER TPDU shall be sent in certain cases - see 6.6.

NOTE A2: If received it shall be processed as a protocol error - see 6.22.

NOTE A3 : A CR with Class 2 has been sent and a CC Class 0 is received.

 ${\it NOTE~A4}$: If DC is not available (i.e. Class 0 only implemented) or SRC-REF is zero.

A4. STATE TABLES FOR CLASSES 1 AND 3

This clause provides a more precise description of a transport entity for a transport connection of Class 1 or Class 3.

The description uses the predicates defined in Table 16.

Specific actions are defined in Table 17 and specific additional notes are given in Table 18.

The description does not include a complete specification of the data transfer but makes reference to the specification of the classes (see clauses 9 and 11). Table 19 gives the state automata for Classes 1 and 3.

TABLE 16 - Predicates for Classes 1 and 3

NAME	DESCRIPTION
Р0	T-CONNECT request unacceptable
P1	No available Network Connection can be used for assignment or reassignment
P 2	A Network Connection can be used for assign- ment or reassignment; the Network Connection opening is in progress.
Р3	A Network Connection can be used for assign- ment or reassignment; the Network Connection is open.
P4	TTR timer has previously run out
P5	Local choice
P6	Initiator of the transport connection
P7	Unacceptable CR TPDU
P8	TWR is running
P9	Class 4 CR
P10	Class selected in CC is class 0 or 2.

TABLE 17 - Specific actions for Classes 1 and 3

NAME	DESCRIPTION
[1]	The Network Connection can be disconnected if not used by any transport connection assigned to it.
[2]	Retransmit expedited data which are unacknowled- ged or which have been stored when waiting for reassignment (if any). If a RJ TPDU has been received, restart also data TPDU transmission (if any). If an ED was received handle according to procedures for class if not a duplicate.
[3]	Network Connection can be disconnected if not used by any transport connection and was locally opened.
[4]	Start TWR timer if not already running. The sending credit is also set to zero in order do not send DT TPDUs until a RJ TPDU is received.
[5]	Stop TWR timer
[6]	Issue an N-RESET response if not already done.
[7]	See data transfer procedure for the class.
[8]	Start TTR timer if not already running. The sending credit is also set to zero in order do not send DT TPDUs until a RJ TPDU is received.
[9]	Stop TTR timer if running or remove information that TTR timer has run out (see notes A.5 and A.6).
[10]	Store information that TTR Timer has run out (see note A.5).
[11]	Store request
[12]	See state table appropriate to the class selected in the CC TPDU

NOTE A.5

This information is used by predicate P4.

NOTE A.6

This action is not performed if the transport entity is the responder or if neither reassignment nor resynchronization is in progress.

TABLE 18 - Specific notes for Classes 1 and 3

NAME	DESCRIPTION
(1)	Any TPDU except DR and CC having an unknown destination reference
(2)	CC TPDU having an unknown destination reference or a mismatched source reference.
(3)	CR TPDU which is not duplicated but rejected.
(4)	Or send any DT or ED TPDU waiting for transmission or use N-DATA - ACKNOWLEDGE Request if available and selected (Class 1 only).
(5)	Same as for (9) and issue a T-DISCONNECT indication
(6)	If the resultant state is CLOSED, the reference shall be frozen except in the cases described in 6.18.
(7)	An ER TPDU shall be sent in the cases defined in see 6.6
(8)	Receipt of a DC TPDU is a protocol error since DC cannot be used for reassignment. It is recommended to stop the TWR timer (5) and to consider the transport connection as released (CLOSED STATE).
(9)	Receipt of one of these TPDUs in this state is a protocol error. It is recommended to stop the TWR timer (5), send a DR TPDU and enter the closing state.
(10)	Or a DR with mismatched source reference has been received.

TABLE 19 - State table for Classes 1 and 3 first part (connection - responder side)

STATE	CLOSED	WFTRESP	WFTRESP	WBCL	WBOC	WBOC	CLOSING	CLOSING
EVENT	CLUSED	AF INCSF	-WR	-₩R	NB GC	–₩R	вос	BOC-WR
		DR	WBCL		DR	CLOSINGBOC		
TDISreq		CLOSED (6)	-₩R		CLOSINGBOC	−₩R		
TCONresp		P10:[12]; not P10:CC WBOC	WBOC-WR					
NRSTind		[4][6] WFTRESP -WR	[6] WFTRESP -WR	[6] WBCL -WR	[4][6] WBOC -WR	[6] WBOC -WR	[4][6] CLOSING BOC-WR	[6] CLOSING BOC-WR
NDISind		[4] WFTRESP -WR	WFTRESP -WR	WBCL -WR	[4] WBOC -WR	WBOC -WR	[4] CLOSING BOC-WR	CLOSING BOC-WR
CR	P7:DR(3,7) CLOSED(6); Not P7: TCONind WFTRESP;	P9: WFTRESP	[5] WFTRESP	[5] DR CLOSED (6)	P9: WBOC	[5] cc wBoc	P9: CLOSING BOC	DR [5] CLOSED (6)
DR	DC CLOSED				TDISind DC CLOSED (6)	DC [5] TDISind CLOSED	CLOSED	[5] DC CLOSED (6)
RJ or ED	CLOSED				0PEN [7]	[5] RJ [2] OPEN	CLOSING	[5] DR CLOSING
DC	CLOSED						CLOSED	(8)
First TPDU other than CR, DR, DC, ED or RJ	CLOSED				OPEN [7]		CLOSING	(9)
TWR			TDISind			TDISind CLOSED		CLOSED
Time-out			(6)	(6)		(6)		(6)
TO T					[7]	[11]		
TOTreq					WBOC	WBOC-WR		
TEV -					[7]	[11]		
TEX req					WBOC	WBOC-WR		

TABLE 19 - State table for Classes 1 and 3 (continued) second part (connection - initiator side)

STATE	CLOSED	WFNC	WFNC-R	WFCC	WFCC-R	WBCL	WBCL-R
TCONreq	PO: TDISind CLOSED; not PO and P1:NCONreq WFNC; not PO and P2:WFNC; not PO and P3:CR WFCC;						
NCONconf		CR WFCC	CR WFCC		CR WFCC		CR WBCL
NRSTind				P4:TDISind 6[1] CLOSED; NOT P4:CR [6][8]WFCC		P4: 6 (6) CLOSED[1]; NOT P4:CR [6][8] WBCL	
NDISind		P1: NCONreq WFNC-R[8] P2:[3] WFNC-R; P3:CR[8] WFCC	WFNC-R;	P4:TDISind CLOSED(6); (not P4) and P1:[8]NCON req WFCC-R; (not P4)&P2: [8]WFCC-R; (not P4)& P3 [8]CR WFCC		[1,9](6) CLOSED; (not(P4orP5)) and P1:	P5: CLOSED(6) [9]; (not P5)&P1: NCONreq WBCL-R; (not P5)&P2: WBCL-R (not P5) & P3: CR WBCL
TDISreq		[1] CLOSED (6)	[1] CLOSED (6) [9]	WBCL	P5:CLOSED (6) [1,9]; Not P5: WBCL-R		
DR	(10) DC CLOSED			TDISind [1] [9] CLOSED (6)		[1][9] CLOSED (6)	
cc	DR CLOSED			P10:[12]; not P10: TCONconf AK (4) OPEN [9]		P10: 12; not P10: DR [9] CLOSING	
(1)	CLOSED						
(2)	DR CLOSED						
TTR time-out			TDISind [l] CLOSED (6)	[10]	TDISIND [1] CLOSED (6)	[10]	CLOSED (6)

TABLE 19 - State table for Classes 1 and 3 (continued) third and last part (open and closing states)

STATE		OPEN-R	OPEN-WR	CLOSING	CLOSING -R	CLOSING -WR
EVENT	OPEN	UF €.14=17	OF EN-WR	CEOSTIAR	CLUSTIMS →U	CLUSTING -MK
NCONconf		RJ [2] OPEN			DR CLOSING	
TDISreq	P8:CLOSING; not P8: DR CLOSING	CLOSING -R	CLOSING —WR			
NRSTind	P6&P4:(6) 6 [3] TDISind CLOSED; P6¬P4: [6] [2][8]RJ OPEN;notP6: 4,6 OPEN			P6&P4:(6)[6] [3] CLOSED; P6¬P4: [6][8] CLOSING OR; not P6:[4,6] CLOSING;		
NDISind	P6 and P4: TDISind CLOSED (6); (P6¬ P4) and P1:[8] NCONreq OPEN-R; (P6& not P4)&P2: [8] OPEN-R; (P6& notP4) and P3:[8] [2] RJ OPEN Not P6: [4] OPEN-WR	P1:NCONreq		P6&(P5orP4) CLOSED (6); P6¬(P4or P5)&P1:[8] NCONreq CLOSING-R P6¬(P4or P5)&P2:[8] CLOSING-R P6¬(P4or P5)&P3:[8] DR CLOSING Not P6: [4] CLOSING-WR	CLOSED (6); (not P5 & P1): NCONreq CLOSING-R; (not P5)&P2: CLOSING-R; (not P5)&P3:	
RJ or ED	P8:[5][2] RJ OPEN; not P8: [7][9] OPEN		RJ [5,2] OPEN	P8: [5]DR CLOSING; not P8: 9 CLOSING		DR [5] CLOSING
Time-out TWR	TDISind (6) CLOSED	н	TDISind (6) CLOSED	CLOSED (6)		CLOSED (6)
DR	P8:TDISind DC(6) [5] CLOSED; not P8:TDISind DC(6)[9] CLOSED		TDISING DC [5] CLOSED (6)	P8:[5]OC (6) CLOSED; not P8: [3] [9](6) CLOSED	,	[5] CLOSED (6) DC
DC				P8:(8); not P8 :[3][9] CLOSED (6);		(8)
DT, AK or EA TPOU	OPEN		(5)	CLOSING		(9)
TTR time out	[10]	TDISind CLOSED[1](6		[10]	CLOSED [1](6)	
TDTreq	P8:[11] OPEN; not P8:[7] OPEN	[11] OPEN-R	[11] OPEN-WR			
TEXreq	P8:[11] OPEN; not P8:[7] OPEN	[11] OPEN-R	[11] OPEN-WR			

A5. STATE TABLES FOR CLASS 4

This clause provides a more precise description of a class 4 Transport Connection.

Tables 20, 21, 22 give the predicates, actions and notes for Class 4 respectively.

Table 23 is the state table for a Class 4 transport connection.

The following assumption and notations are used:

- a) The state of every Network Connection is known as being open or opening (i.e. a NCONreq has been issued and the NCONconf is awaited);
- b) for each transport connection the transport entity maintains the set of Network Connections to which the transport is assigned. A Network Connection in this set is either in open or opening state;
- When a NCONNECT confirmation, NRESET indication or NDISCON-NECT indication is received this event is associated with the transport connection if the Network Connection belongs to the set;
- d) when an NDISCONNECT is received, the Network Connection becomes unexisting and is therefore withdrawn from the set. When a NCONconf is received the state of the nc becomes "open";

NOTE A.7

This is not shown by an explicit action in the state table. Conversely adding a Network Connection to a set and setting its state to "Opening" is shown by an explicit action.

- e) when the state goes back to CLOSED or REFWAIT state, it is assumed that all timers are stopped (if running), the count is set to zero and the set becomes empty;
- f) when a PDU is received the Network Connection on which it has been received is assumed to be known.
- g) the variable 'current-nc' is used to designate either the Network Connection on which a TPDU has been received or the Network Connection which has been choosen for a new assignment (either an existing one or a new one which is created).
- h) we also assume the following variables:

 remote-ref : the reference of remote entity is initially

set to zero and initialized when processing the CC except if the CC is ignored;

SRC-REF : designates the corresponding field of

the received TPDU.

DST-REF : designates the corresponding field of

the received TPDU.

src-ref, dst-ref : designates the corresponding field of

the sent TPDU.

j) the data transfer phase is not completely described in the state table but refers to the main text.

k) a spontaneous event called 'new Network Connection assignment' has been introduced. It may occur at any time provided P₁ or P₂ are true (see predicate table) and the remote ref is not zero (i.e. a CR TPDU has been received or a CC TPDU has been received and processed).

m) when an N-RESET indication is received, an N-RESET response is issued.

TABLE 20 - Predicates for Class 4

NAME	DESCRIPTION
PO	T-CONNECT request is acceptable.
P1	An assignment can be done to a suitable Network Connection (either open or opening).
P2	It is possible to open a new Network Connection.
Р3	Local choice.
P4	A CR TPDU has never been sent.
P5	The transport entity is the initiator and the set of Network Connections is now empty (i.e. a new assignment shall be done) or a new assignment is decided as a local choice.
P6	Local choice not to perform a new assignment if the set of Network Connections is empty '(for closing state only).
P7	Count = maximum.
Р8	Acceptable CR TPDU.
P9	Acceptable class 4 CC TPDU.
P10	Unacceptable Class 4 CC TPDU.
P11	CC TPDU not specifying Class 4.

TABLE 21 - Specific actions for Class 4 (1 of 2)

NAME	DESCRIPTION
[0]	Set reference timer
[1]	Count = count + 1
[2]	Count = 0
[3]	Set retransmission timer
[4]	Stop retransmission timer if running
[5]	Set window timer
[6]	Stop window timer if running
[7]	Set inactivity timer
[8]	Stop inactivity timer
[9]	Set initial credit for sending according to the received CR/CC TPDU
[10]	Set initial credit for controlling reception according the sent CR/CC TPDU
[11]	Send the CR TPDU if there is a Network Connection in the open state in the set
[12]	Add the current Network Connection to the set, if not already included
[13]	The current Network Connection is now in opening state
[14]	Send the CC TPDU if a Network Connection in the open state is in the set
[15]	Send the DR TPDU if a Network Connection in the open state is in the set. This DR TPDU is sent with SRC-REF = local-ref and DST-REF = remote-ref (may be zero)
[16]	Send the DR TPDU if a Network Connection in the open state is in the set. The DR TPDU is sent with SRC-REF = 0 and DEST-REF = remote-ref
[17]	Send a TPDU according to data transfer procedure
[18]	See state table of the class specified in the CC TPDU (refer to data transfer)
[19]	See state table of the class (refer to release procedure): send a DR TPDU if the class is not 0, otherwise issue a N-DISCONNECT request.

TABLE 21 - Specific actions for Class 4 (2 of 2)

NAME	DESCRIPTION
[20]	Store request and exercise flow control to the user
[21]	Send a DR TPDU with SRC-REF field set to zero
[22]	Send a DC TPDU except if the SRC-REF field of the received DR TPDU is equal to zero

TABLE 22 - Specific notes for Class 4 (1 of 2)

NAME	DESCRIPTION
(1)	Not possible as no set of Network Connections is associated with this transport connection.
(2)	<pre>It is also possible to remain in the same state (T₁ is still running) until : - a CC TPDU is received which performs a new assignment,</pre>
	 a new assignment is tried (spontaneous event), T₁ runs out and the count is equal to the maximal value
(3)	No new assignment was possible: if the set is empty, the transport entity waits until a new assignment is received, or can be locally performed (spontaneous event)
(4)	It is also possible to perform a new assignment. (This may be done in triggering the event "new Network Connection assignment")
(5)	Not a duplicated CR TPDU
(6)	Since a new Network Connection is now assigned, it is recommended that the appropriate TPDU be sent on this Network Connection (if open) in order to make the remote entity aware of this assignment. It is also possible to allow the normal retransmission procedures to cause for the TPDU to be sent; however the first TPDU available for sending should be sent on the new Network Connection.

TABLE 22 - Specific notes for Class 4 (1 of 2) continued

NAME	DESCRIPTION
(7)	As a local choice it is also possible to apply the following: 0, TDISind, REFWAIT.
(8)	Association to this transport connection is done regardless of the SRC-REF field. If SRC-REF is not zero, a DC TPDU is sent back
(9)	At least an AK TPDU shall be sent if the transport entity is the initiator in order to ensure that the responder will complete its threeway handshake
(10)	If association has been made, and DST-REF is zero, then the DC TPDU contains a SRC-REF field set to zero
(11)	If the CLOSING state has been entered, coming from WFCC state, the remote-ref is zero. The SRC-REF field of the CC TPDU is ignored (i.e.: if the DR TPDU is retransmitted, it will be with DST-REF field set to zero)
(12)	If the CLOSING state has been entered, coming from WFCC state, the remote-ref (which is zero) shall be set with SRC-REF in order to comply with the release procedure of the negociated class
(13)	The DR TPDU may be either repeated immediately or when T ₁ will run out
(14)	If the set is empty, this event may be used as a criteria for triggering the event "new Network Connection assignment"
(15)	Previously stored T-DATA or T-EXPEDITED-DATA requests are ready for processing according to data transfer procedures
(16)	See data transfer procedures
(17)	When an N-RESET indication is received, an N-RESET response has to be issued once independent of the state automata.

TABLE 23 - Class 4 Connection/Disconnection (1 of 3)

CLOSING				P6: [Ø] REFWAIT; (not P6)& P5 & P1: [12,15] CLOSING (6); (not P6) & P5 & (not P1) & P2 : [13,12] NCON req CLOSING; (not P6) & P5 & (not P2): CLOSING (3); (not P6) & (not P2): CLOSING; CLOSING;	(11)
AKWAIT			[4,3,2,1,15] CLOSING ;	P5&Pl: 12,14 (6) AKWAIT ; P5 & (not P1) & P2: 13,12 NCONreq AKWAII ; P5 & (not P1) & (not P1) A (not P1) A (not P2): AKWAII (3); notP5:	(17)
WF TRE SP		[3,2,1, 10,14] AKWAII;	[16] CLOSED ;	WF TRE SP (4)	(17)
OPEN			[6,8,4,3,2, 1,15] CLOSING;	P54P1; 12, P54P1; 12, P54P1; 12, P54P1; P54(not P1) & P2; P54(not P1) & P2; P54(not P1) & P2; P54(not P1) & P54(not P1) & P54(not P1) & P54(not P1) & P54(not P1); P54(not P1); P54(not P1); P54(not P1); P53(not P1);	(17)
WBCL				P3:[β] REFWAII ; (not P3)&Pl [12,11] WBCL ; (not P3)&(not P1) & P2; [13,12] NCONreq WBCL; (not P3)&(not P1); & (not P3)&(not P1); [β] REFWAII ;	(11)
WFCC			P4:CLOSED; (not P4)& P3 WBCL; (not P4)& (not P3)[4,3,2, 1,15]CLOSING;	P1: 12,4 WFCC; (not P1)&P2: [13,12] NCONreq WFCC; (not P1) & (not P2) : [Ø] (2) TDISind REFWAII;	(17)
CLOSED	not PO:TDISind CLOSED; Po and P1: [12,1,3,10,11] WFCC; Po and not P1 and P2: [13,12,1,3, 10] NCONreq WFCC; Po and not P1 and not P2: TDISind CLOSED;			(1)	
REFWAIT				(1)	
STATE	TCONreq	TCONresp	TDISreq	ND I S1nd	NRSTind

TABLE 23 - Class 4 Connection /Disconnection (2 of 3)

1		T	T	10								
	5N1S0T0			[15]	(9) SNISOTO	P1:[12,15] (6) CLOSING; (not P1) & P2; [13,12] NCON req CLOSING;	P7:[Ø] REFWAIT ; not P7:[l,3,15] (14) CLOSING			[12]	CLOSING	(13)
	AKWAIT	[20]	AKWAII 3	JJ	AKWAIT (6)	P1: [12,14] (6)AKWAII ; (not P1)& P2: [13,12] NCONreq AKWAII ;	P7; [3,2,1, 15] TDISind (14) CLOSING; not P7; [1,3,14] (14) AKWAII;			[12,14]	AKWAIT	
	WFTRESP			goughten	WF INC. 30	PI: [12] WFTRESP; (not Pl) & P2 [13,12] NCONreq WFTRESP;				[12]	WFTREST	
	OPEN	(16)	OPEN 3	[11]	OPEN (6)	P1:[12,17] OPEN (6); (not P1) & P2: [13,12] NCONreq OPEN;	P7:[6,8,3,2, 1,15]IDISind CLOSING (14); not P7:(16)(14) OPEN ;	[6,4,3,2,1, 15] TDISING CLOSING (7);		[12,8,7]	OPEN	
	MBCL			CR	(9) TOBM		P7&P3:[Ø] REFWAIT ; P7 & (not P3): [3,2,1,15] CLOSING (14); not P7:[1,3,11] WBCL ;					
	WF CC			CR	WFCC (6)		P7&P3:[Ø] TDISind REFWAII ; P7 & (not P3) [3,2,1,15] TDISind CLOSING (14); not P7:[1,3,11] WFCC;					
	CLOSED			(1)						not P8: [21]	CLUSED (5); PB: [1,9,3,	12] TCONind WF TRESP(5);
	REFWAIT			(1)					CLOSED ;			
	STATE	TDTreq	TEXreq		NCONconf	NEW NETWORK CONNECTION ASSIGNMENT	Retrans-timer	Inactivity- timer	Reference- timer		CR	

TABLE 23 - Class 4 Connection/Disconnection (3 of 3)

CLOSING	P11: [19] (12); not P11: [12] CLOSING(11);	[0] REFWAIT	[O] REFWAIT	[O] REFWAIT	[12] CLOSING (13)	[12]
AKWAIT	10	[12,4,3,2, 1,15] TDISING	DC (10) [0] TDISind REFWAIT		73	[12,7] OPEN
WFIRESP			DC (10) TDISind CLOSED			,
OPEN	[12,17,8,7] (9) OPEN	[12,6,8,4, 3,2,1,15] TDISING	DC (10) [0] TDISind REFWAIT		[12,8,7] OPEN (16)	[12,8,7]
WBCL	Pll; [19] not Pll; [12,2,4 3,1,15] CLOSING;	[O] REFWAIT	(8) [0] REFWAIT			
WFCC	P9:[12,9,2, 4,5,7,17] ICONCONF (9) OPEN; P10:[12,4,3, 2,1,15] TDISING; P11: [18]	[0] TDISind REFWAIT	(8) TDISind [0] REFWAIT			
CLOSED	DR CLOSED	CLOSED	[22] CLOSED	CLOSED	CLOSED	CLOSED
REFWAIT	DRREFWAIT	REFWAIT	[22] REFWAIT	REFWAIT	REFWAIT	REFWAIT
STATE	ງ ວ	ER	DR	DC	EA	DI/AK/ED

APPENDIX B

CHECKSUM ALGORITHMS

This Appendix is provided for information for implementors and is not part of the Standard.

B1. SYMBOLS

The following symbols are used:

CO Variables used in the algorithms.

C1

- i Number (i.e. position) of an octet within the TPDU (see 12.1).
- n Number (i.e. position) of the first octet of the checksum parameter.
- L Length of the complete TPDU
- X Value of the first octet of the checksum parameter.
- Y Value of the second octet of the checksum parameter.

B2. ARITHMETIC CONVENTIONS

Addition is performed in one of the two following modes:

- a) Modulo 255 arithmetic;
- b) one's complement arithmetic in which if any of the variables has the value minus zero (i.e. 255) it shall be regarded as though it was plus zero (i.e.0).

B3. ALGORITHM FOR GENERATING CHECKSUM PARAMETERS

- B3.1 Set up the complete TPDU with the value of the checksum parameter field set to zero.
- B3.2 Initialize C0 and C1 to zero.
- B3.3 Process each octet sequentially from i = 1 to L by
 - a) adding the value of the octet to CO; then
 - b) adding the value of CO to C1.

B3.4 Calculate X and Y such that

$$X = -C1 + (L - n) \cdot C0$$

 $Y = C1 - (L + n + 1) \cdot C0$

B3.5 Place the values X and Y in octets n and (n + 1) respectively.

This algorithm calculates

$$C_1 = \sum_{i=1}^{L} (L - i + 1) a_i$$

which is equal to zero, if the formulas in 6.18.3 are followed, since

$$\sum_{i=1}^{L} (L-i+1) a_i = (L+1) \left(\sum_{i=1}^{L} a_i - \sum_{i=1}^{L} i a_i \right) = 0$$

- B4. ALGORITHM FOR CHECKING CHECKSUM PARAMETERS
 - B4.1 Initialize CO and C1 to zero.
 - B4.2 Process each octet of the TPDU sequentially from i = 1 to L by :
 - a) adding the value of the octet to CO; then
 - b) adding the value of CO to C1.
- B4.3 If, when all the octets have been processed, either or both of CO and C1 does not have the value zero, the checksum formulas in 6.17 have not been satisfied.

The nature of the algorithm is such that it is not necessary to compare explicitly the stored checksum bytes.

APPENDIX C

ECMA Guidelines for Implementors

CO. INTRODUCTION

This Appendix describes the ECMA Recommendations to implementors of ECMA-72. The recommendations given thereafter reflect the status of the time of duplication of this Standard.

Further recommendations and refinement of the existing recommendations are subject to ongoing study within ECMA.

C1. CONFORMANCE CLASSES

The recommended Conformance Class of the Transport Protocol for operation over Connection-Oriented Network Service, shall be Class 2, except when the objective is communication with a Telematique terminal. In this case, the Conformance Class shall be Class 0. For operation over a Connectionless Network Service, the Conformance Class shall be Class 4.

C2. "NO FLOW CONTROL" OPTION

The "Now Flow Control" option in Class 2 shall not be implemented.

C3. IDENTIFICATION OF IMPLEMENTATION

It is highly desirable that the adoption of OSI Standards should be rapid and widespread to facilitate this and to promote their use over a wide range of applications as part of standard networking products, it is valuable to be able to determine the identity of the implementation of the remote transport entity. Such knowledge may allow more efficient operation, due for example to knowledge of particular policies or parameter values (for example, for Flow Control and retransmission). To permit this, the following parameter is defined for use in the CR and CC TPDUs.

Parameter Code : 0000 0001 Parameter length : variable

Parameter value : see text below

This parameter may be transmitted in a CR TPDU. Its value is the identification of the implementation. The initial part shall be coded according to ISO 6523, "Identification of Organizations". The remainder, if any, shall be coded in an organization dependent manner to identify a particular implementation within the responsibility of the organization.

If the parameter is present in a CR TPDU, then it may also appear in the corresponding CC TPDU. The parameter shall not be present in a CC TPDU if it is not present in the corresponding CR TPDU. It is not required that the value be the same in the CR and CC TPDUs.

A connection attempt shall not be rejected due to the use or non use of this parameter, or due to its value.

